Heegaard Floer Theory and algebraic geometry

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algebraic geometry	topology



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normal surface singularity	



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Definition

An algebraic link in S^3 is an intersection of a zero set of a complex reduced polynomial f(x, y) with a small sphere.



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Theorem (Capillo, Delgado, Gussein-Zade, 2000)

Suppose (C, z) is a unibranched plane curve singularity with semigroup S and link K. Then

$$\Delta_{\mathcal{K}} = 1 + (t-1) \sum_{\substack{g>0\\g\notin S}} t^g.$$

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Corollary

Multiplicity of a plane curve singularity is determined by the Alexander polynomial.

Semigroup semicontinuity

Theorem (Gorsky, Némethi 2014)

Suppose C_t is a deformation of a plane curve singularities with central fiber C_0 . Let S_t be the semigroup of C_t . Then, for $|t| \ll 1$ and any x > 0

 $\#\{\ell \in S_t \colon \ell < x\} \ge \#\{\ell \in S_0 \colon \ell < x\}.$

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In particular, multiplicity cannot drop.

 In algebraic geometry a deformation is 'oriented'. Central fiber is 'more singular'.

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A 3-genus (4-genus) of a knot *K* is the minimal genus $g(K) = g_3(K), g_4(K)$ of a compact oriented surface Σ in S^3 (resp. B^4) cobounding *K*.

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For algebraic knots $g_3(K) = g_4(K) = \frac{1}{2}\mu$. The definition of g_4 is depends on the world we live in.

Definition

Suppose K_0 , K_1 are algebraic knots. We say that there is a topological deformation from K_0 to K_1 , if there exists a cobordism (a surface Σ in $S^3 \times [0, 1]$) of genus $g_3(K_0) - g_3(K_1)$ between them.

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Question

Is the multiplicity semicontinuous under such topological deformations?

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Semicontinuity of semigroup

Theorem (Bodnar, Celoria, Golla 2017, —, Livingston 2015)

In the smooth category semigroup semicontinuity holds.

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Analogous results for links obtained by —, Gorsky.

We use Heegaard Floer homology techniques.

Heegaard decomposition

Definition

A *Heegaard splitting* of a 3-manifold *Y* is a presentation of *Y* as a boundary connected sum, $Y = Y_+ \cup_h Y_-$, where Y_+ and Y_- are handlebodies, $h: \partial Y_+ \xrightarrow{\cong} \partial Y_-$ is a diffeomorphism. We denote $\Sigma = \partial Y_+ = \partial Y_-$. It is a genus *g* surface.

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Example

Two balls glue to a sphere giving a genus 0 decomposition of S^3 . Two solid tori can glue to S^3 , $S^2 \times S^1$, or a lens space.

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 There are g curves α₁,..., α_g on Σ that bound disks in Y₋ and are pairwise non-homologous. They are called α-curves;

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Definition

The tuple $(\Sigma, \alpha, \beta, z)$ is called the *Heegaard data*.

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- The set $\pi_2(x, y)$ for $x, y \in T_{\alpha} \cap T_{\beta}$ is the set of homotopy classes of maps $D \to \Sigma$, such that $-1 \mapsto x, 1 \mapsto y$, upper semicircle goes to T_{α} and lower to T_{β} .

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• Specify a divisor $R_z = \{z\} \times \Sigma^{g-1}$.

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$$\partial x = \sum_{y \in T_{\alpha} \cap T_{\beta}} \sum_{\phi \in \pi_2(x,y) \colon M(\phi) = 1} U^{n_{\phi}} r(\phi) \cdot y.$$

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Theorem (Ozsváth–Szabó 2002)

The chain homotopy type of the complex CF^- , ∂ does not depend on the Heegaard data.



• The complex CF^{∞} is obtained by tensoring CF^{-} by $\mathbb{F}[U, U^{-1}]$;

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Theorem (Ozsváth–Szabó, 2002)

If $b_1(Y) = 0$, then for any \mathfrak{s} , $HF^{\infty}(Y, \mathfrak{s}) = \mathbb{F}[U, U^{-1}]$.

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Suppose (W, \mathfrak{t}) is a spin-c cobordism between (Y_0, \mathfrak{s}_0) and (Y_1, \mathfrak{s}_1) . Then, there is a map F^{\bullet}_W : $HF^{\bullet}(Y_0, \mathfrak{s}_0) \rightarrow HF^{\bullet}(Y_1, \mathfrak{s}_1)$.

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If b₂⁺(W) = 0, and b₁(Y₀) = b₁(Y₁) = 0, then F_W[∞] is an isomorphism;

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- If b₂⁺(W) = 0, and b₁(Y₀) = b₁(Y₁) = 0, then F_W[∞] is an isomorphism;
- If $b_2^+(W) > 0$, then F_W^∞ is a zero map;
- There is a consistent choice of grading such that $\deg F_W = \frac{1}{4}(c_1(t)^2 2\sigma(W) 3\chi(W))$ (U drops the grading by 2).

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- Main computation done by Ozsváth–Szabó for so-called L-space knots;
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Corollary

Semigroup semicontinuity.

Lattice homology

 A plumbed 3-manifold yields a *lattice L* ≅ Z^g with a pairing ⟨·, ·⟩ → Z;

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Definition

The lattice chain complex for L, \mathfrak{k} is defined as the complex $\mathbb{F}[U]$ generated by unit cubes, with differential

$$\partial \boldsymbol{r} = \sum \epsilon_{\boldsymbol{r}'} \boldsymbol{r}' \boldsymbol{U}^{\chi_{\mathfrak{k}}(\boldsymbol{r}) - \chi_{\mathfrak{k}}(\boldsymbol{r}')},$$

where r' runs over facets of r and ϵ is a sign choice.

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 Generalizations to relative case due to –, Liu, Zemke (2024, to appear).



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• Can we use LH to prove μ -const conjecture?