

**A report on Fano manifolds
of middle index and $b_2 \geq 2$.**

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Let X be a smooth projective variety of dimension n defined over complex numbers. We say that X is Fano if its anti-canonical divisor $-K_X$ (or, equivalently, its first Chern class $c_1(X)$) is ample. The index $r = r(X)$ of the Fano manifold X is defined as the largest integer dividing $-K_X$ in the Picard group $\text{Pic}X \cong \mathbf{Z}^{\rho}$. The task of this report is to present a classification of Fano manifolds of index r and dimension $n = 2r$ whose second Betti number $b_2(X) = \rho$ is at least 2.

(1.1). It is known that the index r of a Fano manifold X is at most $\dim X + 1$. Moreover if either $r = \dim X + 1$ or $r = \dim X$ then X is either the projective \mathbf{P}^n or the smooth quadric \mathbf{Q}^n , respectively (see [KO]). If X is a Fano surface of index 1 then it is called del Pezzo surface and is obtained by blowing-up $b_2(X) - 1$ general points on \mathbf{P}^2 (where $2 \leq b_2(X) \leq 8$). The degree $d(X) = (-K_X)^2$ of a del Pezzo surface X is then equal to $9 - b_2(X)$. The complete linear system $|-K_X|$ is base point free for $d = d(X) \geq 2$ and gives a map of X into \mathbf{P}^d ; for $d \geq 3$ this is an embedding.

(1.2). Fano manifolds of dimension $n \geq 3$ and index $n - 1$ are also called del Pezzo manifolds and were classified by Fujita, see for example [F1, I.8.11]. From Fujita's classification it follows that, if X is a del Pezzo manifold of dimension $n \geq 4$ then it is one of the following:

- $V_1 =$ a weighted hypersurface of degree 6 in the weighted projective space $\mathbf{P}(1, \dots, 1, 2, 3)$,
- $V_2 =$ a weighted hypersurface of degree 4 in the weighted projective space $\mathbf{P}(1, \dots, 1, 2, 2)$ (equivalently: a double covering of \mathbf{P}^n ramified along quartic),
- $V_3 =$ a hypercubic in \mathbf{P}^{n+1} ,
- $V_4 =$ a complete intersection of type (2,2) in \mathbf{P}^{n+2} ,
- $V_5 =$ a linear section of Grassmanian $G(2, W) \subset \mathbf{P}(\Lambda^2 W)$ of linear spaces of dimension 2 in a 5-dimensional linear space W , $\dim V_5 \leq 6$,
- $V_6 = \mathbf{P}^2 \times \mathbf{P}^2$.

In dimension 3 we have also $\mathbf{P}^1 \times \mathbf{P}^1 \times \mathbf{P}^1$, $\mathbf{P}(TP^2)$ where TP^2 denotes the tangent bundle to \mathbf{P}^2 , and $\mathbf{P}(\mathcal{O}_{\mathbf{P}^2}(2) \oplus \mathcal{O}_{\mathbf{P}^2}(1))$.

The subscript d of the del Pezzo manifold V_d denotes its degree, that is the self-intersection of the first Chern class of the line bundle $\mathcal{O}_V(1)$ such that $\mathcal{O}_V(1)^{\otimes(n-1)} = \mathcal{O}_V(-K_X)$.

Fano n -folds of index $n - 2$ were classified by Mukai [Mu] under an assumption on existence of smooth descending sequence of submanifolds, so-called *smooth ladder*.

(2.1). Based on the above examples and on the classification of Fano n -folds of index $n - 2$ Mukai conjectured that if $r(X) > n/2 + 1$ then $b_2(X) = 1$ and if $r(X) = n/2 + 1$ and $b_2(X) \geq 2$ then

$$X \cong \mathbf{P}^{r-1} \times \mathbf{P}^{r-1}.$$

The conjecture was proved in [W1] and, subsequently, in [W2] Fano n -folds with $r(X) = (n + 1)/2$ and $b_2(X) \geq 2$ were studied. The result was the following

Theorem 2.2. *Let X be a Fano n -fold (where n is odd ≥ 3) of index $r = (n + 1)/2$. If $b_2(X) \geq 2$ then X has a \mathbf{P}^{r-1} -bundle structure over either projective space \mathbf{P}^r or a smooth quadric \mathbf{Q}^r . The structure of X is described as follows:*

| No. | Base | projective bundle | another description |
|-----|----------------|--|---|
| 1. | \mathbf{P}^r | $\mathbf{P}(\mathcal{O}(2) \oplus \mathcal{O}(1)^{\oplus(r-1)})$ | blow-up of $\mathbf{P}^{r-1} \subset \mathbf{P}^{2r-1}$ |
| 2. | \mathbf{P}^r | $\mathbf{P}(T\mathbf{P}^r)$ | $(1, 1)$ divisor in $\mathbf{P}^r \times \mathbf{P}^r$ |
| 3. | \mathbf{Q}^r | $\mathbf{P}(\mathcal{O}(1)^{\oplus r})$ | $\mathbf{P}^r \times \mathbf{Q}^r$ |

The proof of the above result is a two-step argument. First, using the deformations of rational curves contracted by elementary contractions of X one was able to establish that there exists an elementary contraction of X (in terms of Mori theory) whose fibers are of dimension $\leq r - 1$. Then, the following result was used.

Proposition 2.3. [F2, Lemma 2.12], [I] *Let $p : X \rightarrow Y$ be a map of a smooth projective variety X onto a normal variety Y such that $p_*\mathcal{O}_X = \mathcal{O}_Y$ (a contraction morphism with connected fibers). Assume that on X there exists an ample line bundle L such that $K_X + rL$ and all fibers of p are of dimension $\leq r$. Then Y is smooth and $p : X \rightarrow Y$ is a projective bundle over Y , that is, there exists a vector bundle \mathcal{E} over Y such that $X = \mathbf{P}(\mathcal{E})$ (one may assume $\mathcal{E} \cong p_*L$).*

The proposition was used for $L = \mathcal{O}_X(-K_X/r)$ so that, by adjunction, the resulting bundle $\mathcal{E} := p_*L$ was ample of rank $r = \dim Y$ and $c_1\mathcal{E} = c_1Y$. Studying pairs (Y, \mathcal{E}) satisfying these conditions was the second step of the proof of (2.2), see [P1], [P2] and [YZ].

(3.1). Fano manifolds of index r and dimension $n = 2r$ we will call for short Fano n -folds of middle index. By H we will denote the ample divisor such that $rH = -K_X$; the self-intersection of H we call the degree of such X and will be denoted by $d(X)$.

The above mentioned results may suggest that there is possible to classify these Fano manifolds of middle index which have $b_2 \geq 2$. Indeed, similar arguments can be applied. To make the exposition more transparent let us assume that $r \geq 3$ so that X is of dimension ≥ 6 , though it should be noted that the arguments can be extended to this case as well, and the result coincides with the classification of Mukai [Mu], see also [W4]. Also, as it was proved in [W5] and in [K], for $n \geq 6$ we may assume that $b_2(X) = 2$ with the only exception in dimension 6 when $X \cong \mathbf{P}^2 \times \mathbf{P}^2 \times \mathbf{P}^2$.

Let us recall that an elementary contraction $\varphi : X \rightarrow Y$ is a morphism onto a normal variety Y such that $\varphi_*\mathcal{O}_X = \mathcal{O}_Y$ and all curves contracted by φ are proportional in $H_2(X, \mathbf{Z})$. Elementary contractions of smooth 3-folds are known due to Mori [M2]. The classification of Fano 3-folds with $b_2 \geq 2$ done by Mori and Mukai [MM] and is based on studying of the elementary contractions. We follow this idea.

A Fano n -fold with $b_2 = 2$ has two elementary contractions. Studying the interplay between the deformations of rational curves contracted by these maps (similarly as in [M1]) allows to derive the following description

Proposition 3.2. [W5, Thm I] *Let X be a Fano manifold of middle index with $b_2 \geq 2$. There exists an elementary contraction $p : X \rightarrow Y$ such that*

- (i) $\dim Y < \dim X$ and all fibers of p are of dimension $\leq r$.
"The other" contraction $\varphi : X \rightarrow Z$ is either of the type (i), or φ is birational and either
- (ii) its exceptional set is an irreducible divisor E and $\varphi|_E$ has all fibers of dimension r , or
- (iii) there exists a fiber of φ of dimension $= r + 1$, but then all fibers of p are of dimension $r - 1$.

The next ingredient of the proof comes from the study of contraction morphisms

Proposition 3.3. [AW Thm 4.1], [ABW] *Let $p : X \rightarrow Y$ be an elementary contraction of a smooth variety X onto a normal variety Y of smaller dimension. Assume that there exists an ample line bundle L on X such that $K_X + rL$ is trivial on fibers of p and all fibers of p are of dimension $\leq r$. Then Y is smooth and one of the following occurs:*

- (i) $\dim Y = r + 1$ and $\mathcal{E} := p_*L$ is a locally free sheaf of rank r and $p : X \rightarrow Y$ is a projective bundle, $X \cong \mathbf{P}(\mathcal{E})$, (this is the case of (2.3));
- (ii) $\dim Y = r$ and $\mathcal{E} := p_*L$ is a locally free sheaf of rank $r + 2$ and $p : X \rightarrow Y$ is a quadric bundle so that X can be embedded as a divisor of relative degree 2 into $\mathbf{P}(\mathcal{E})$;
- (iii) $\dim Y = r + 1$ and $\mathcal{E} := p_*L$ is a reflexive non-locally free sheaf of rank r with isolated singularities and $X \cong \mathbf{P}(\mathcal{E})$.

(3.4). The singularities of the non-locally free sheaf \mathcal{E} occurring in the last case of the above proposition are very special. Namely, locally, at a point $y \in Y$ we have an exact sequence:

$$0 \rightarrow \mathcal{O}_{Y,y} \xrightarrow{s} \mathcal{O}_{Y,y}^{\oplus(r+1)} \rightarrow \mathcal{E}_y \rightarrow 0$$

with $s(1) = (t_1, \dots, t_{r+1})$ where (t_1, \dots, t_{r+1}) are regular generators of the maximal ideal of the local ring $\mathcal{O}_{Y,y}$. Bănică called such sheaves smooth and studied them in [B], see also [BW].

(3.5). According to (3.4) the study of Fano manifolds of middle index and $b_2 \geq 2$ can be split into three cases. We will do it below. The notation is consistent with (3.1)–(3.4) and \mathcal{E} is always $p_*\mathcal{O}_X(H) = p_*\mathcal{O}_X(-K_X/r)$.

(4.1). First we present the case of projective bundles. The classification was done in joint paper with Thomas Peternell and Michał Szurek [PSW1]. The vector bundle \mathcal{E} is then ample of rank $r = \dim Y - 1$ and $c_1\mathcal{E} = -K_Y$. The result is as follows.

| No. | Base of p | projective bundle | “the other contraction” |
|-----|--------------------|---|--|
| 1. | V_d | $\mathbf{P}(\mathcal{O}_V(1)^{\oplus r})$ | $V \times \mathbf{P}^{r-1} \rightarrow \mathbf{P}^{r-1}$ |
| 2. | \mathbf{P}^{r+1} | $\mathbf{P}(\mathcal{O}(2)^{\oplus 2} \oplus \mathcal{O}(1)^{\oplus(r-2)})$ | small |
| 3. | \mathbf{P}^{r+1} | $\mathbf{P}(\mathcal{O}(3) \oplus \mathcal{O}(1)^{\oplus(r-1)})$ | divisorial |
| 4. | \mathbf{Q}^{r+1} | $\mathbf{P}(\mathcal{O}(2) \oplus \mathcal{O}(1)^{\oplus(r-1)})$ | divisorial |
| 5. | \mathbf{Q}^4 | $\mathbf{P}(\mathbf{E}(1) \oplus \mathcal{O}(1))$ | scroll over \mathbf{P}^3 |

(4.2). Remarks. The variety V_d in the case 1 is a del Pezzo variety of degree d and $1 \leq d \leq 5$. The contraction φ is birational in cases 2–4, its exceptional set is a set of codimension 2 in case 2 or a divisor in cases 3 and 4, and the target is in these cases a cone. In case 5 the vector bundle \mathbf{E} is spinor bundle over \mathbf{Q}^4 (see [O]), the contraction φ makes X a scroll with a 4-dimensional fiber, as described in [BSW, Example 3.2.4].

(4.3). It should be noted that apart from the above varieties one more possibility may a priori occur. Namely, the following possibility was not excluded: X may have two \mathbf{P}^2 -bundle structures over smooth Fano 4-folds Y_1 and Y_2 which are of index 1 and for any rational curve $C \subset Y_i$ there is $-K_{Y_i} \cdot C \geq 3$.

This is because the proof of the classification of the above projective bundles is based on a “comparison lemma” which compares on $\mathbf{P}(E)$ three families of rational curves: these in the fibers of p and φ , and minimal sections over minimal rational curves in Y . The argument, based on comparing deformations of these, fails if these families are too small. This possibility does not seem to be probable. For details see [PSW1].

(5.1). A classification of Fano manifolds of middle index which have quadric bundle structure is done in [W5]. The Fano n -fold is then a divisor in $\mathbf{P}(\mathcal{E})$ of relative degree 2. More precisely, by adjunction

$$X \in |\mathcal{O}_{\mathbf{P}(\mathcal{E})}(2) \otimes \bar{p}^*\mathcal{O}_Y(-K_X - c_1\mathcal{E})|$$

where $\bar{p} : \mathbf{P}(\mathcal{E}) \rightarrow Y$ is the projection from the projective bundle. The result can be subsumed as follows

| No. | Base of p | \mathcal{E} | other description |
|-----|----------------|--|---|
| 1. | \mathbf{Q}^r | $\mathcal{O}(1)^{r+2}$ | $\mathbf{Q}^r \times \mathbf{Q}^r$ |
| 2. | \mathbf{P}^r | $\mathcal{O}(1)^{r+2}$ | divisor $(1, 2) \subset \mathbf{P}^r \times \mathbf{P}^{r+1}$ |
| 3. | \mathbf{P}^r | $\mathcal{O}(2) \oplus \mathcal{O}(1)^{r+1}$ | blow-up of $\mathbf{Q}^{r-1} \subset \mathbf{Q}^{2r}$ |
| 4. | \mathbf{P}^r | $T\mathbf{P}^r \oplus \mathcal{O}(1)^2$ | divisor $(1, 1) \subset \mathbf{P}^r \times \mathbf{Q}^{r+1}$ |
| 5. | \mathbf{P}^r | $\mathcal{O} \oplus \mathcal{O}(1)^{r+1}$ | double cover of $\mathbf{P}^r \times \mathbf{P}^r$ |

(5.2). Remarks. Above, in the third case, the quadric \mathbf{Q}^{2r} is blown-up along its linear section $\mathbf{Q}^{r-1} = \mathbf{Q}^{2r} \cap \mathbf{P}^r$. The branch divisor in 5. is of bidegree $(2, 2)$. Moreover, it is worthwhile to note that the bundle \mathcal{E} is not ample in this case.

(6.1). In a joint paper with Edoardo Ballico [BW] we study non-locally free sheaves whose projectivisation gives Fano manifolds of middle index. It is proved that the local extension enjoyed by the sheaves from (3.4) can be then extended to a global locally free sheaf \mathcal{F} of rank $r + 1$, that is, we have a sequence

$$(6.2) \quad 0 \rightarrow \mathcal{O} \xrightarrow{s} \mathcal{F} \rightarrow \mathcal{E} \otimes \mathcal{L} \rightarrow 0$$

where \mathcal{L} is a line bundle over Y . The above extension is not unique, nor is the choice of the line bundle \mathcal{L} . The section s of the locally free sheaf \mathcal{F} vanishes exactly at $c_{r+1}(\mathcal{F})$ different points which correspond to singular fibers of the map p .

(6.3). The study of sheaves occurring in the case (iii) of (3.4) is similar to the one concerning locally free sheaves. Namely, we use a comparison lemma to study “the other” contraction of X and subsequently to prove that Y is either \mathbf{P}^{r+1} or \mathbf{Q}^{r+1} . Then we extend, as in (6.2), $\mathcal{E}(-1)$ and \mathcal{E} to a locally free sheaf which we call, respectively, \mathcal{F}_1 and \mathcal{F}_0 . Since $\mathcal{E}(-1)$ is numerically effective, it follows that \mathcal{F}_1 is numerically effective as well and thus we may use results from [PSW2]. Consequently we can realise X as a divisor in a projective bundle which is a Fano manifold. We obtain the following examples:

| No. | Base of p | \mathcal{F}_0 | \mathcal{F}_1 | other description |
|-----|--------------------|--|---|---|
| 1. | \mathbf{Q}^{r+1} | $\mathcal{O}(1)^{r+1}$ | $\mathcal{O}^{r+2}/\mathcal{O}(-1)$ | quadric bundle #4 |
| 2. | \mathbf{P}^{r+1} | \mathcal{G} | $\mathcal{O}^{r+2}/\mathcal{O}(-2)$ | quadric bundle #2 |
| 3. | \mathbf{P}^{r+1} | $\mathcal{O}(2) \oplus \mathcal{O}(1)^r$ | $(T\mathbf{P}(-1) \oplus \mathcal{O}(1))/\mathcal{O}$ | blow-up of $\mathbf{P}^{r-1} \subset \mathbf{Q}^{2r}$ |
| 4. | \mathbf{P}^{r+1} | $T\mathbf{P}^{r+1}$ | $\mathcal{O}^{r+3}/\mathcal{O}(-1)^2$ | $(1, 1) \cap (1, 1) \subset \mathbf{P}^{r+1} \times \mathbf{P}^{r+1}$ |

Note that 1, 3 and 4 are divisors in varieties from Theorem (2.2). All projective bundles $\mathbf{P}(\mathcal{F}_i)$ occurring in the table, except $\mathbf{P}(\mathcal{G})$, are Fano manifolds. $-K_{\mathbf{P}(\mathcal{G})} = \mathcal{O}_{\mathbf{P}(\mathcal{G})}(r)$ is not ample but it is nef; actually, \mathcal{G} is spanned and the map associated to $\mathcal{O}_{\mathbf{P}(\mathcal{G})}(1)$ contracts to point a section of the projective bundle over a hyperplane in \mathbf{P}^{r+1} .

(7.1). The above classification shows that the structure of Fano manifolds of the middle index is richer in lower dimensions. For example, the variety $V_5 \times \mathbf{P}^{r-1}$ occurs only for $n \leq 10$ and there are two special 6-folds: the case 5 in (4.1) and $\mathbf{P}^2 \times \mathbf{P}^2 \times \mathbf{P}^2$.

In dimension 4 the second example in the list of projective bundles does not occur. On the other hand we have the following list of Fano manifolds of index 2 which do not occur in higher dimensions:

- $b_2 = 2$, $\mathbf{P}(\mathbf{N}(1)) = \mathbf{P}(\mathbf{E}(1))$, two \mathbf{P}^1 structures, where $\mathbf{N} = \Omega\mathbf{P}^3(2)/\mathcal{O}$ is a null-correlation bundle on \mathbf{P}^3 and \mathbf{E} is a spinor bundle on \mathbf{Q}^3 ,
- $b_2 = 2$, $\mathbf{P}^1 \times \mathbf{P}^3$,
- $b_2 = 3$, $\mathbf{P}^1 \times \mathbf{P}(T\mathbf{P}^2)$, three \mathbf{P}^1 -bundle structures,
- $b_2 = 3$, $\mathbf{P}^1 \times \mathbf{P}(\mathcal{O}_{\mathbf{P}^2}(2) \oplus \mathcal{O}_{\mathbf{P}^2}(1))$,
- $b_2 = 4$, $\mathbf{P}^1 \times \mathbf{P}^1 \times \mathbf{P}^1 \times \mathbf{P}^1$.

(7.2). Note that we obtain the following

Corollary. *Let X be a Fano manifold of middle index and $b_2 = 2$. Then $H = -K_X/r$ is a sum of two numerically effective divisors which define elementary contractions of X .*

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