

## Hoare's logic revisited

TINY

### Generalising

Rather than just working with **Int**, consider an arbitrary underlying data type given by:

- $\Sigma$ : an algebraic signature with sort *Bool* and boolean constants and connectives
- $\mathcal{A}$ : a  $\Sigma$ -structure with the boolean part interpreted in the standard way

## TINY <sub>$\mathcal{A}$</sub>

**Syntax:** As in TINY, except that:

- $\Sigma$ -terms used instead of integer expressions
- variables classified by the sorts of  $\Sigma$ , assignments allowed only when the sorts of the variable and the term coincide
- $\Sigma$ -terms of sort *Bool* used instead of boolean expressions

**Semantic domains:** As in TINY, except with a modified notion of state:

$$\text{State}_{\mathcal{A}} = \text{Var} \rightarrow |\mathcal{A}|$$

(with variables and their values classified by the sorts of  $\Sigma$ )

**Semantic functions:** As in TINY, except that referring to  $\mathcal{A}$  for interpretation of the operations on  $|\mathcal{A}|$ .

## Hoare's logic

$$\{\varphi\} S \{\psi\}$$

— — — *as before* — — —

## For instance

- add the following to the original signature  $\Sigma$  for TINY:

```
sorts   Array;
opns   newarr : Array;
         put : Array  $\times$  Int  $\times$  Int  $\rightarrow$  Array;
         get : Array  $\times$  Int  $\rightarrow$  Int;
```

- and expand the original algebra  $\mathcal{A}$  for TINY as follows:

```
carriers    $\mathcal{A}_{Array} = \mathbf{Int} \rightarrow \mathbf{Int}$ 
operations  $newarr_{\mathcal{A}}(j) = 0$ 
              $put_{\mathcal{A}}(a, i, n) = a[i \mapsto n]$ 
              $get_{\mathcal{A}}(a, i) = a(i)$ 
```

## Example

```
{a:Array ∧ 0 ≤ n}
  m := 0;
  while {0 ≤ m ≤ n ∧ is-sorted(a, 0, m)} m + 1 ≤ n do
    m := m + 1; k := m;
    while {0 ≤ k ≤ m ≤ n ∧ is-nearly-sorted(a, 0, k, m)} 1 ≤ k do
      k := k - 1;
      if get(a, k) ≤ get(a, k + 1) then k := 0
      else x := get(a, k + 1); a := put(a, k + 1, get(a, k)); a := put(a, k, x)
    {is-sorted(a, 0, n)}
```

where:

```
is-sorted(a, i, j) ≡ a:Array ∧ ∀i', j':Int. i ≤ i' ≤ j' ≤ j ⇒ get(a, i') ≤ get(a, j')
is-nearly-sorted(a, i, k, j) ≡ is-sorted(a, i, k - 1) ∧ is-sorted(a, k, j) ∧
  ∀i', j':Int. (i ≤ i' ≤ k - 1 ∧ k + 1 ≤ j' ≤ j) ⇒ get(a, i') ≤ get(a, j')
```

## Hoare's logic: proof rules

— — — as before — — —

$$\frac{}{\{\varphi[x \mapsto e]\} x := e \{\varphi\}}$$

$$\frac{}{\{\varphi\} \text{skip} \{\varphi\}}$$

$$\frac{\{\varphi\} S_1 \{\theta\} \quad \{\theta\} S_2 \{\psi\}}{\{\varphi\} S_1; S_2 \{\psi\}}$$

$$\frac{\{\varphi \wedge b\} S_1 \{\psi\} \quad \{\varphi \wedge \neg b\} S_2 \{\psi\}}{\{\varphi\} \text{if } b \text{ then } S_1 \text{ else } S_2 \{\psi\}}$$

$$\frac{\{\varphi \wedge b\} S \{\varphi\}}{\{\varphi\} \text{while } b \text{ do } S \{\varphi \wedge \neg b\}}$$

$$\frac{\varphi' \Rightarrow \varphi \quad \{\varphi\} S \{\psi\} \quad \psi \Rightarrow \psi'}{\{\varphi'\} S \{\psi'\}}$$

## Soundness

**Fact:** Hoare's proof calculus is *sound*, that is:

if  $\mathcal{TH}(\mathcal{A}) \vdash \{\varphi\} S \{\psi\}$  then  $\models_{\mathcal{A}} \{\varphi\} S \{\psi\}$

## Proof

— — — as before — — —

## Toward completeness

We have to ensure that all the assertions necessary in the proofs may be formulated in the assertion logic.

Given  $S \in \mathbf{Stmt}_\Sigma$  and  $\psi \in \mathbf{Form}_\Sigma$ , define:

$$wpre_{\mathcal{A}}(S, \psi) = \{s \in \mathbf{State}_{\mathcal{A}} \mid \text{if } \mathcal{S}_{\mathcal{A}}[S] s = s' \in \mathbf{State}_{\mathcal{A}} \text{ then } \mathcal{F}_{\mathcal{A}}[\psi] s' = \mathbf{tt}\}$$

**Definition:** *First-order logic is expressive over  $\mathcal{A}$  for  $\mathsf{TINY}_{\mathcal{A}}$  ( $\mathcal{A}$  is expressive) if for all  $S \in \mathbf{Stmt}_\Sigma$  and  $\psi \in \mathbf{Form}_\Sigma$ , there exists the weakest liberal precondition for  $S$  and  $\psi$ , that is, a formula  $\varphi_0 \in \mathbf{Form}_\Sigma$  such that*

$$\{\varphi_0\}_{\mathcal{A}} = wpre_{\mathcal{A}}(S, \psi)$$



## Relative completeness of Hoare's logic

(completeness in the sense of Cook)

**Fact:** If  $\mathcal{A}$  is expressive then Hoare's proof calculus is sound and relatively complete, that is:

$$\mathcal{TH}(\mathcal{A}) \vdash \{\varphi\} S \{\psi\} \quad \text{iff} \quad \models_{\mathcal{A}} \{\varphi\} S \{\psi\}$$

**Proof:** By structural induction on  $S$ . In fact: given expressivity and arbitrary use of facts from  $\mathcal{TH}(\mathcal{A})$ , all the cases go through easily!

**Fact:**  $\mathcal{A}$  is expressive if and only if either the standard model of Peano arithmetic is definable in  $\mathcal{A}$ , or for each  $S \in \mathbf{Stmt}_{\Sigma}$ , there is a finite bound on the number of states reached in any computation of  $S$ .

## Beyond TINY

**Procedures:** Given **proc**  $p$  is  $(S_p)$ :

$$\frac{\{\varphi\} \text{ call } p \{\psi\} \vdash \{\varphi\} S_p \{\psi\}}{\{\varphi\} \text{ call } p \{\psi\}}$$

Not quite good enough; requires additional rules to manipulate auxiliary variables to ensure relative completeness

**Variables:** Given a fresh variable  $y$ :

$$\frac{\{\varphi \wedge y = ??\} S[x \mapsto y] \{\psi\}}{\{\varphi\} \text{ begin var } x S \text{ end } \{\psi\}}$$

etc...

## But there are limits...

**Fact:** *There exists no Hoare's proof system which is sound and relatively complete in the sense of Cook for a programming language which admits recursive procedures with procedure parameters, local procedures and global variables with static binding.*

Key to the proof:

**Fact:** *The halting problem is undecidable for programs of such a language even for finite data types  $\mathcal{A}$  (with at least two elements).*

## Total correctness revisited

What about  $\text{TINY}_{\mathcal{A}}$ ?

*GOOD NEWS:*

*Proving termination using well-founded relations works as before!*

Still, recall the basic rule:

$$\frac{(\text{nat}(l) \wedge \varphi(l + 1)) \Rightarrow b \quad [\text{nat}(l) \wedge \varphi(l + 1)] S [\varphi(l)] \quad \varphi(0) \Rightarrow \neg b}{[\exists l. \text{nat}(l) \wedge \varphi(l)] \mathbf{while} \ b \ \mathbf{do} \ S [\varphi(0)]}$$

## Problem?

Given a signature  $\Sigma$ , let  $\Sigma^+$  be its extension by the language of (Peano) arithmetic: predicates  $nat(-)$  and  $- \leq -$ , constants  $0, 1$ , operations  $- + -$ ,  $- - -$ ,  $- * -$ .

Let  $\mathcal{A}$  be a  $\Sigma^+$ -structure; assume that the interpretation of  $nat(-)$  in  $\mathcal{A}$  is closed under the arithmetical constants and operations as expected.

Even then:

*the loop rule need not be sound for  $\text{TINY}_{\mathcal{A}}$*

For instance, we will typically get:

$\mathcal{TH}(\mathcal{A}) \vdash [nat(x)] \text{ while } x > 0 \text{ do } x := x - 1 [\text{true}]$

Serious trouble?

**BUT:** This is not valid for instance if  $\mathcal{A}$  is a non-standard model of arithmetic.

## Soundness and completeness

A  $\Sigma^+$ -structure  $\mathcal{A}$  is *arithmetical* if the interpretations in  $\mathcal{A}$  of the arithmetical operations and predicates restricted to those elements  $n \in |\mathcal{A}|$  for which  $nat(n)$  holds in  $\mathcal{A}$  form *the standard model of arithmetic*.

**Fact:** If  $\mathcal{A}$  is arithmetical then

if  $\mathcal{TH}(\mathcal{A}) \vdash [\varphi] S [\psi]$  then  $\models_{\mathcal{A}} [\varphi] S [\psi]$

Soundness

If moreover, finite sequences of elements in  $|\mathcal{A}|$  can be encoded using a formula as a single element in  $|\mathcal{A}|$ , then

$\mathcal{TH}(\mathcal{A}) \vdash [\varphi] S [\psi]$  iff  $\models_{\mathcal{A}} [\varphi] S [\psi]$

Soundness  
&  
completeness