

# On Gromov's Macroscopic Dimension

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- The **scalar curvature**  $Sc_V$  of a Riemannian manifold  $V^n$  at a point  $v \in V^n$  is the number defined by

$$\frac{Vol B_V(\epsilon, v)}{Vol B_{\mathbb{R}^n}(\epsilon, 0)} = 1 - \frac{Sc_V}{6n} \epsilon^2 + o(\epsilon^2)$$

where  $B_V(\epsilon, v)$  is the  $\epsilon$ -ball centered at  $v \in V^n$ .

- $Sc_V$  = the sum of sectional curvatures over all 2-planes  $e_i \wedge e_j$  in the tangent space to  $v$ , where  $e_1, \dots, e_n$  is the orthonormal basis.

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- $Sc_V =$  the sum of sectional curvatures over all 2-planes  $e_i \wedge e_j$  in the tangent space to  $v$ , where  $e_1, \dots, e_n$  is the orthonormal basis.

- There is the product formula:

$$Sc_{(V_1, V_2)} = Sc_{V_1} + Sc_{V_2}$$

for  $(V_1 \times V_2, \mathcal{G}_1 \oplus \mathcal{G}_2)$ .

- Thus, for every closed manifold  $M$ , the product  $M \times S^2$  admits a metric with  $Sc > 0$
- Take the  $S^2$  factor to be  $\epsilon$ -small !  
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# Why $Sc > 0$ ?

- Every manifold of  $\dim \geq 3$  admits a metric with  $Sc < 0$  [J.L. Kazdan and F. Warner]. So, no restriction on topology.
- If  $Sc(M^n) \geq 0$ ,  $n \geq 3$ , then  $M^n$  admits a metric with  $Sc > 0$  (with some exceptions) [J.L. Kazdan and F. Warner].
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# Gromov's Conjecture

- GROMOV CONJECTURE (intuitive). *If a closed  $n$ -manifold  $M$  has  $Sc(M) > 0$ , then the universal cover  $\tilde{M}$  is at most  $(n - 2)$ -dimensional on large scales.*
- EXAMPLE:  $M^{n-2} \times S^2$  admits a metric with  $Sc > 0$ . The universal cover  $M^{n-2} \times S^2 = \tilde{M}^{n-2} \times S^2$  looks at most  $n - 2$ -dimensional on a large scale.

GROMOV CONJECTURE (formal).

$\dim_{mc} \tilde{M}^n \leq n - 2$  for every closed  $n$ -manifold with  $Sc(M) > 0$ .

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- For a metric space  $X$ ,

$$\dim_{mc} X \leq k$$

iff there is a uniformly cobounded map  $f : X \rightarrow N^k$  to a  $k$ -dimensional simplicial complex.

- A map  $f : X \rightarrow N$  is *uniformly cobounded* if there is  $b > 0$  such that  $\text{diam}(f^{-1}(y)) \leq b$  for all  $y \in N$ .
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- $\dim_{mc} \mathbb{R}^n = n$  and generally,  $\dim_{mc} V^n = n$  for every uniformly contractible manifold with proper metric.

*Proof.* Assume that  $\dim V^n \leq n - 1$ . Let  $f : V^n \rightarrow K^{n-1}$  be a uniformly cobounded map. There is a map  $s : K^{n-1} \rightarrow V^n$  such that  $d(s \circ f, id) < D$ . Hence  $f^* s^* = 1$ :

$$H_C^n(V^n) \xrightarrow{s^*} H_C^n(K^{n-1}) \xrightarrow{f^*} H_C^n(V^n)$$

Contradiction, since  $s^* : H_C^n(V^n) \rightarrow H_C^n(K^{n-1})$  is zero and  $H_C^n(V^n) \neq 0$ .

- $V$  is **uniformly contractible** if  $\exists \rho : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  s.t.  $B(t, x)$  contracts to a point in  $B(\rho(t), x)$  for all  $x \in V$  and  $t \in \mathbb{R}_+$ .

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# Gromov vs Gromov-Lawson

- Gromov's Conjecture implies the Gromov-Lawson:

## Gromov-Lawson Conjecture

A closed aspherical manifold  $M$  cannot carry a metric with  $Sc > 0$ .

- *Proof.* The result follows from the facts that  $\tilde{M}$  is uniformly contractible and  $\dim_{mc} V^n = n$  for all uniformly contractible manifolds.
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Let  $ko = KO\langle 0 \rangle$  be the connective cover of the real  $K$ -theory.

## Theorem (Bolotov-Dr.)

Suppose that a discrete group  $\pi$  has the following properties:

- The Strong Novikov Conjecture holds for  $\pi$ .
- The natural map  $per : ko_n(B\pi) \rightarrow KO_n(B\pi)$  is injective.

Then the Gromov Macroscopic Dimension Conjecture holds true for spin  $n$ -manifolds  $M$  with the fundamental group

$$\pi_1(M) = \pi.$$

## Corollary 1.

The Gromov conjecture holds for spin  $n$ -manifolds  $M$  with the fundamental group  $\pi_1(M)$  equal the product of free groups  $F_1 \times \cdots \times F_n$ . In particular, it holds for free abelian groups.

*Proof.* The formula for homology with coefficients in a spectrum  $\mathbf{E}$ :

$$H_j(X \times S^1; \mathbf{E}) \cong H_j(X; \mathbf{E}) \oplus H_{j-1}(X; \mathbf{E})$$

implies that if  $ko_*(X) \rightarrow KO_*(X)$  is monomorphism, then  $ko_*(X \times S^1) \rightarrow KO_*(X \times S^1)$  is a monomorphism. By induction on  $m$  using the Mayer-Vietoris sequence one can show that  $ko_*(X \times (\bigvee_m S^1)) \rightarrow KO_*(X \times (\bigvee_m S^1))$  is a monomorphism.

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## Corollary 2.

The Gromov Conjecture holds for spin  $n$ -manifolds  $M$  with the fundamental group  $\pi_1(M) = \pi$  having  $cd\pi \leq n + 3$  and satisfying the Strong Novikov Conjecture.

*Proof.* Let  $\mathbf{F} \rightarrow ko \rightarrow KO$  be the fibration of spectra induced by the morphism  $ko \rightarrow KO$ . Then  $\pi_k(\mathbf{F}) = 0$  for  $k \geq 0$  and  $\pi_k(\mathbf{F}) = \pi_k(KO) = KO_k(pt) = 0$  if  $k = -1, -2, -3 \pmod{8}$ . AHSS for the  $F$ -homology of  $B\pi$  implies that  $H_n(B\pi; \mathbf{F}) = 0$  since all entries on the  $n$ -diagonal in the  $E^2$ -term are 0. Then the coefficient exact sequence for homology

$$H_n(B\pi; \mathbf{F}) \rightarrow ko_n(B\pi) \rightarrow KO_n(B\pi) \rightarrow \dots$$

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- **PROPOSITION.** *Let  $\pi' \subset \pi$  be a subgroup of finite index,  $[\pi' : \pi] < \infty$ . If Gromov's conjecture holds for manifolds with fundamental group  $\pi'$ , then it holds for manifolds with the fundamental group  $\pi$ .*
- **Proof.** Let  $\pi_1(M) = \pi$  and let  $M$  have a PSC metric. Then  $M'$  corresponding to  $\pi'$  has a PSC metric. Then  $\dim_{mc} \tilde{M}' \leq n - 2$  by Gromov's Conjecture for  $\pi'$ . Note that  $\tilde{M}' = \tilde{M}$ .

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# Strong Novikov Conjecture

- There is a *real analytic assembly map*

$$\alpha : KO_*(B\pi) \rightarrow KO_*(C_r^*(\pi))$$

defined as the "slant product" with the class  $[\nu_{B\pi}] \in KO^0(B\pi; C_r^*(\pi))$  generated by the " $C_r^*(\pi)$ -line bundle"  $E\pi \times_{\pi} C_r^*(\pi) \rightarrow B\pi$ .

- $C_r^*(\pi)$  is the completion of  $\mathbb{R}\pi$  in the operator norm where  $\mathbb{R}\pi$  acts on  $\ell^2(\pi)$  by multiplication on the left.

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# Rosenberg's Theorem

Let  $f : M \rightarrow B\pi$  be the classifying map for the universal cover of  $M$ .

## Rosenberg's Theorem

Let  $M$  be a closed connected spin manifold with  $Sc > 0$ . Then  $\alpha \circ f_*([M]_{KO}) = 0$ .

This result led to the Gromov-Lawson-Rosenberg Conjecture which is an extension of the Gromov-Lawson conjecture to general manifolds. (disproved by T. Schick)

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# A fact from real K-theory

We use the following fact: The induced homomorphism

$$h_* : KO_n(S^n) \rightarrow KO_n(S^{n-1})$$

is nontrivial ( $\mathbb{Z} \rightarrow \mathbb{Z}_2$ ) where  $h : S^n \rightarrow S^{n-1}$  is the iterated suspension of the Hopf bundle.





# Definition of the BS-class

- A "characteristic class" arising from the universal Ganea fibrations over the classifying space  $B\pi$  is called the **Berstein-Schwarz class**  $\beta_\pi \in H^1(\pi; I(\pi))$  of  $\pi$  where  $I(\pi)$  the augmentation ideal of the group ring  $\mathbb{Z}(\pi)$
- Formally,  $\beta_\pi$  is the image of the generator under connecting homomorphism  $H^0(\pi; \mathbb{Z}) \rightarrow H^1(\pi; I(\pi))$  in the long exact sequence generated by the short exact sequence of coefficients

$$0 \rightarrow I(\pi) \rightarrow \mathbb{Z}(\pi) \rightarrow \mathbb{Z} \rightarrow 0.$$

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# Universality of the BS-class

The "cup product"  $\alpha \cup \beta \in H^{p+q}(X; A \otimes B)$  is defined for  $\alpha \in H^p(X; A)$  and  $\beta \in H^q(X; B)$  for any  $\pi$ -modules  $A$  and  $B$  where  $\pi = \pi_1(X)$ .

## Universality Theorem (Schwarz, Dr.-Rudyak)

For every  $\pi$ -module  $L$ , every cohomology class  $\alpha \in H^k(\pi; L)$  is the image of  $(\beta_\pi)^k$  under a suitable coefficients homomorphism  $\psi : I(\pi)^k = I(\pi) \otimes \cdots \otimes I(\pi) \rightarrow L$ .

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There is a projective resolution

$$\cdots \longrightarrow C_n \xrightarrow{\partial_n} C_{n-1} \longrightarrow \cdots \longrightarrow C_0 \longrightarrow \mathbb{Z} \longrightarrow 0$$

with  $C_k = \mathbb{Z}\pi \otimes I(\pi)^k$  and with  $\partial_k = \alpha_{k-1} \circ \beta_k$ :

$$\mathbb{Z}\pi \otimes I(\pi)^k \xrightarrow{\beta_k} \mathbb{Z} \otimes I(\pi)^k \xrightarrow{\alpha_{k-1}} \mathbb{Z}\pi \otimes I(\pi)^{k-1}.$$

where  $\alpha_k, \beta_k$  are from

$$0 \rightarrow I(\pi)^{k+1} \xrightarrow{\alpha_k} \mathbb{Z}\pi \otimes I(\pi)^k \xrightarrow{\beta_k} I(\pi)^k \rightarrow 0$$

which is obtained by taking tensor product with  $I(\pi)^k$  from

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There is a projective resolution

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with  $C_k = \mathbb{Z}\pi \otimes I(\pi)^k$  and with  $\partial_k = \alpha_{k-1} \circ \beta_k$ :

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# Proof of UT

Given  $[\phi]$  we define  $\psi$  that takes  $[\beta_k]$  to  $[\phi]$ . Then the theorem follows from the fact  $\beta_\pi^k = [\beta_k]$ .

$$\begin{array}{ccccccc} & & C_{k+1} & & & & \\ & & \downarrow \beta_{k+1} & \searrow \partial_{k+1} & & & \\ 0 & \longrightarrow & I(\pi)^{k+1} & \xrightarrow{\alpha} & C_k & \xrightarrow{\beta_k} & I(\pi)^k \longrightarrow 0 \\ & & \downarrow & & \searrow \phi & & \vdots \psi \\ & & 0 & & & & L \end{array}$$

# Essential Manifolds

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- ( $M^n$  is inessential  $\Rightarrow f^*(\beta_\pi^n) = 0$ ).  
Let  $f \sim g$ ,  $g : M^n \rightarrow B\pi^{(n-1)}$ . Then  $f^*(\beta_\pi^n) = g^*(\beta_\pi^n) = 0$  by a dimensional reason.
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Let  $c_r \in H^n(B\pi; \pi_{n-1}(B\pi^{(n-1)}))$  be the first obstruction to retraction of  $B\pi$  to the  $(n-1)$ -skeleton. By UT  $\beta_\pi^n \rightarrow c_r$  for some coefficient homomorphism. Since  $f^*(\beta_\pi^n) = 0$ ,  $f^*(c_r) = 0$ . Thus, the obstruction to deform  $f : M^n \rightarrow B\pi$  to the  $(n-1)$ -skeleton is zero.

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- The *Lusternik-Schnirelmann category*,  $cat_{LS}X \leq n$  if there is an open cover  $U_0, \dots, U_n$  by contractible in  $X$  subset.
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The obstruction to the section of  $p_{n-1} : G_{n-1}(M^n) \rightarrow M^n$  is zero.

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# First Step of the proof

- The proof of the Main Theorem uses the Obstruction Theory to get a deformation of  $f : M^n \rightarrow B\pi$  to  $B\pi^{(n-2)}$ .
- Assume that  $M$  has one top dimensional cell.
- 1st obstruction to deformation of  $f$  to  $B\pi^{(n-1)}$  is zero: It lives in  $H^n(M; \pi_n(B\pi, B\pi^{(n-1)}))$ . By PD with twisted coefficients the later equals  $\pi_n(B\pi/B\pi^{(n-1)})$ .
- Moreover, the obstruction is the class of the induced map  $\bar{f} : M/M^{(n-1)} = S^n \rightarrow B\pi/B\pi^{(n-1)}$ . It's null-homotopic, since otherwise it induces a nonzero  $ko_*$ -homomorphism which contradicts to the assumptions and Rosenberg's theorem.
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# The diagram

$$\begin{array}{ccccccc} ko_n(M) & \xrightarrow{f_*} & ko_n(B\pi) & \xrightarrow{per} & KO_n(B\pi) & \xrightarrow{\alpha} & KC \\ \downarrow & & \downarrow & & & & \\ ko_n(M/M^{(n-1)}) & \xrightarrow{\bar{f}_*} & ko_n(B\pi/B\pi^{(n-1)}) & & & & \end{array}$$



## Second step of the proof

- Deform  $f : M \rightarrow B\pi^{(n-1)}$  to a map with the property  $f(M^{(n-1)}) \subset B\pi^{(n-2)}$ . Here we use the properties of the Berstein-Schwarz class of an inessential manifold.
- Show that the 1st obstruction for deforming this  $f$  to  $B\pi^{(n-2)}$  is zero.
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# The converse

- *Does the converse hold true: If  $\dim_{mc} \tilde{M}^n \leq n - 2$  then  $M$  admits a metric with  $Sc(M) > 0$ ?*
- The answer is 'No' even in simply connected case.

## Stolz' Theorem

A closed simply connected spin manifold  $M$  admits a metric of positive scalar curvature if and only if  $c_*([M]_{KO}) = 0$  where  $c : M \rightarrow pt$  is the constant map.

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- An  $n$ -manifold  $M$  is called  *$k$ -essential* if the classifying map  $f : M \rightarrow B\pi$  cannot be deformed to  $B\pi^{(k)}$ . Otherwise it is called  *$k$ -inessential*.
- Thus,  $n$ -inessential means inessential.
- Clearly,  $\dim_{mc} \tilde{M} < k$  for  $k$ -inessential  $n$ -manifolds
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- **CHARACTERIZATION CONJECTURE.** *A closed  $n$ -dimensional spin manifold with torsion free fundamental group  $\pi$  admits a metric with positive scalar curvature if and only if it is  $(n - 1)$ -inessential and  $f_*([M]) = 0$  for a map  $f : M \rightarrow B\pi$  classifying the universal covering of  $M$ .*
- Schick's example, Joachim-Schick, and the Dwyer-Schick-Stolz are essential, so none of them is a counterexample to this conjecture.
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# Characterization Conjecture

- **THEOREM.** *The characterization Conjecture holds true under the condition of the Main Theorem*
- The proof of our main Theorem, Rosenberg's theorem and the Strong Novikov Conjecture imply the "only if" part for both.
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## Rosenberg-Stolz Theorem

Suppose that a discrete group  $\pi$  has the following properties:

- The Strong Novikov Conjecture holds for  $\pi$ .
- The natural map  $per : ko_n(B\pi) \rightarrow KO_n(B\pi)$  is injective.

Then the Gromov-Lawson Conjecture holds true for spin  $n$ -manifolds  $M$  with the fundamental group  $\pi_1(M) = \pi$ .

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## Theorem

$M^n$  is essential  $\Leftrightarrow f_*([M]) \neq 0$  in  $H_n(B\pi)$  where  $f : M^n \rightarrow B\pi$  is the classifying map.

- ( $\Leftarrow$ ) If  $f$  is inessential,  $f \sim g$  with  $g_*([M]) = 0$  by dimensional reason.
- ( $\Rightarrow$ ) We can assume that  $f(M^{(n-1)}) \subset B\pi^{(n-1)}$ . Consider the obstruction to extend  $f|_{M^{(n-1)}} : M^{(n-1)} \rightarrow B\pi^{(n-1)}$  to  $M$ . It has the form  $f^*(x)$  for some  $x \in H^n(B\pi; \pi_{n-1}(B\pi^{(n-1)}))$  (with twisted coefficients). Because of the Poincaré duality for  $M$  and  $f^*(x) \neq 0$  we have  $\langle f^*(x), [M] \rangle \neq 0$ .  
Contradiction:  $0 \neq f_* \langle f^*(x), [M] \rangle = \langle x, f_*[M] \rangle = 0$ .

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- A manifold  $M^n$  is called **rationally essential** if  $f_*([M]) \neq 0$  in  $H_n(B\pi; \mathbb{Q})$ .
- **PROBLEM 1.** (Gromov) Does the inequality  $\dim_{mc} \tilde{M}^n < n$  imply that  $M^n$  is rationally inessential?
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- REMARK. Gromov's conjecture can be proven for cohomological macroscopic dimension (at least rational).
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