

1 Tree automata

What is a Tree Automaton?
Decision Problems

2 Logic

Logic for Words
Logic for Trees
Transitive Closure Logic

3 Temporal Logics

Temporal Logic for Words
Temporal Logic for Trees
XPath

4 Tree-Walking Automata, 1

Tree-Walking Automata
Expressive Power
Pebble Automata

5 Tree-Walking Automata, 2

Tree-Walking Automata Cannot Be Determinized

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Tree-Walking Automata Cannot Be Determinized

Theorem (B., Colcombet '04)

Tree-walking automata cannot be determinized.

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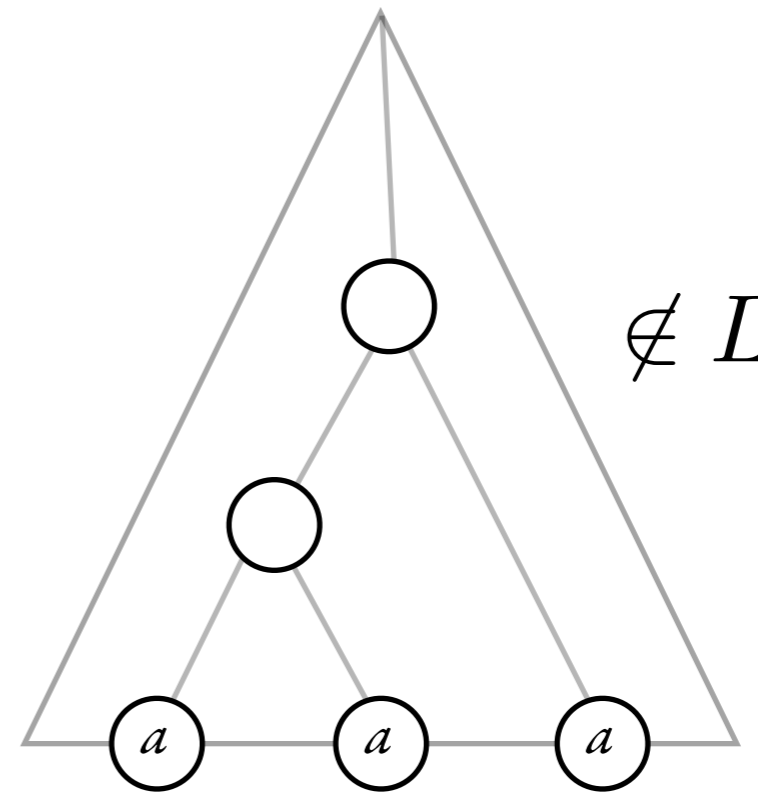
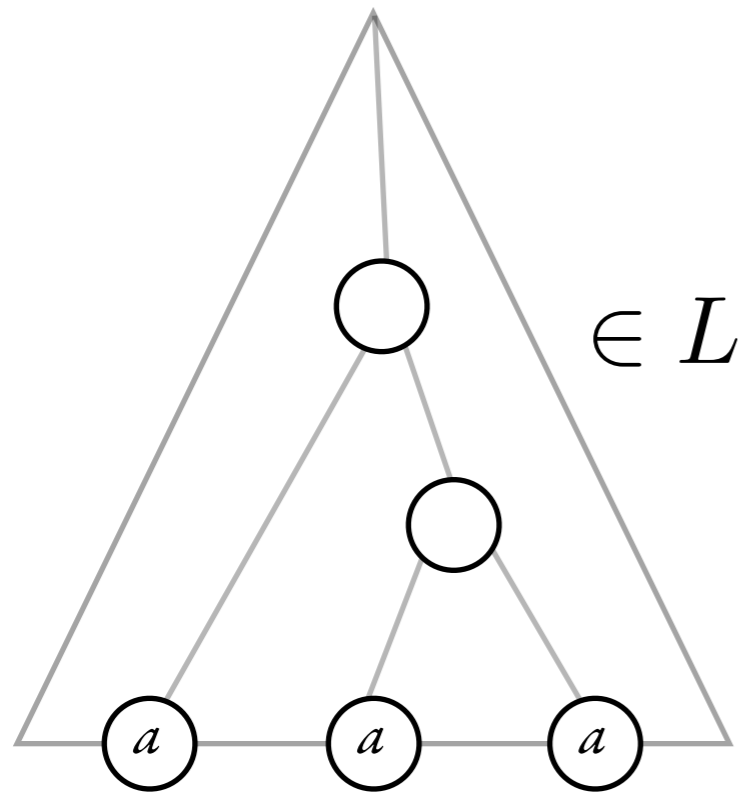
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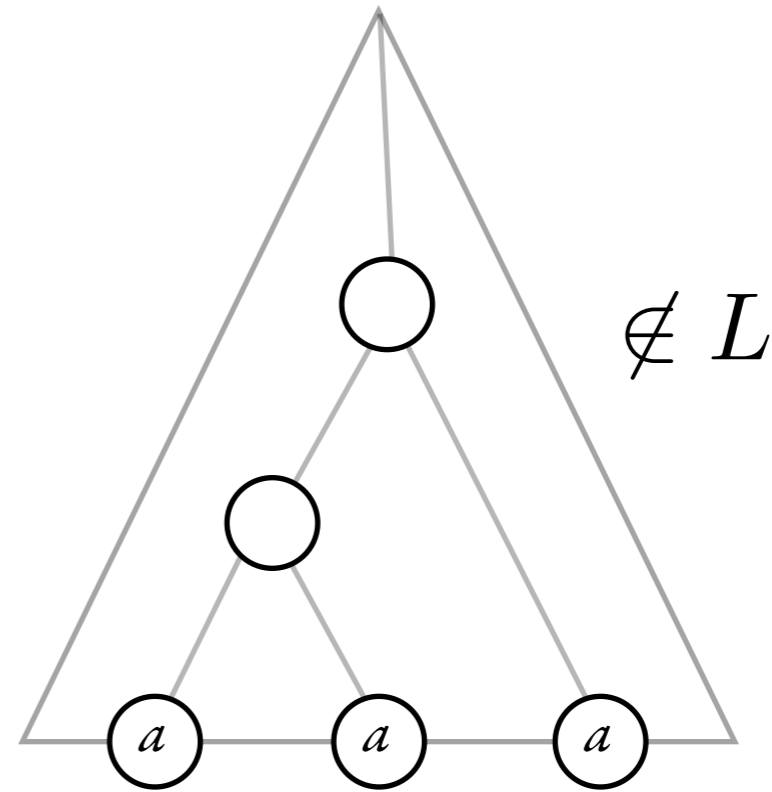
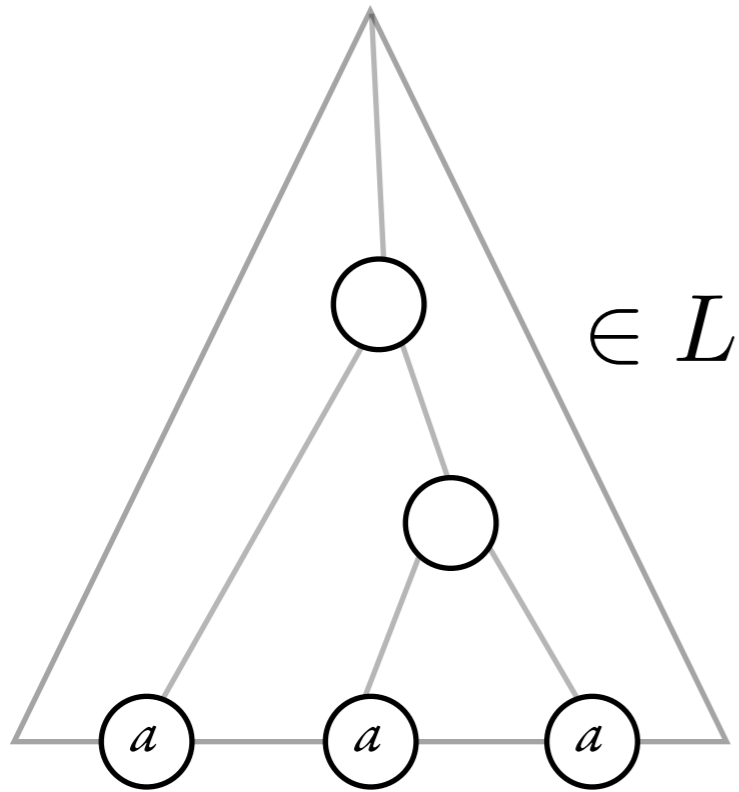
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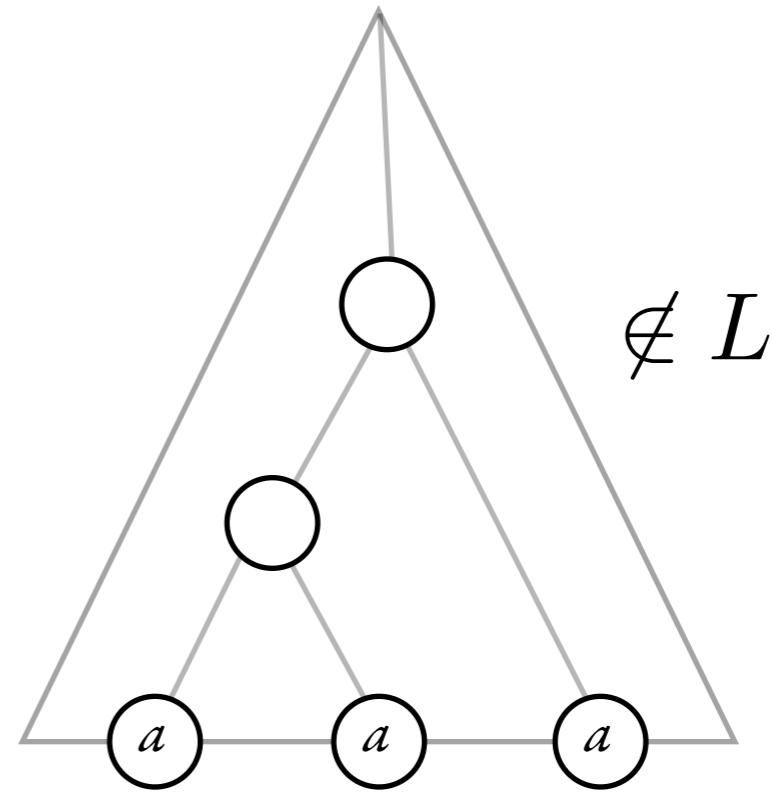
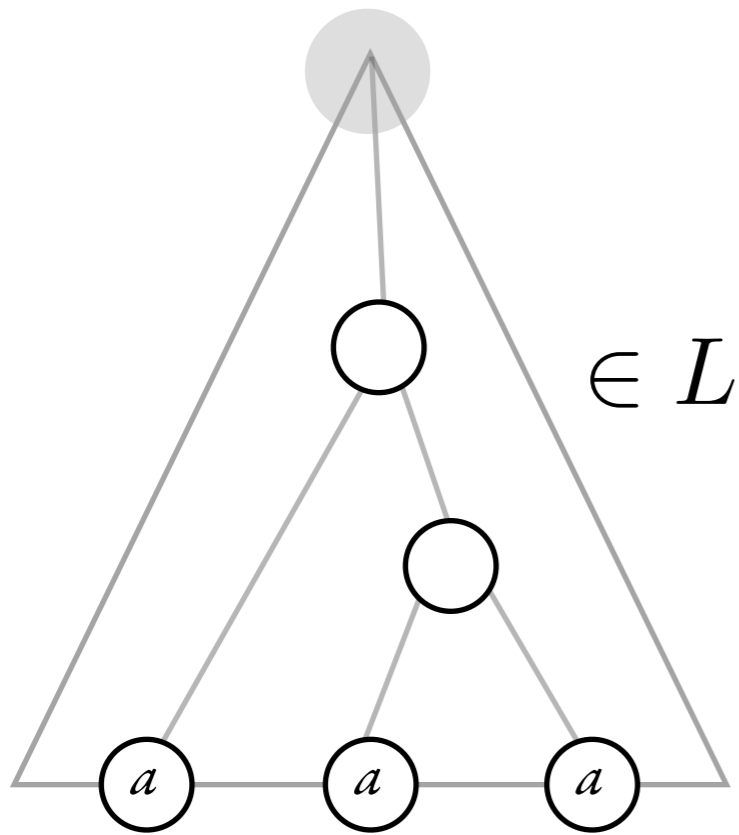


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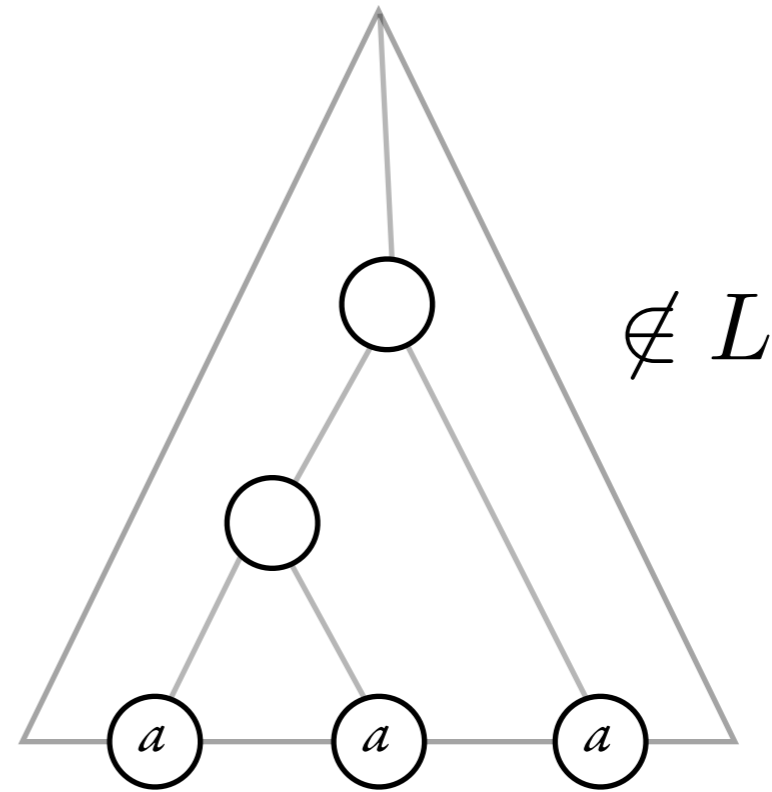
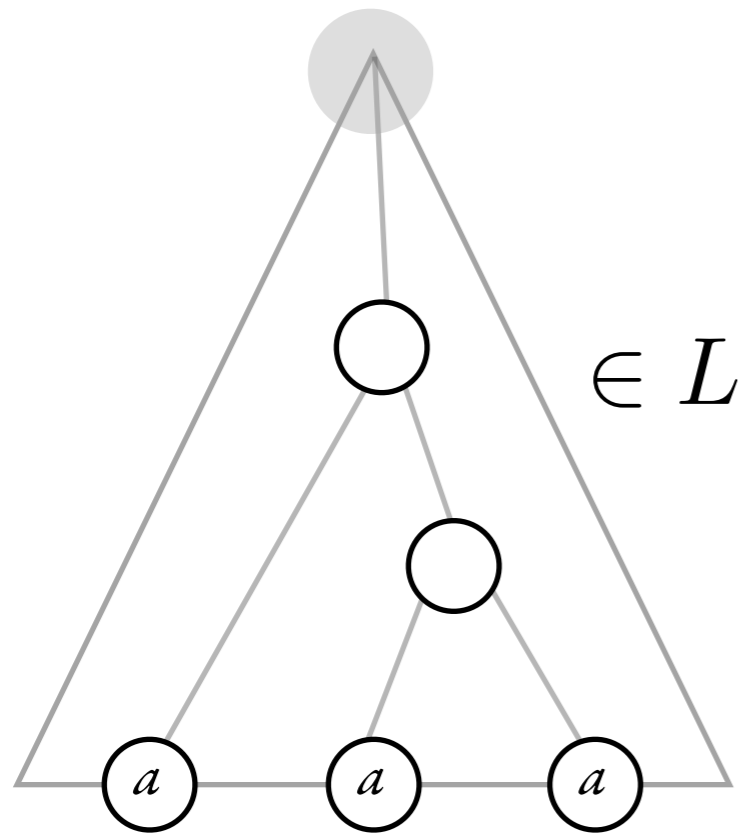


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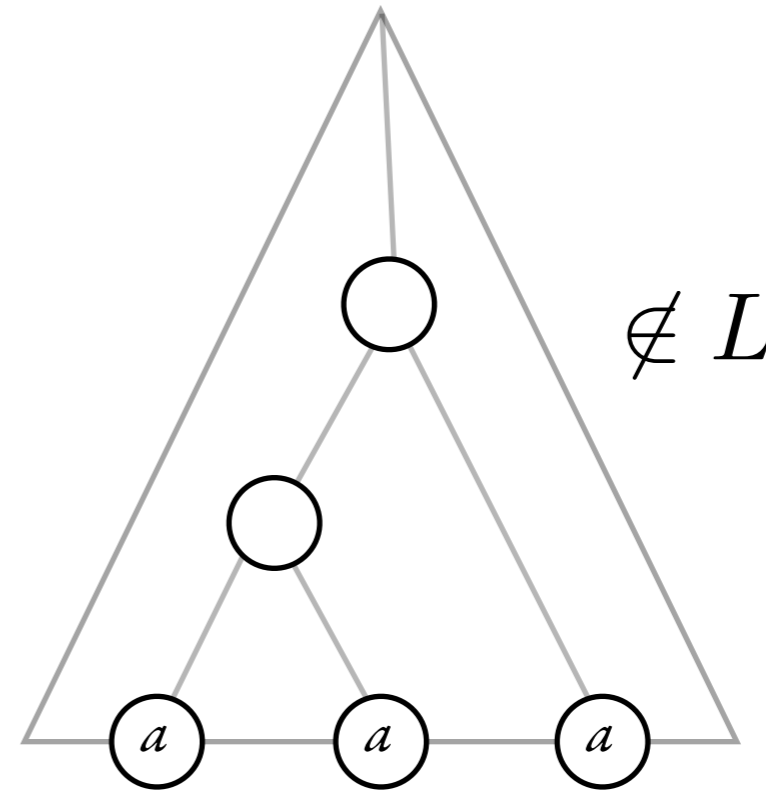
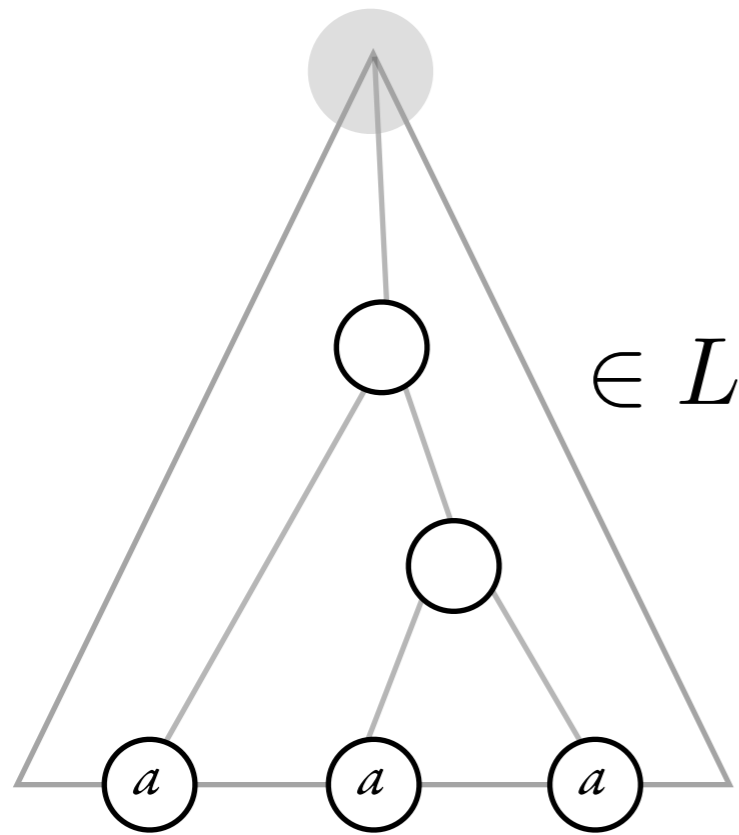
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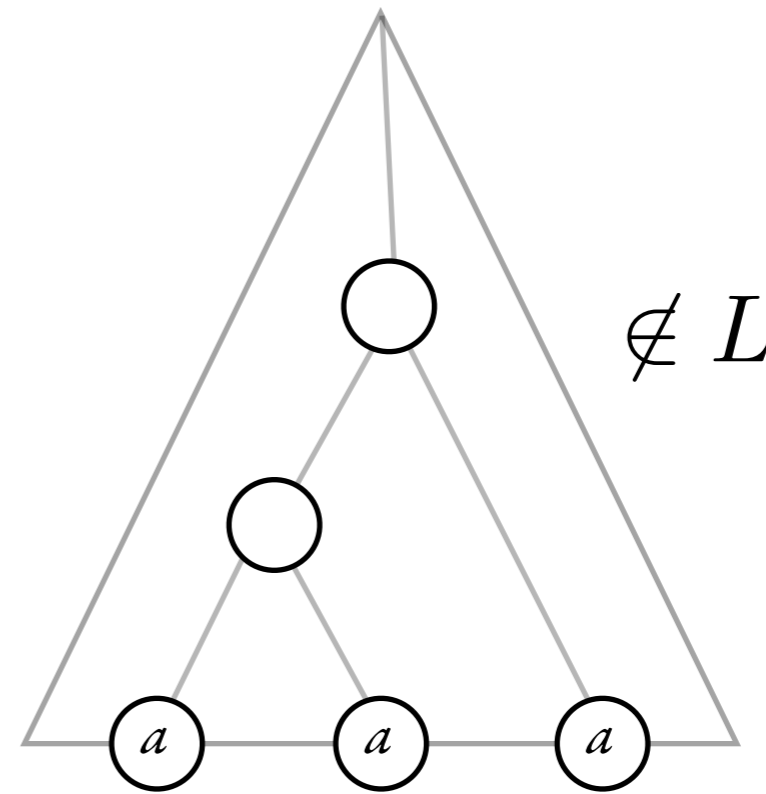
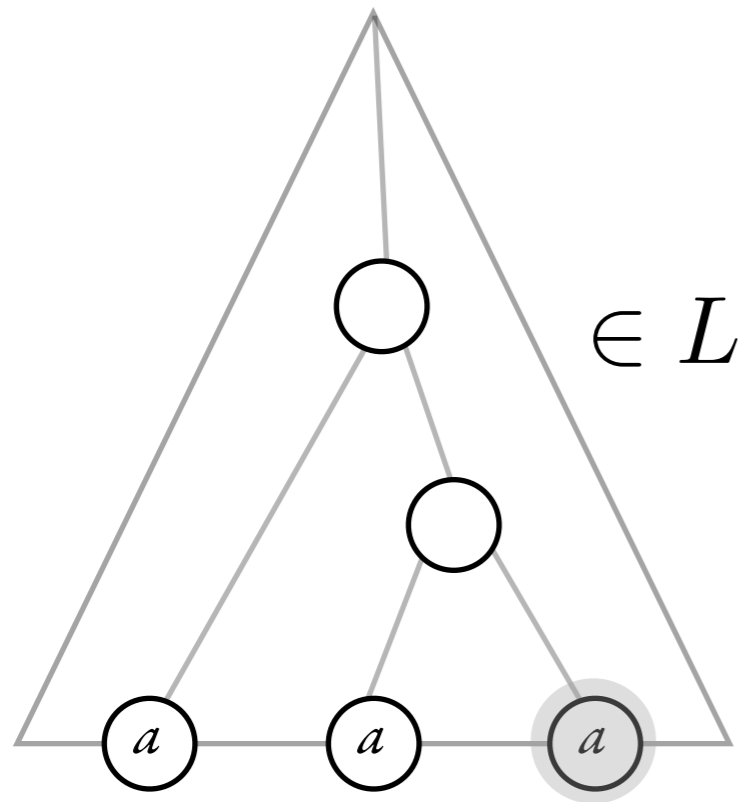
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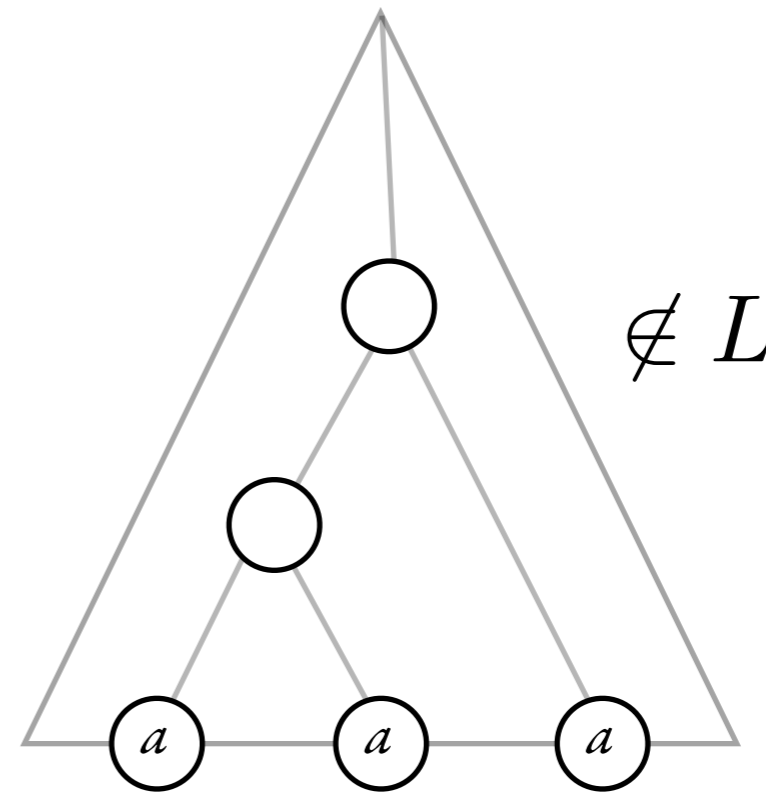
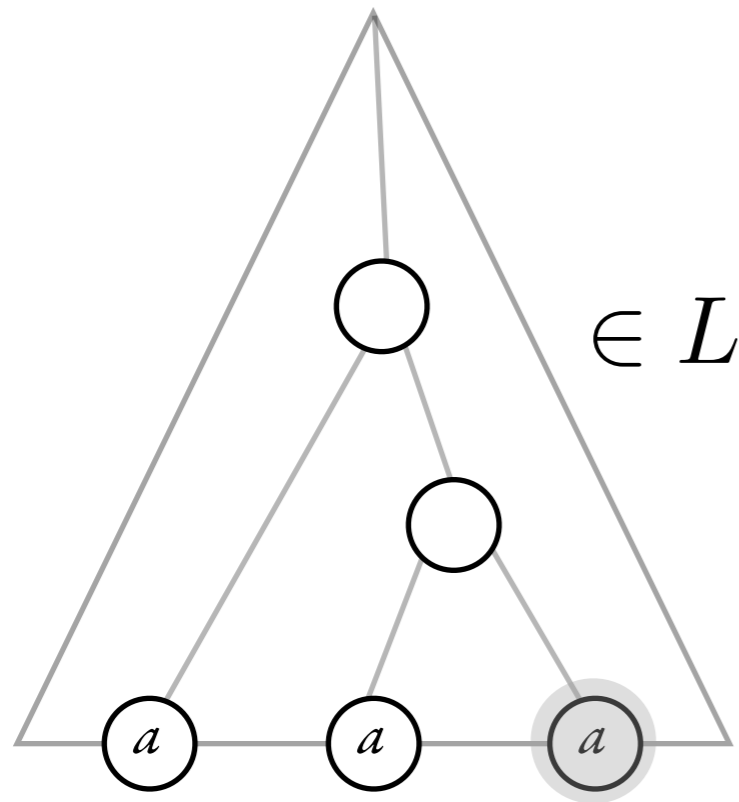
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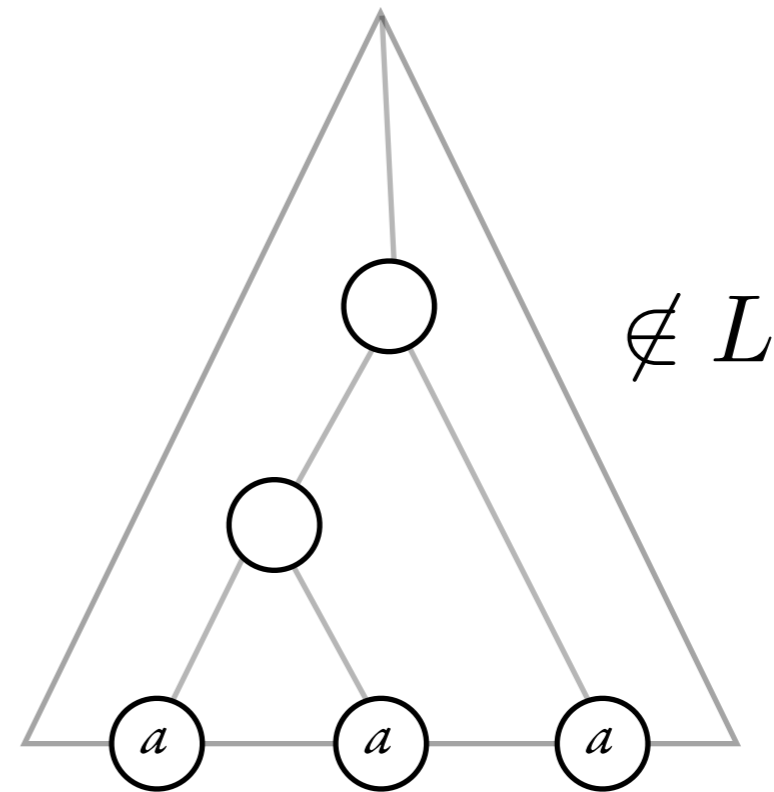
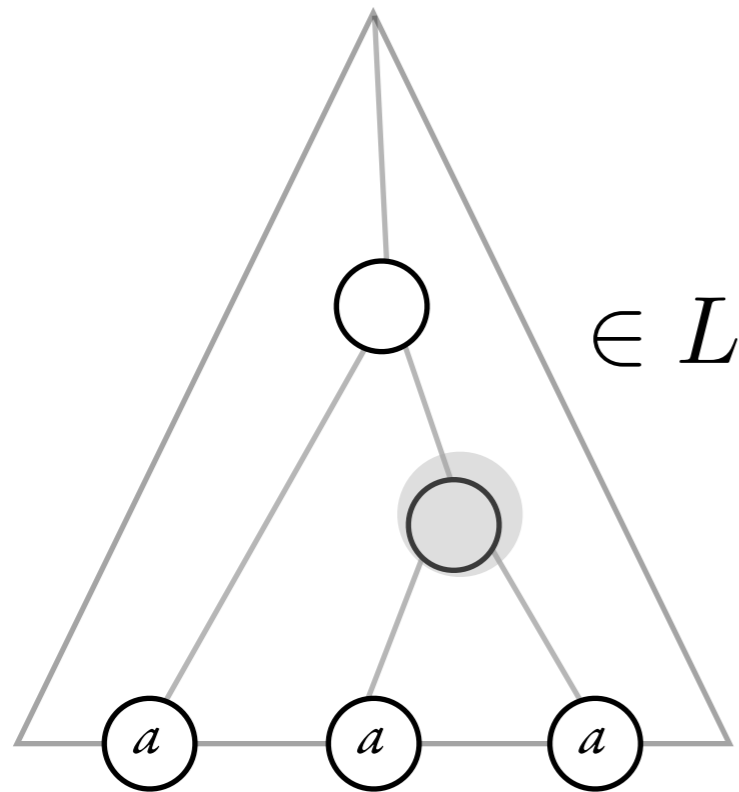
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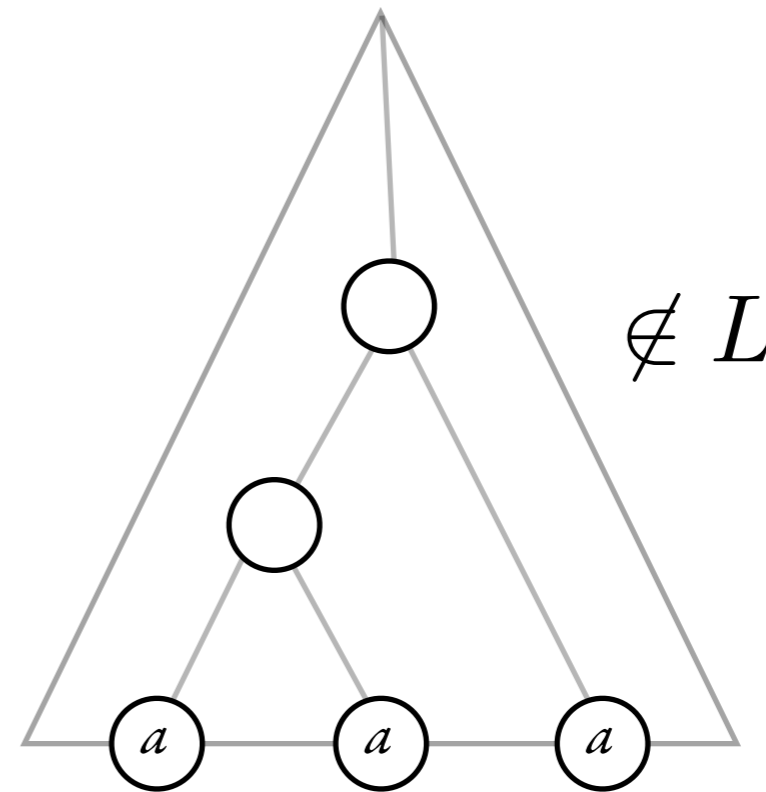
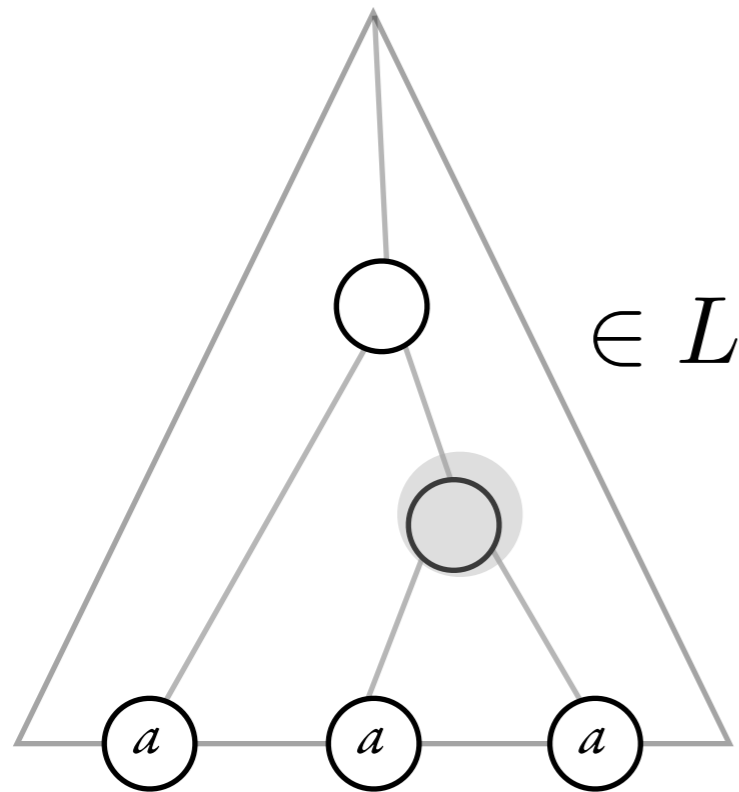
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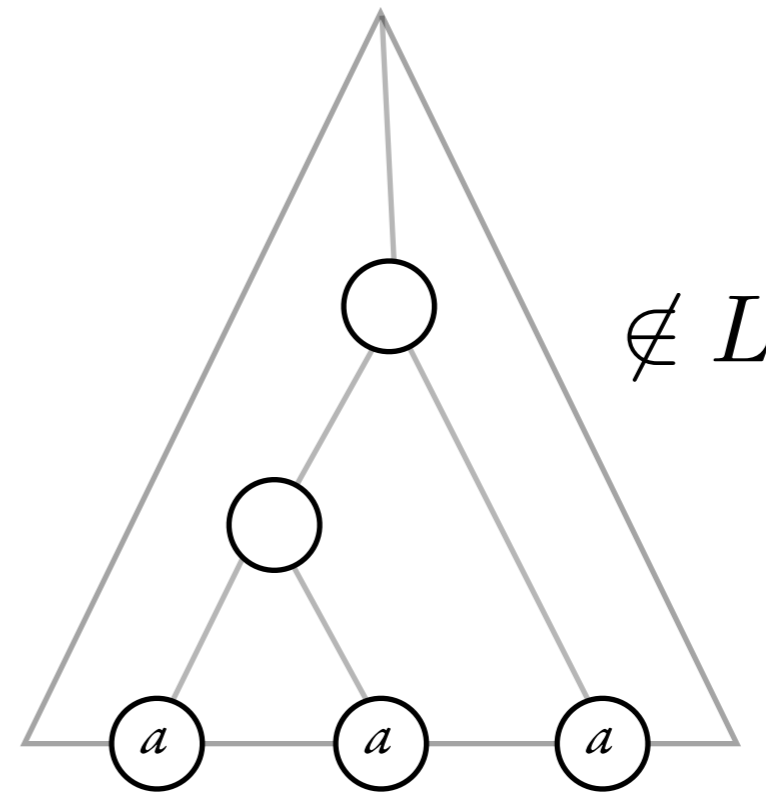
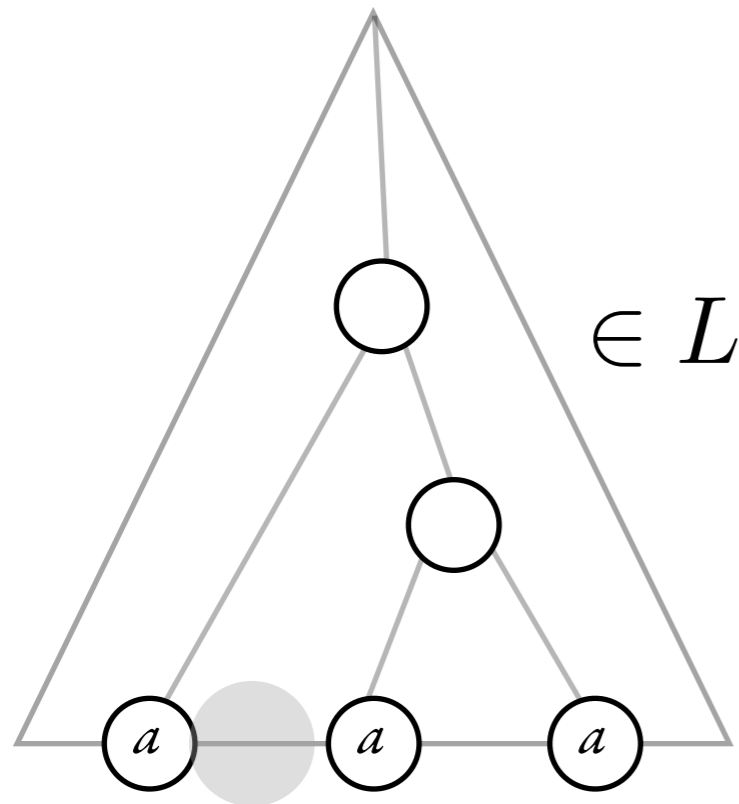
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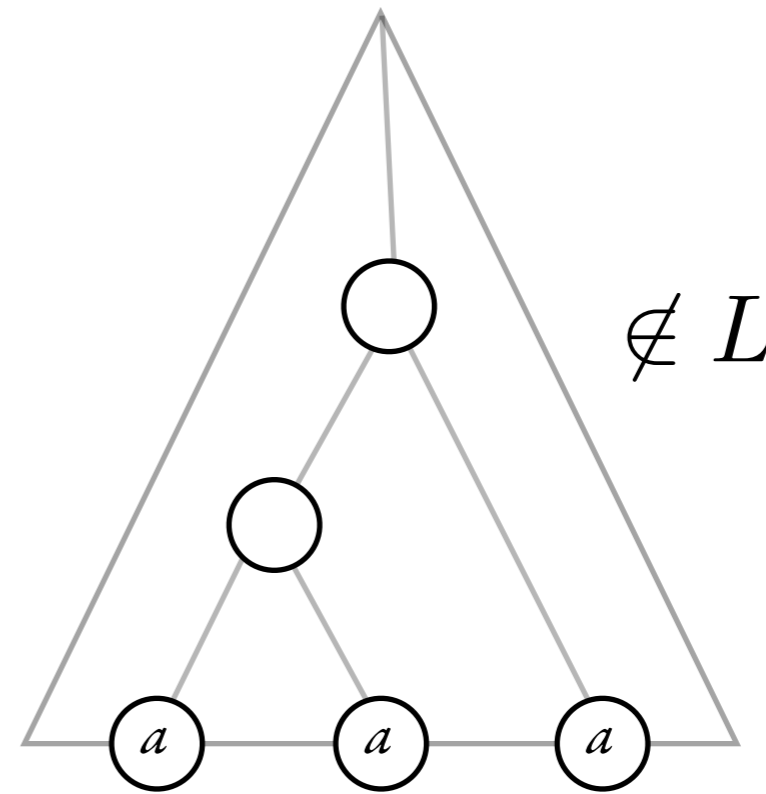
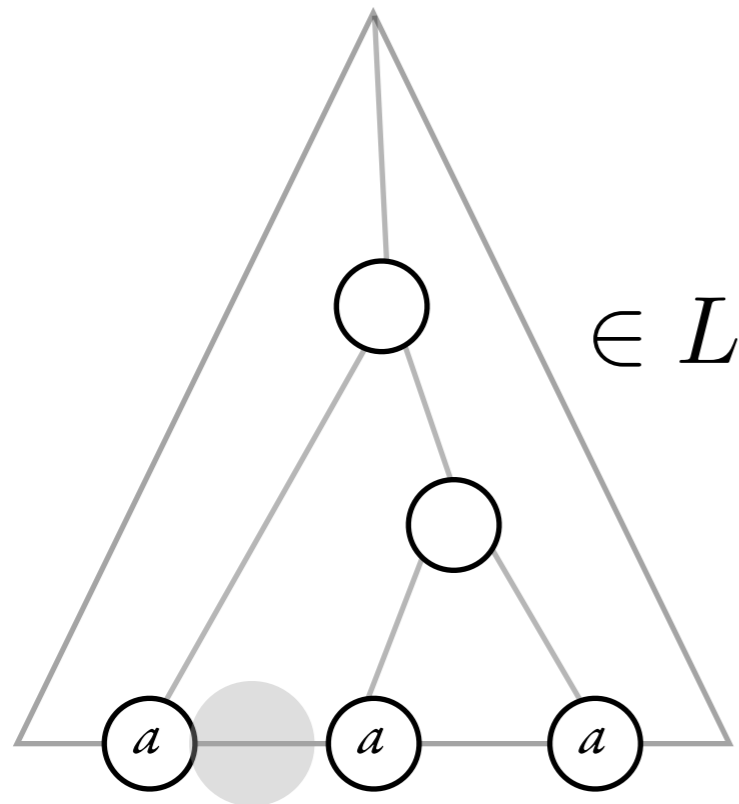
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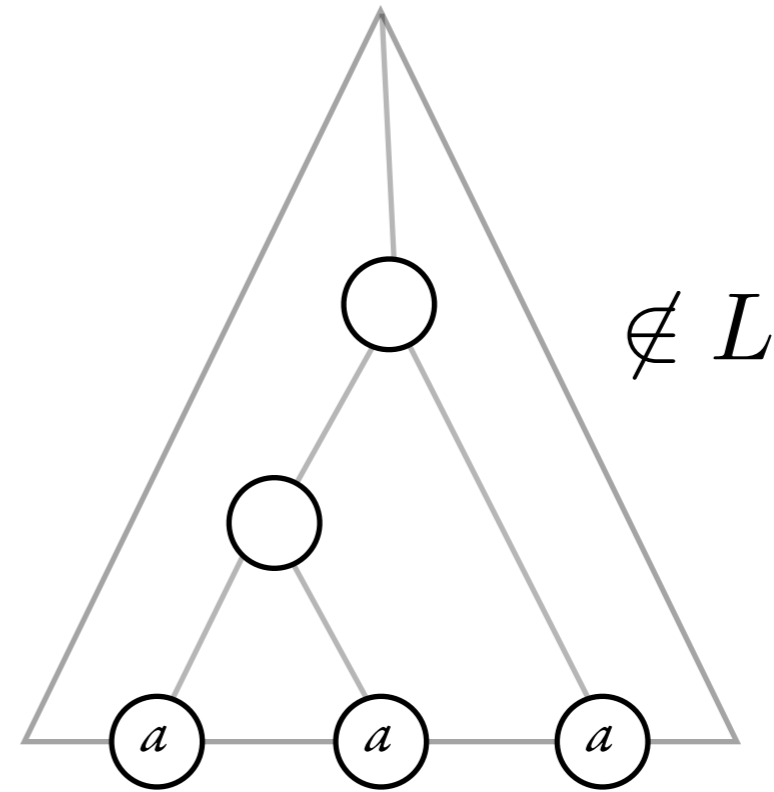
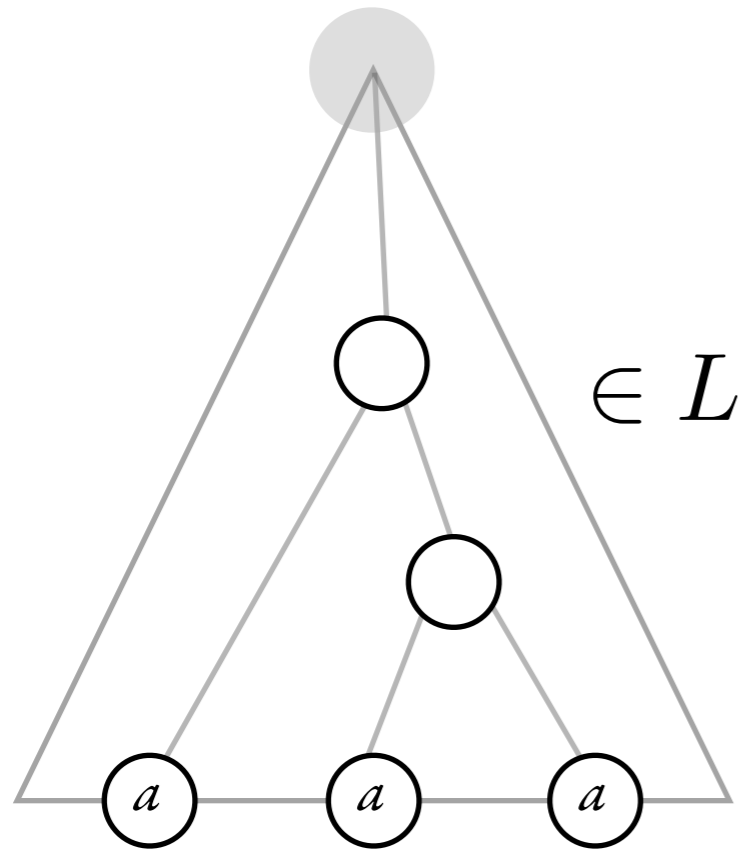
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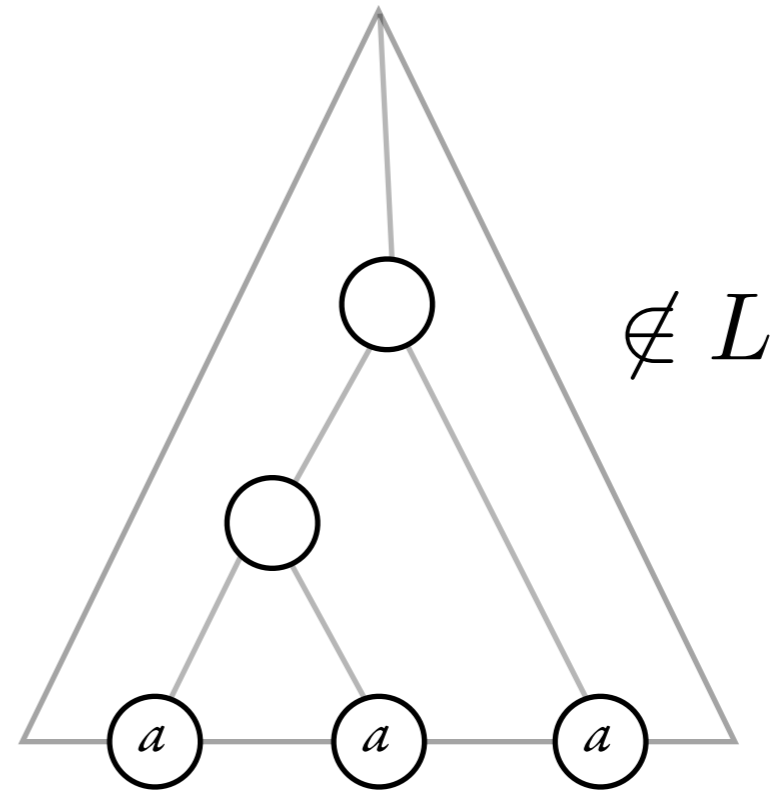
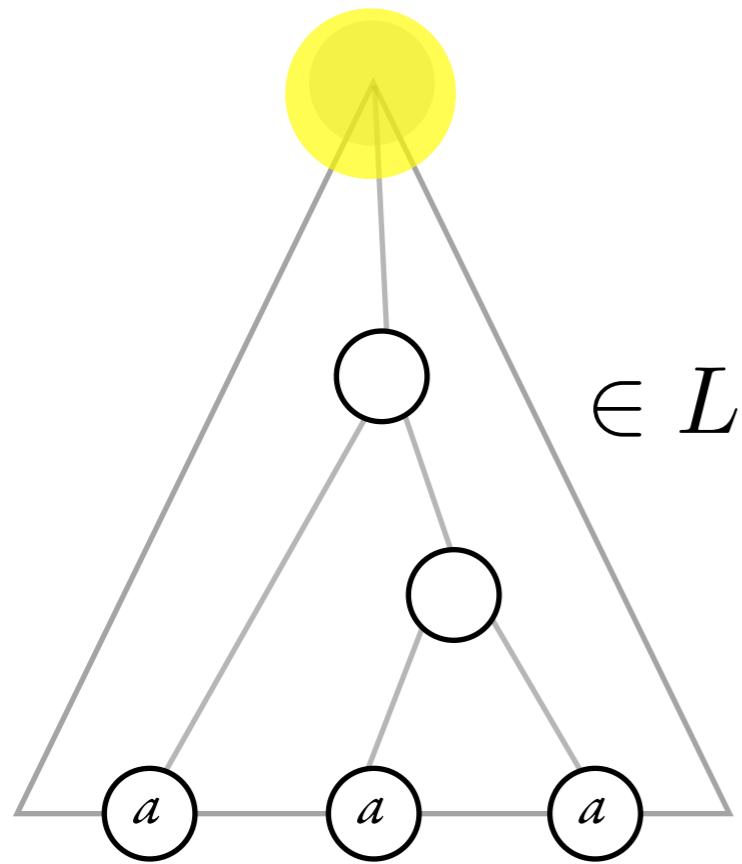
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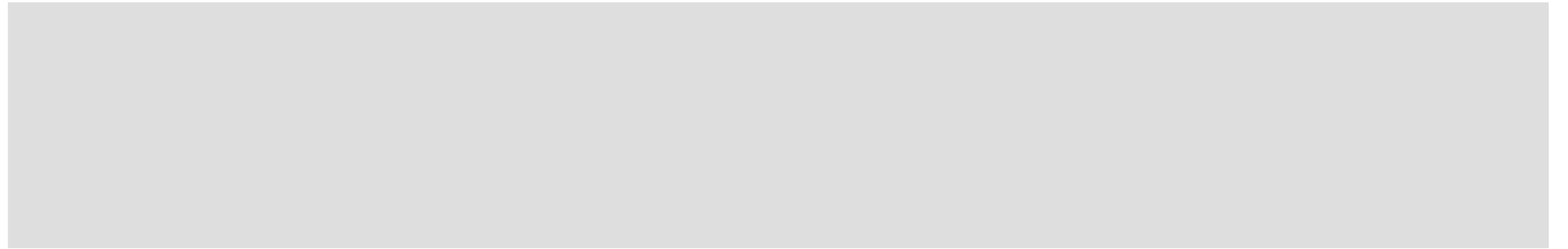
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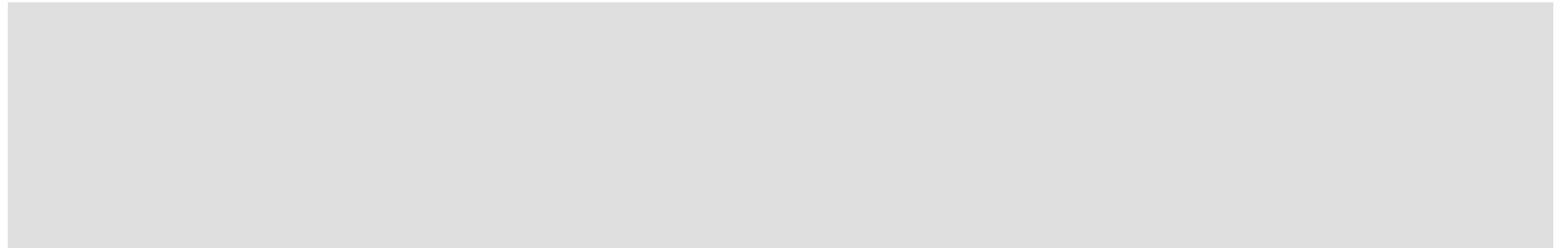
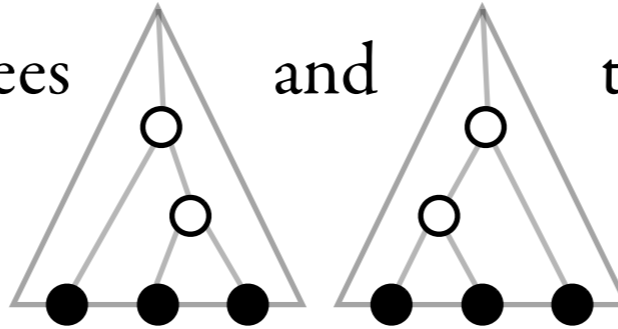
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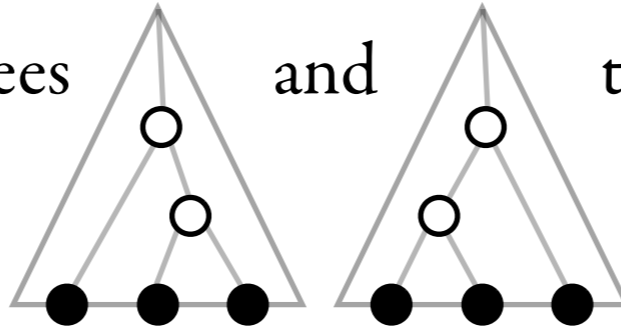
We will find trees and that cannot be distinguished by A .



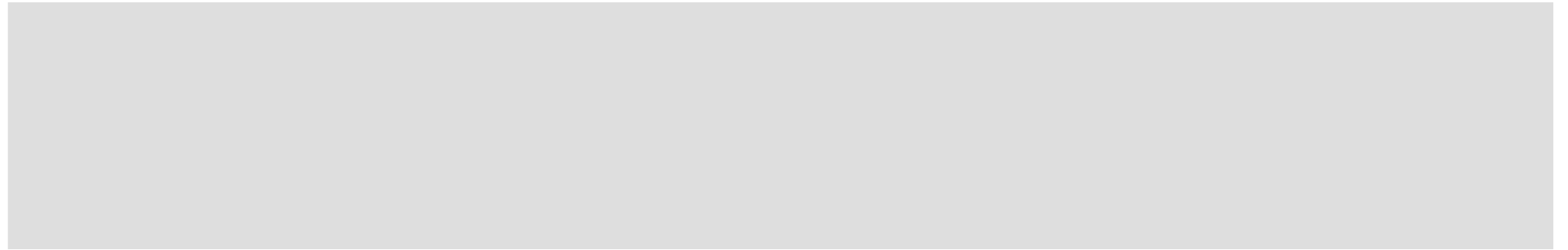
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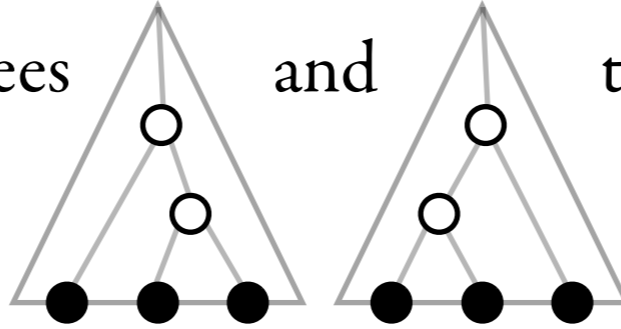
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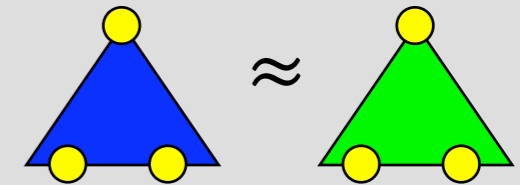
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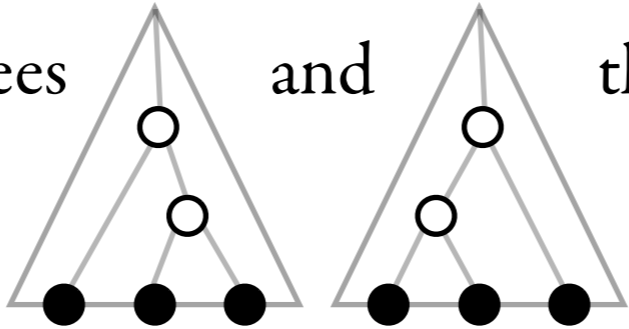
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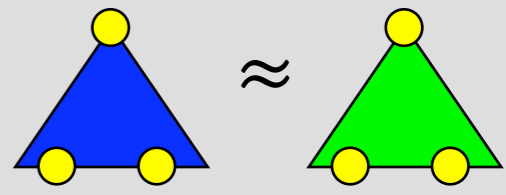
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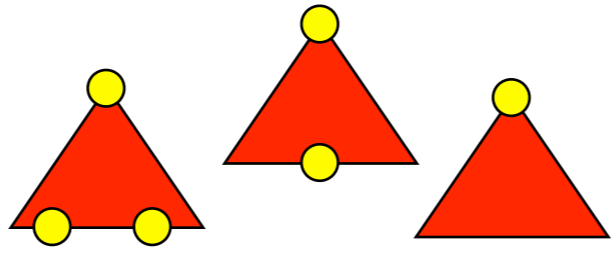


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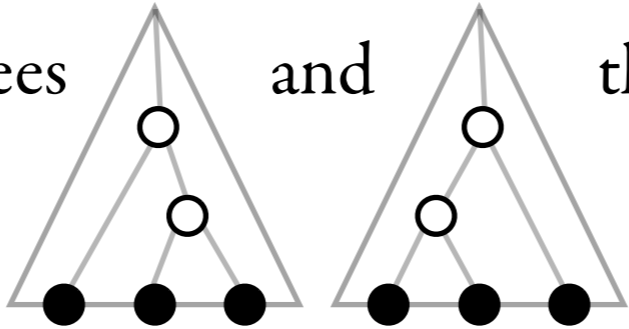
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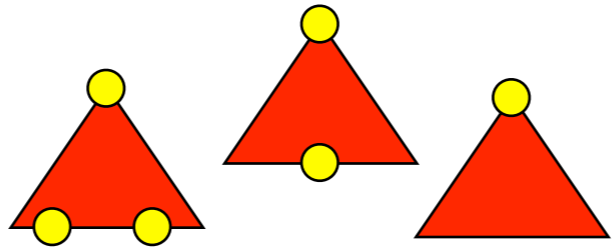
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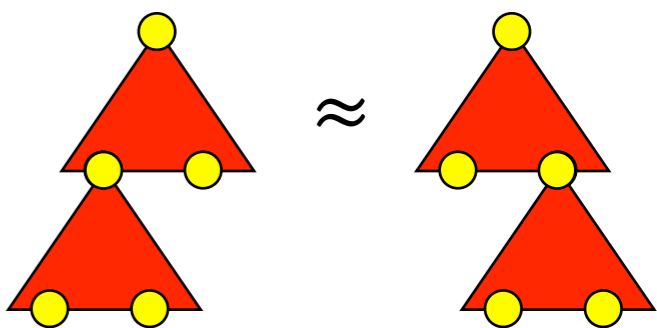
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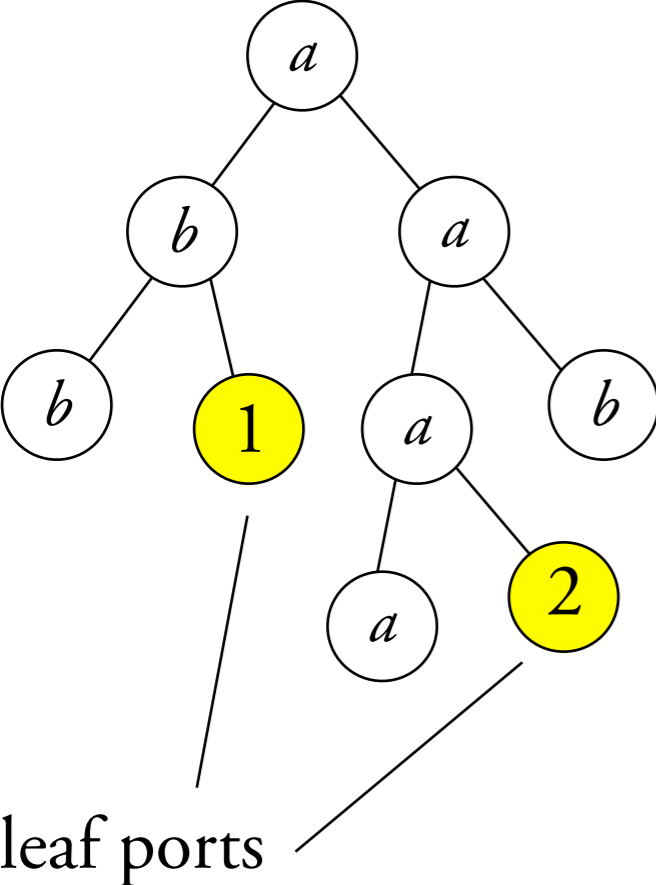
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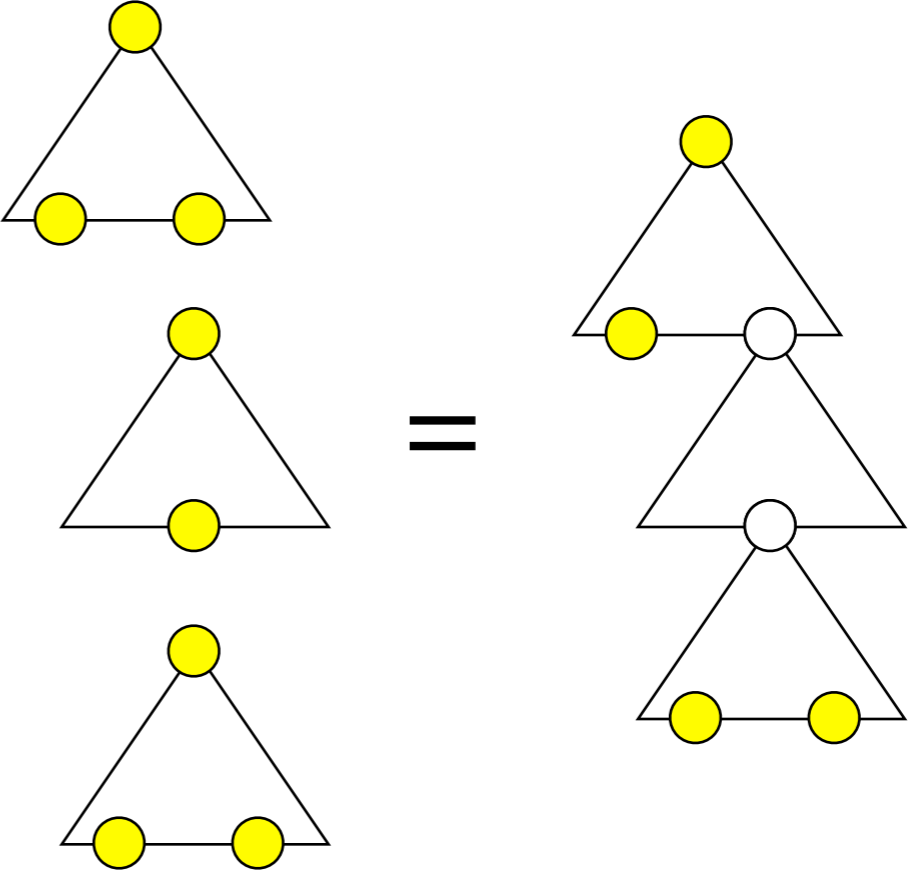
3 Build the counterexample using these confusing patterns



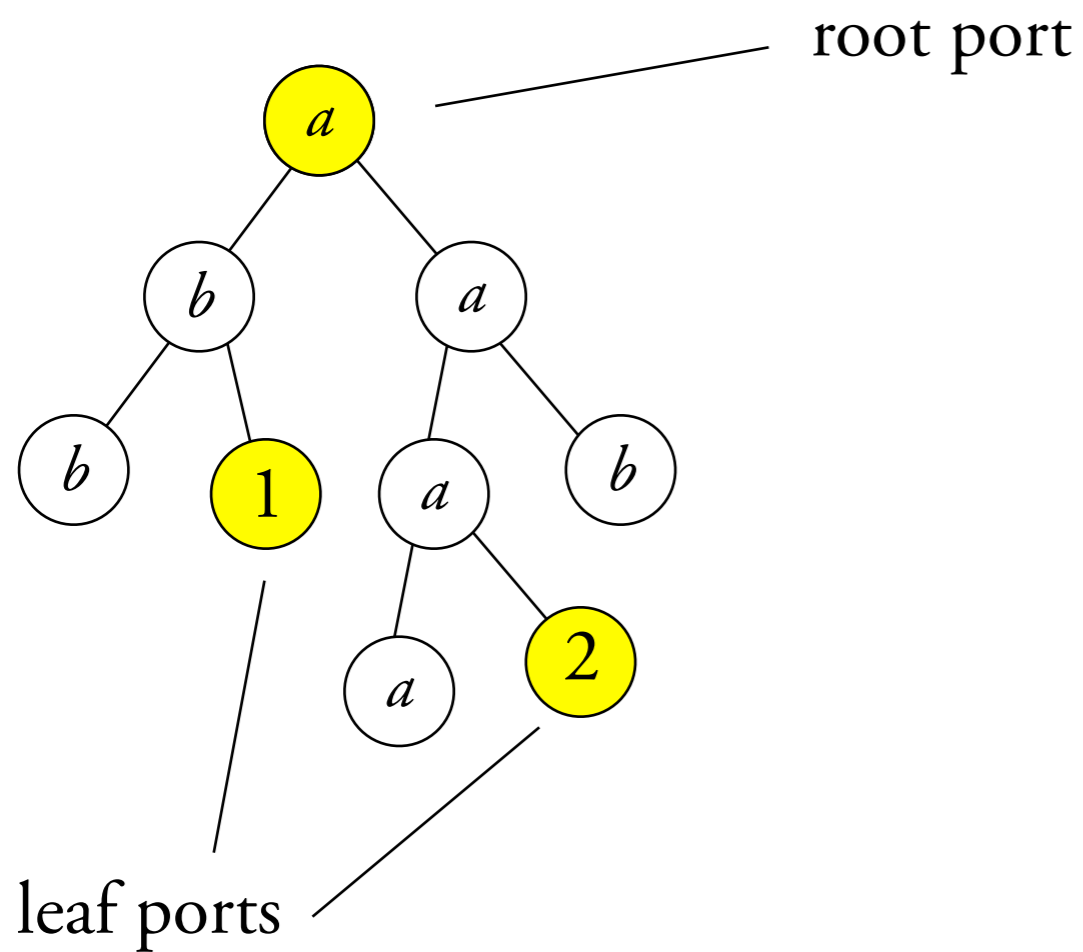
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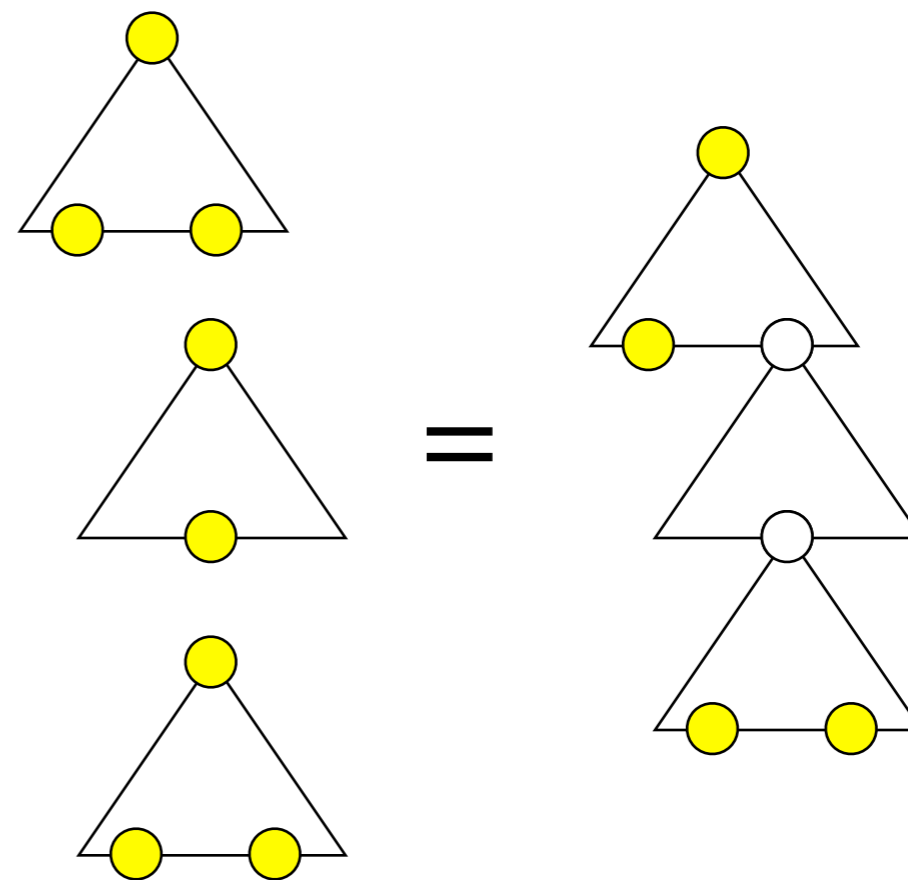
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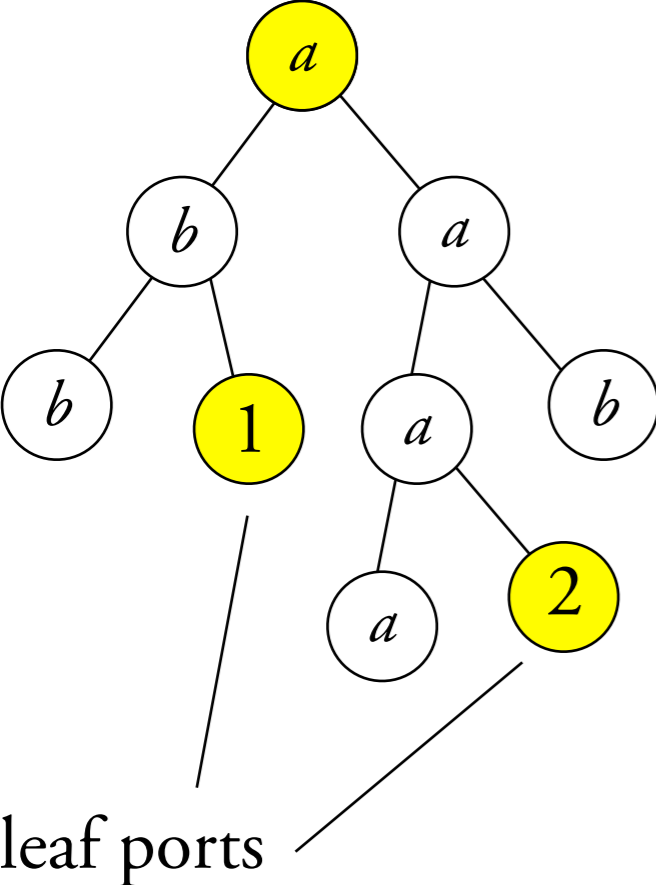
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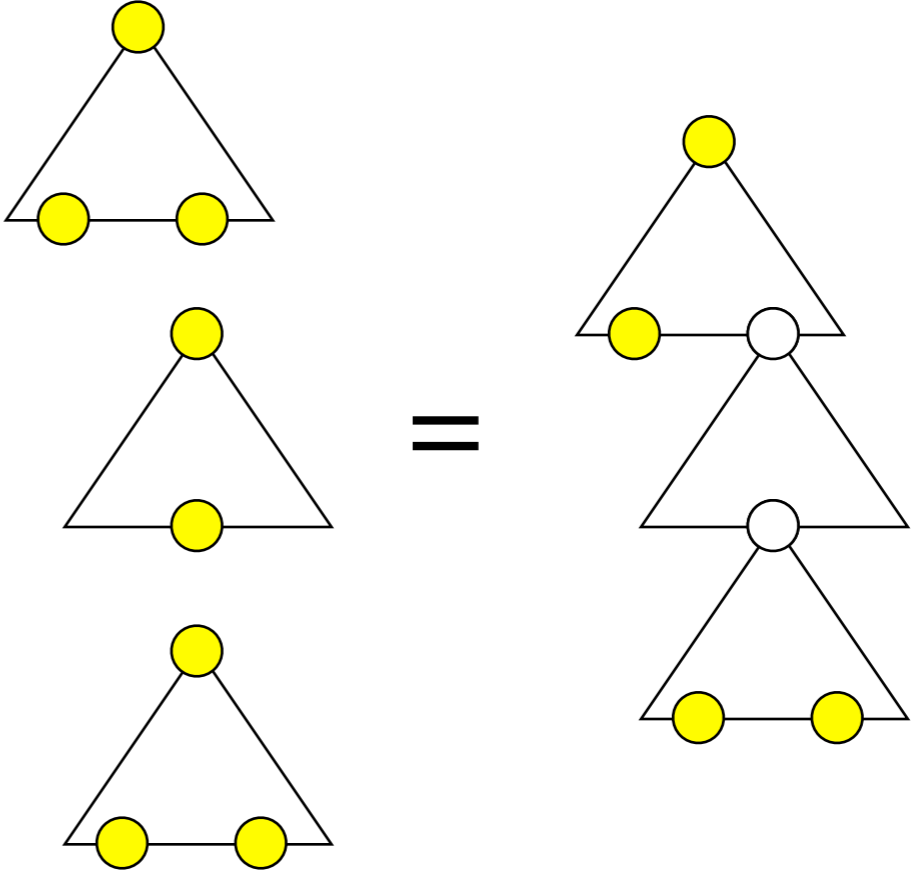
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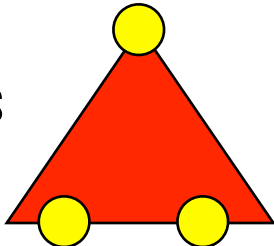
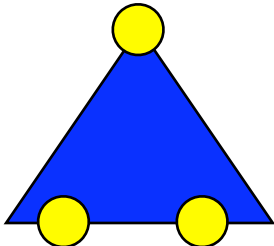
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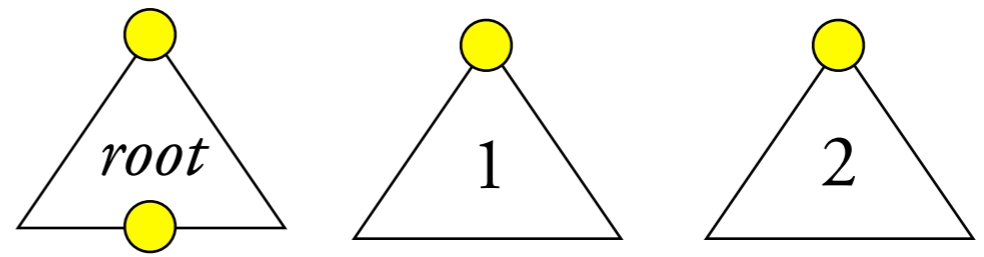
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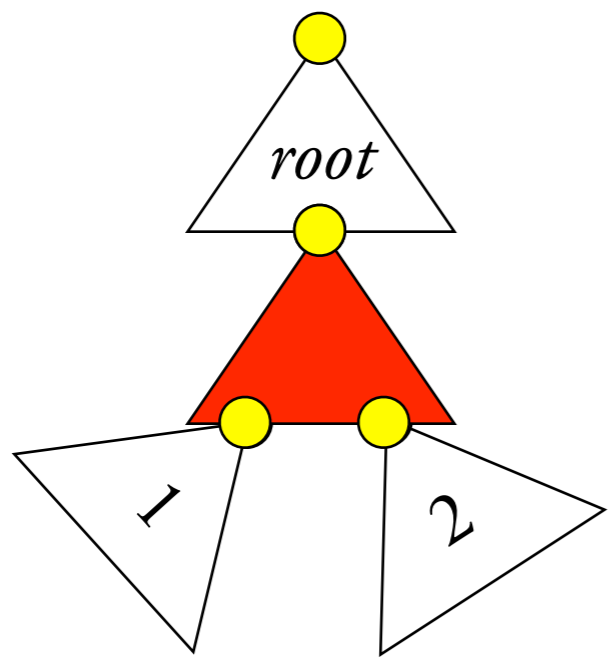
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Two patterns  and  are considered A -equivalent if

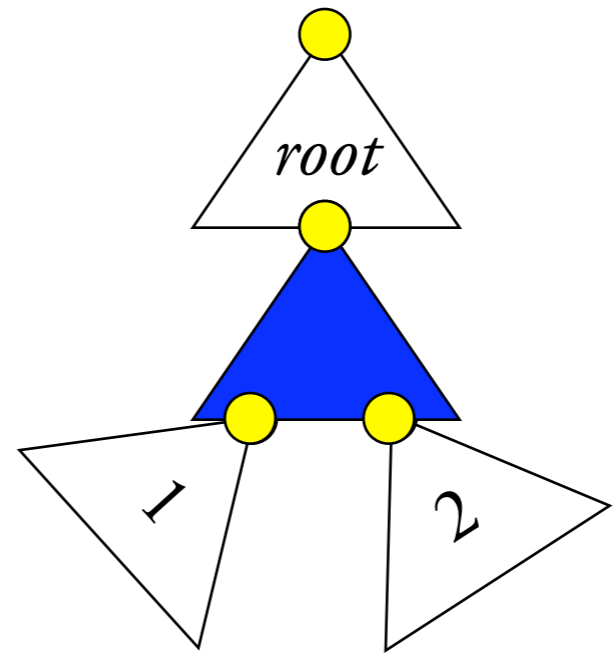
for every completion



A accepts the tree

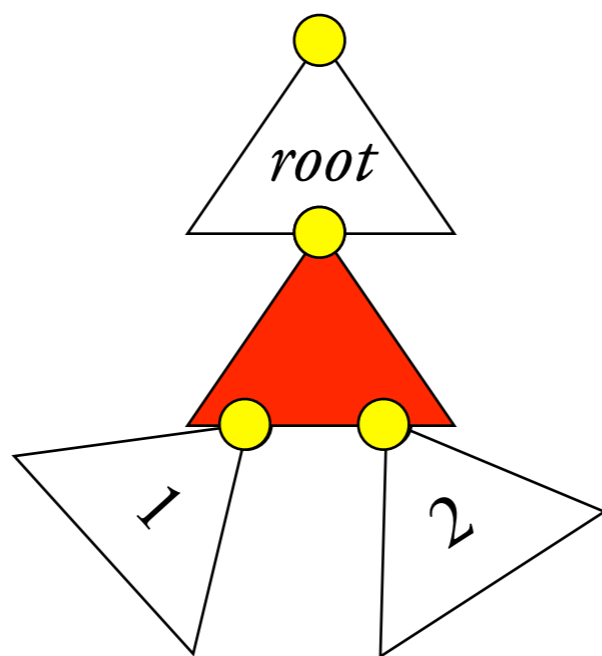


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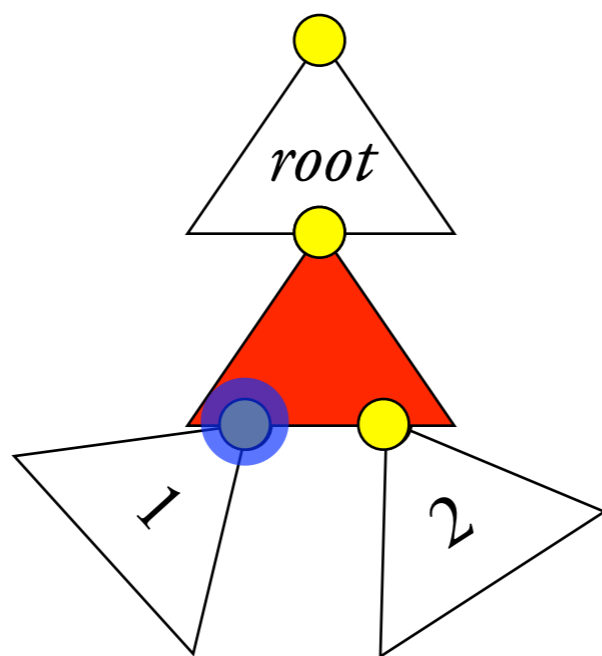


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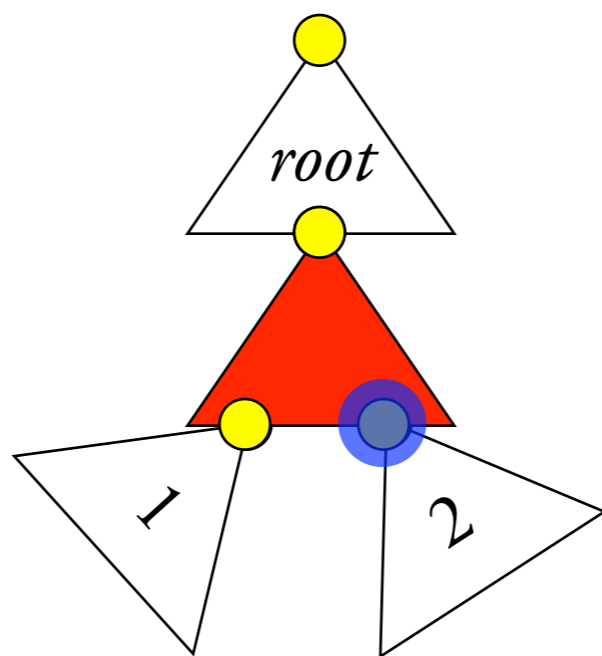
Fact. For a fixed number of ports, A -equivalence has finitely many equivalence classes.



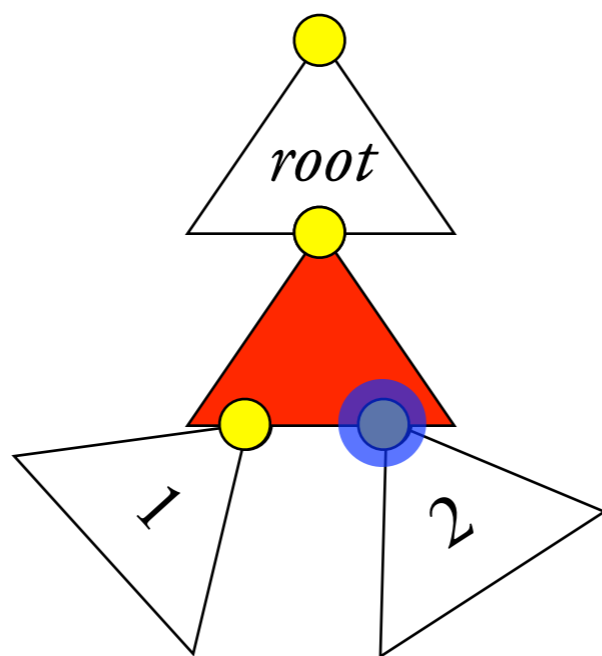
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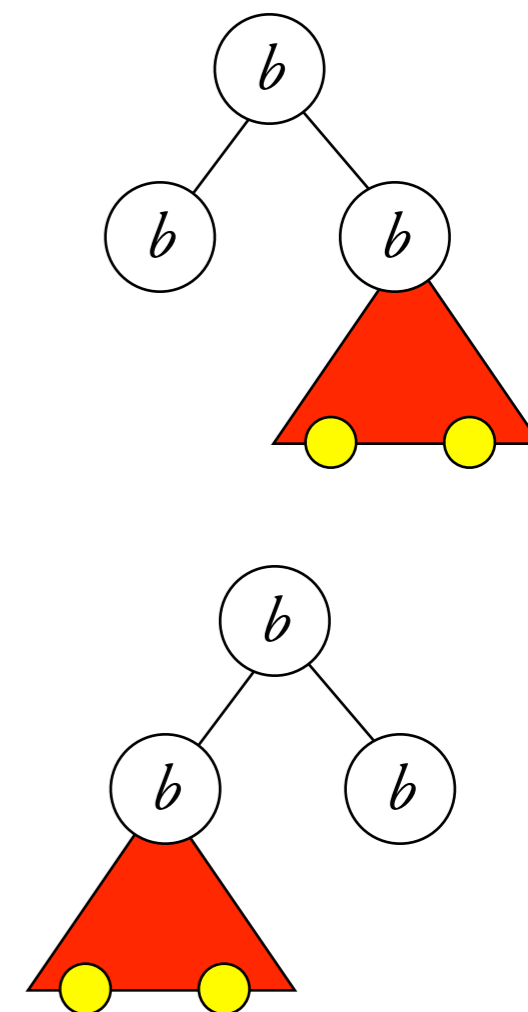
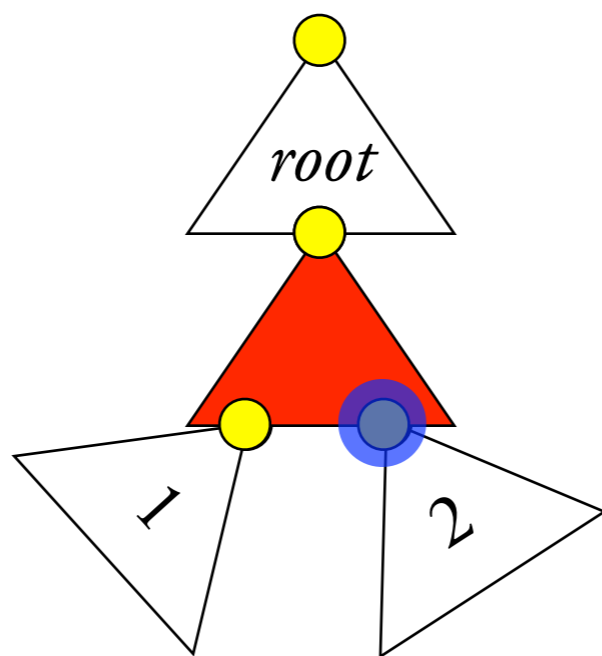


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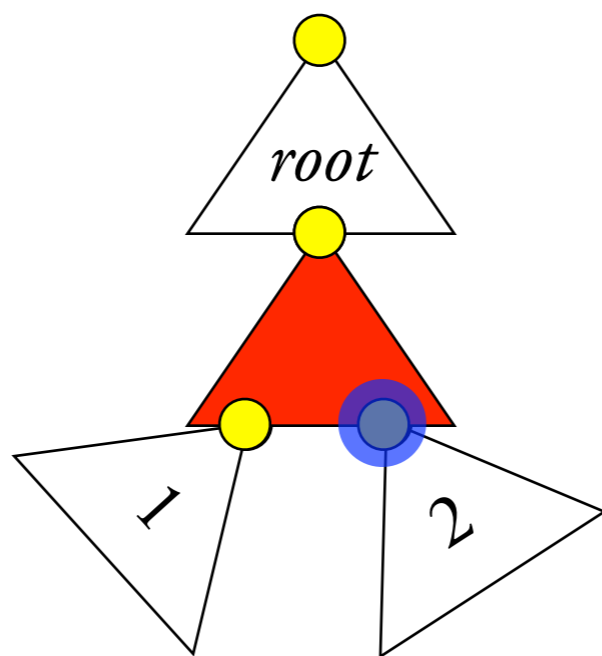
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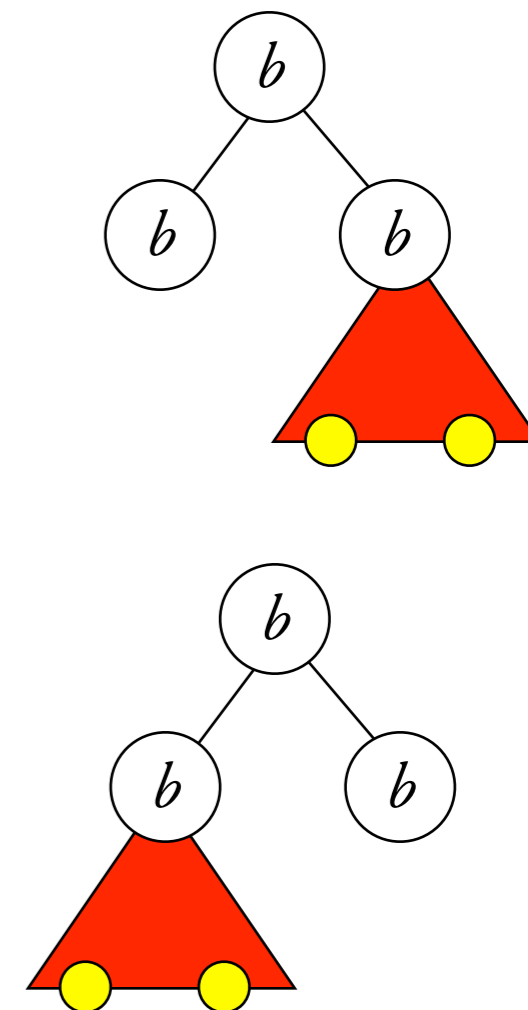
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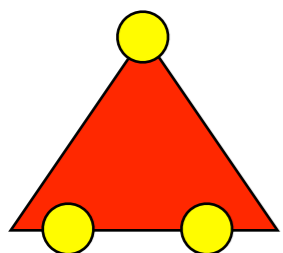


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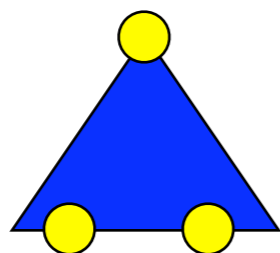
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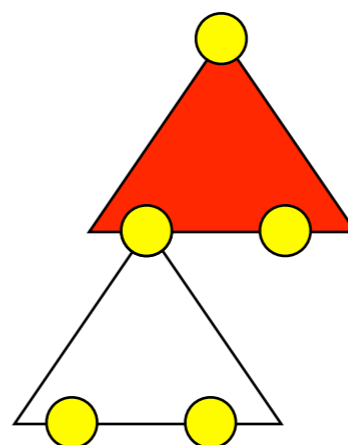
A -equivalence is a congruence with respect to pattern composition.



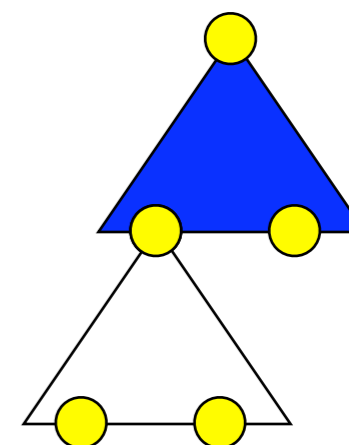
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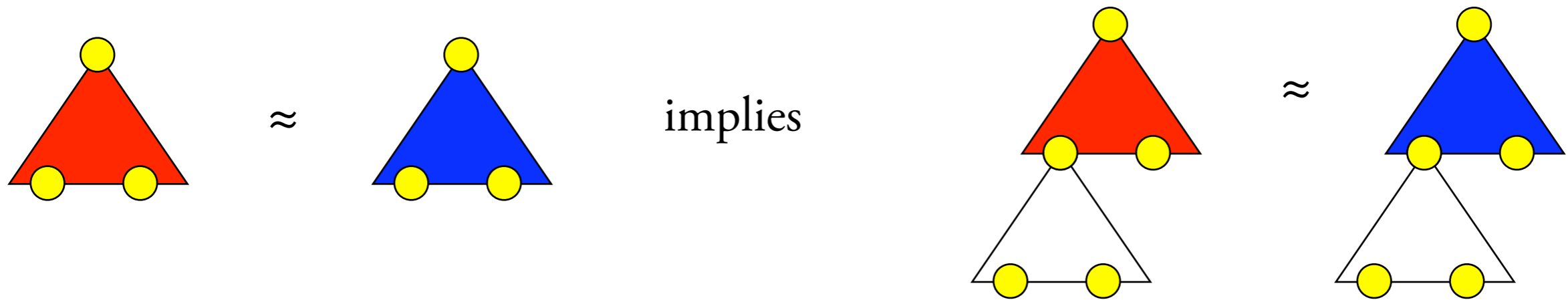
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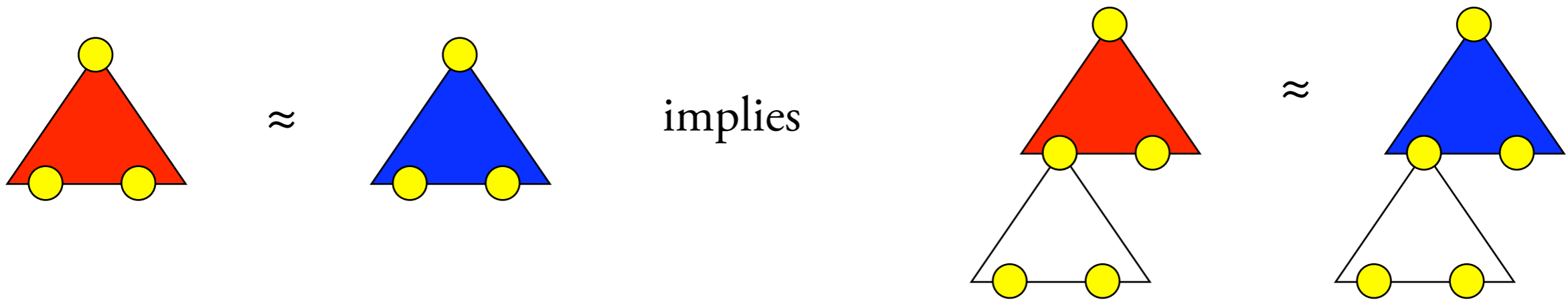


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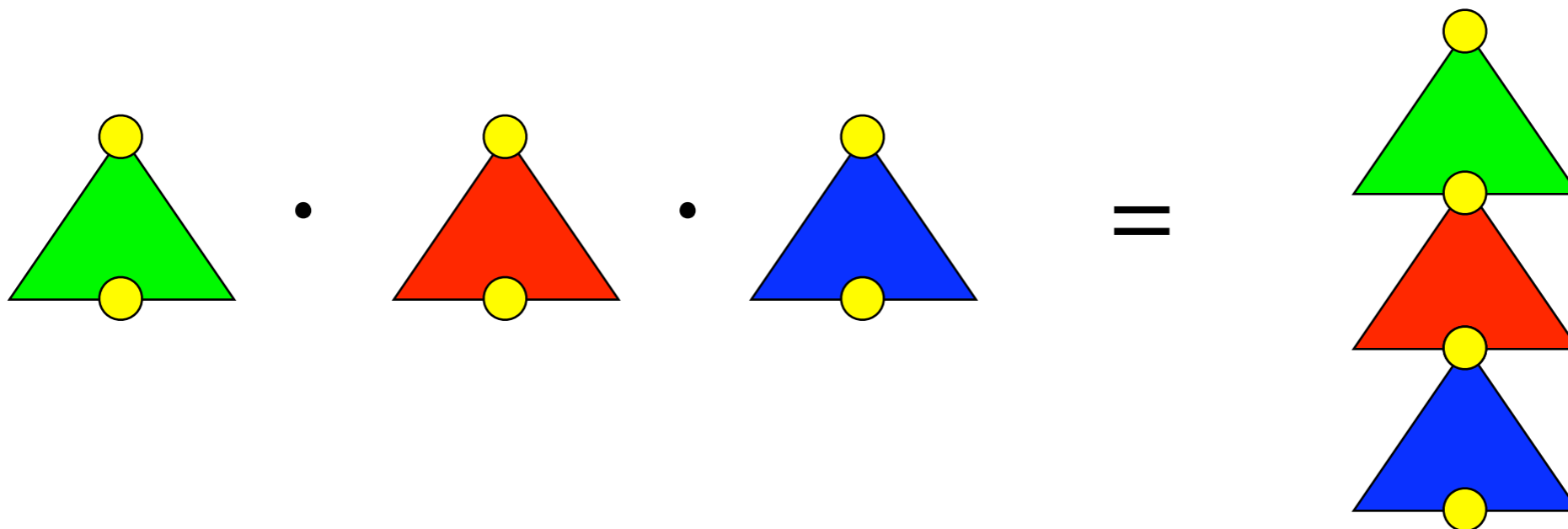


Corollary. A -equivalence classes of unary patterns form a finite semigroup.

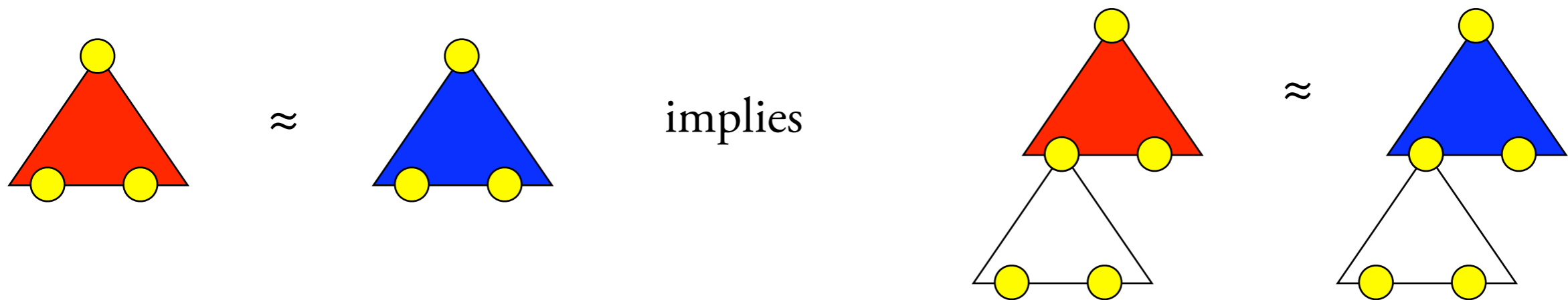
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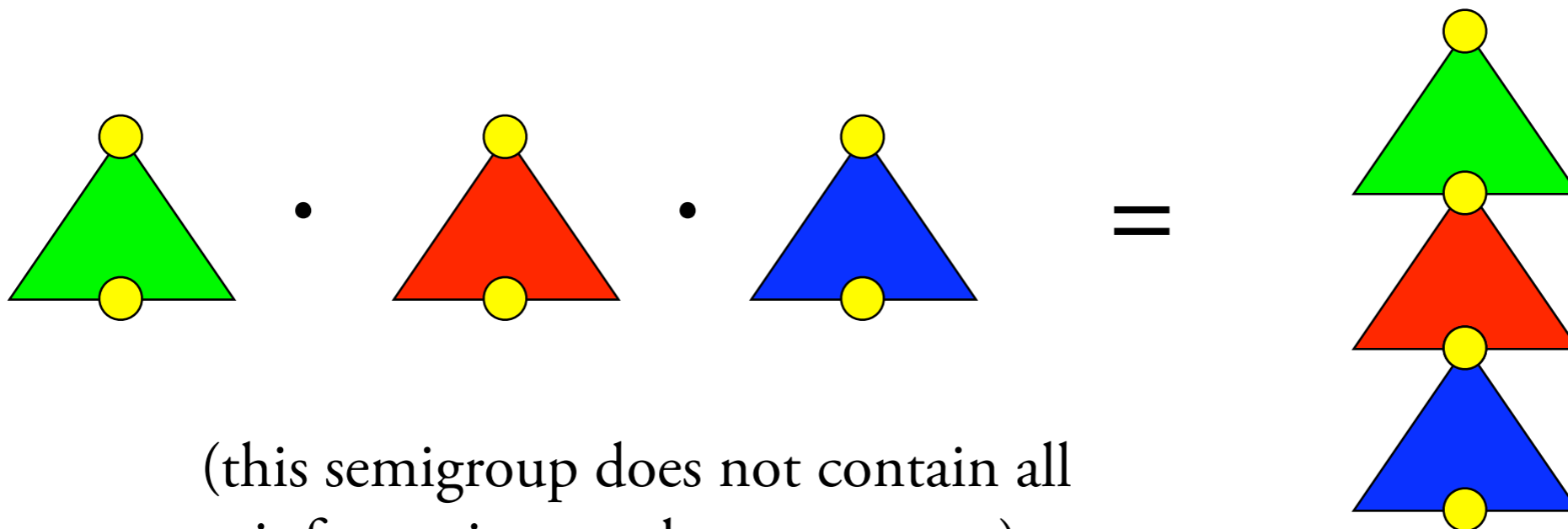
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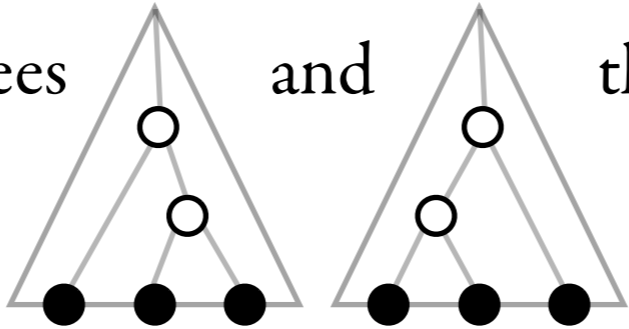


(this semigroup does not contain all information on the automaton)

Goal: No deterministic tree-walking automaton recognizes the language L .

Fix a deterministic tree-walking automaton A .

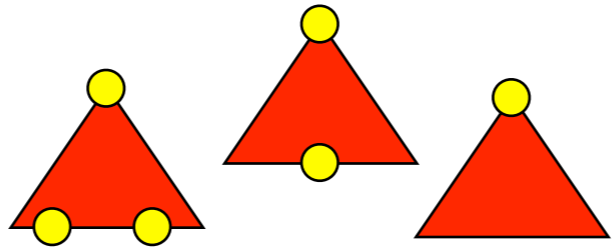
We will find trees and that cannot be distinguished by A .



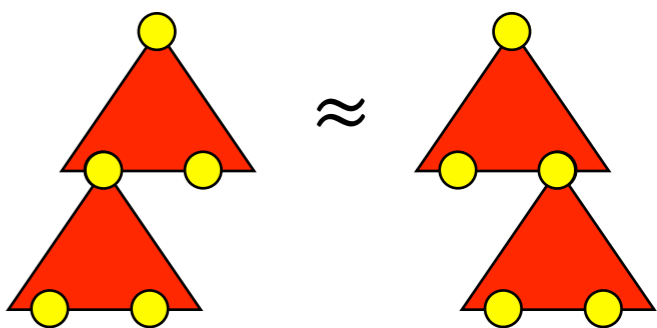
Strategy:

1 Define notion of pattern, together with pattern equivalence

2 Using algebra, find some confusing patterns



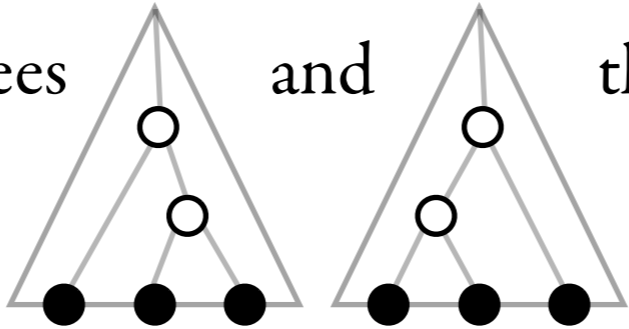
3 Build the counterexample using these confusing patterns



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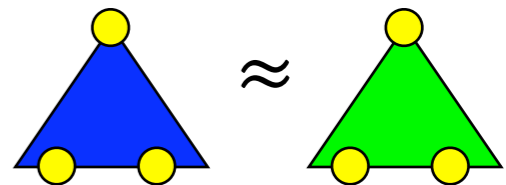
Fix a deterministic tree-walking automaton A .

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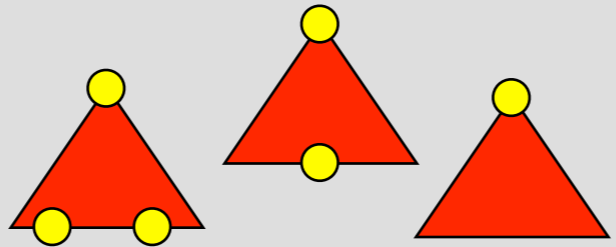


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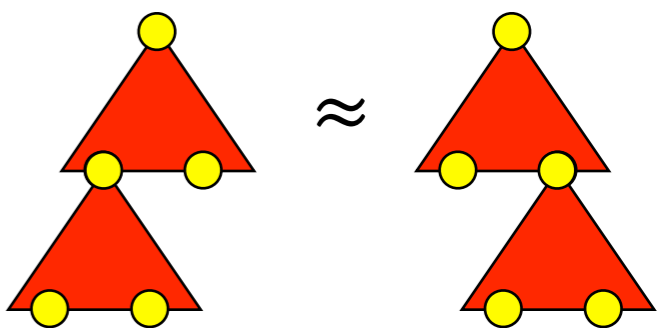
1 Define notion of pattern, together with pattern equivalence



2 Using algebra, find some confusing patterns



3 Build the counterexample using these confusing patterns



A semigroup lemma. For any finite semigroup S and elements $x, y \in S$,

there are $X \in xS$ and $Y \in yS$ with

$$X = X \cdot X = X \cdot Y$$

$$Y = Y \cdot Y = Y \cdot X$$

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Example. $S = \{0, 1\}$ with addition mod 2. In this case, set $X=Y=0$.

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Example. $S = \{a(a+b)^*, b(a+b)^*\}$. In this case, set $X=x$ and $Y=y$.

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Proof.

$$X := (x^\omega \cdot y^\omega)^\omega \quad Y := y^\omega \cdot X$$

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$$X \cdot X = (x^\omega \cdot y^\omega)^\omega \cdot (x^\omega \cdot y^\omega)^\omega = (x^\omega \cdot y^\omega)^\omega = X$$

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$$X \cdot Y = (x^\omega \cdot y^\omega)^\omega \cdot y^\omega \cdot (x^\omega \cdot y^\omega)^\omega = (x^\omega \cdot y^\omega)^\omega \cdot (x^\omega \cdot y^\omega)^\omega = (x^\omega \cdot y^\omega)^\omega = X$$

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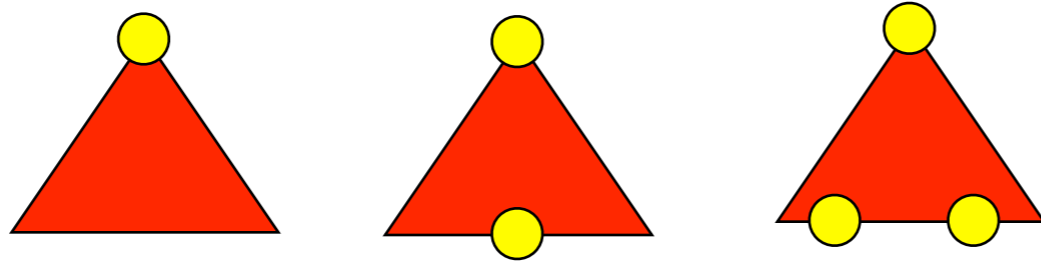
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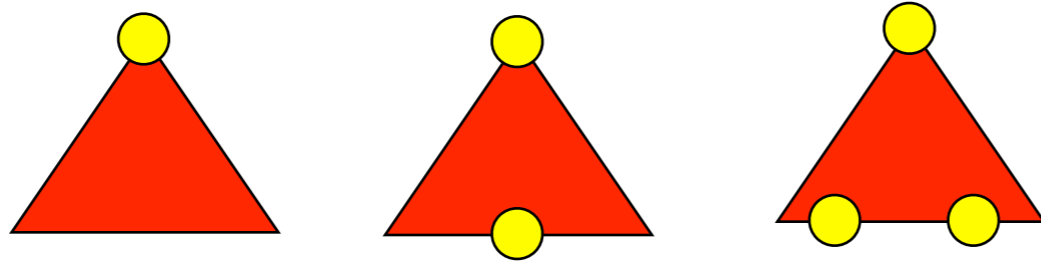
$$Y \cdot Y = y \cdot X \cdot Y = y \cdot X = Y$$

Pattern Lemma. Fix a tree-walking automaton A . There exist patterns

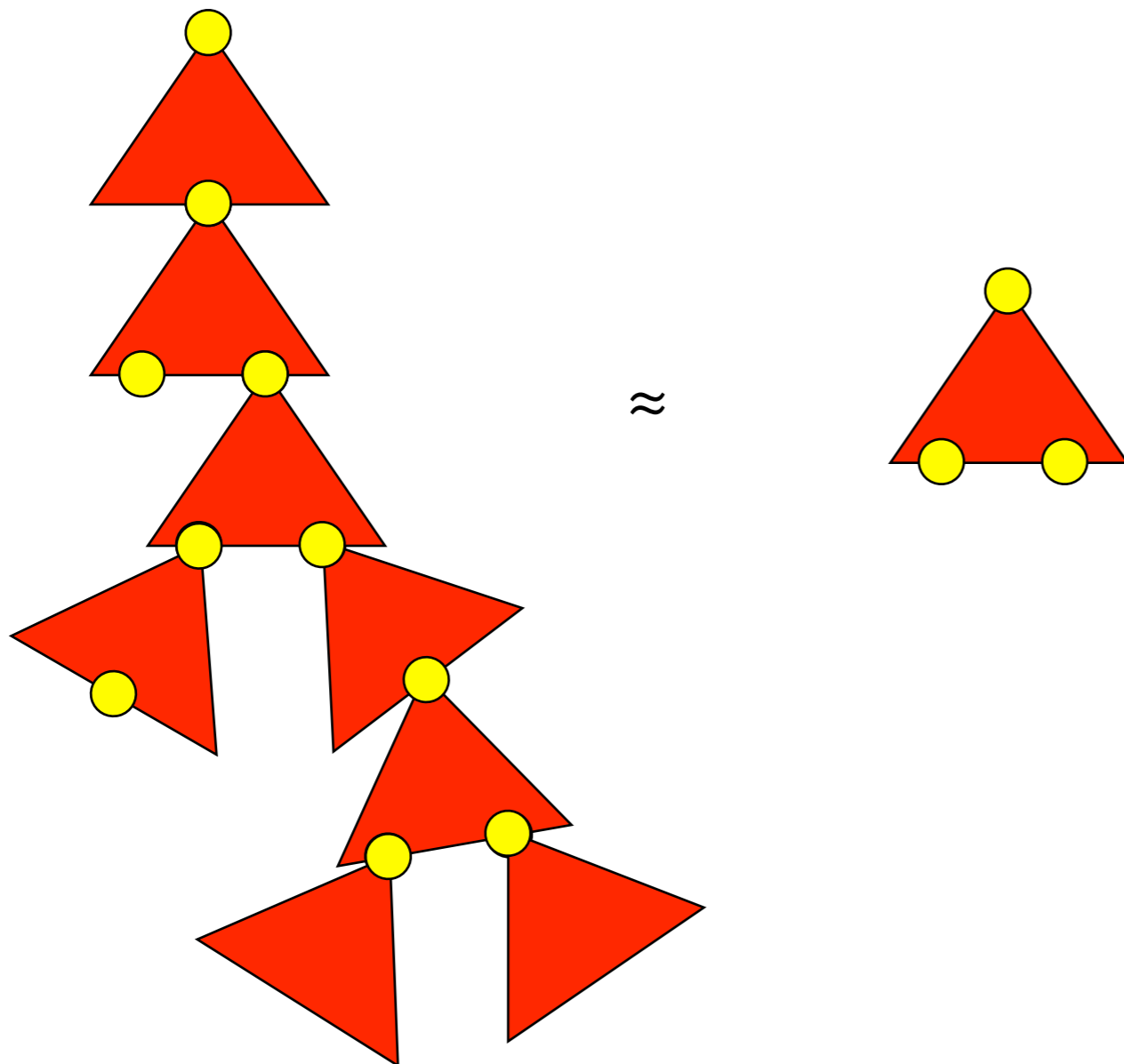


such that all compositions of these patterns with 0 ports (resp., 1 port, 2 ports) have the same A -equivalence class.

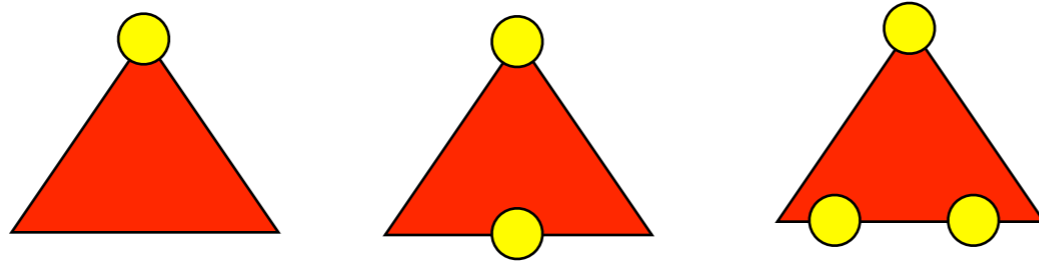
Pattern Lemma. Fix a tree-walking automaton A . There exist patterns



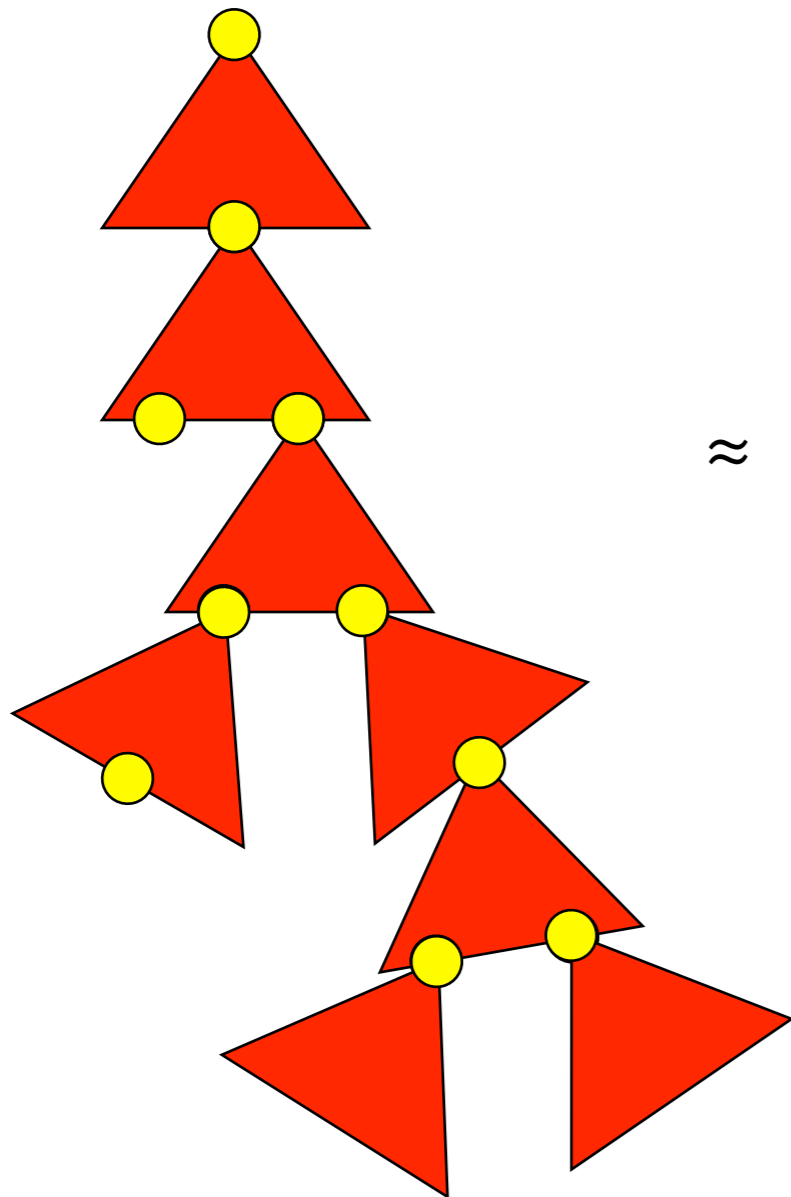
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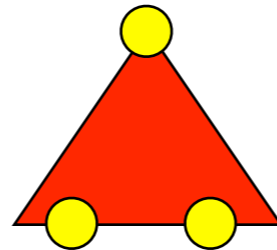
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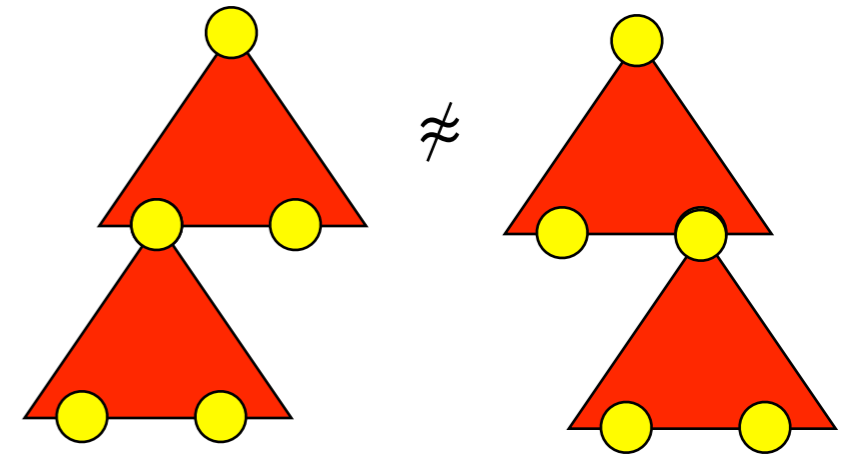
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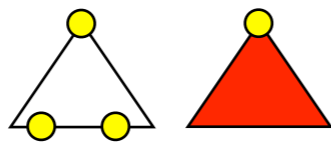
\approx



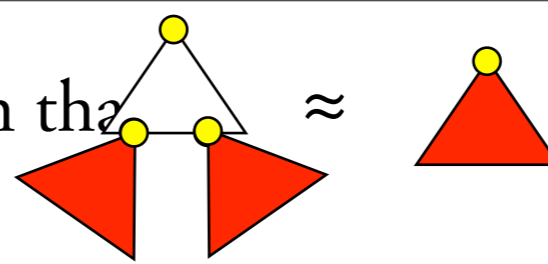
for nondeterministic automata,
the lemma fails for 3 ports.



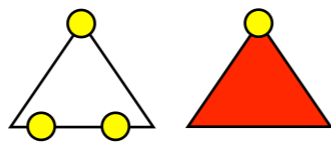
We start out with patterns



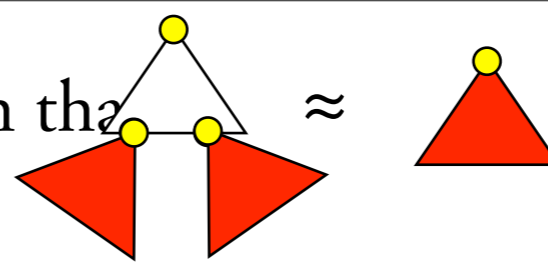
such that



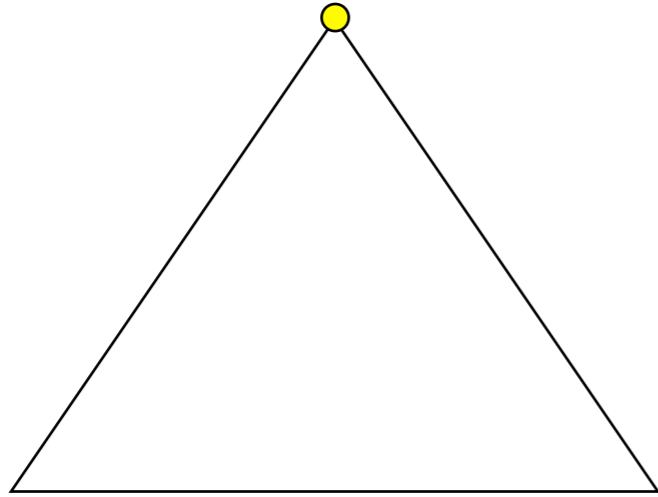
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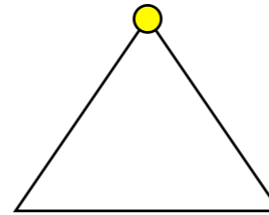


by pumping, there are $n < m$ such that



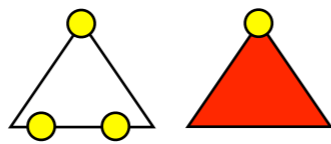
balanced binary tree of depth m

\approx

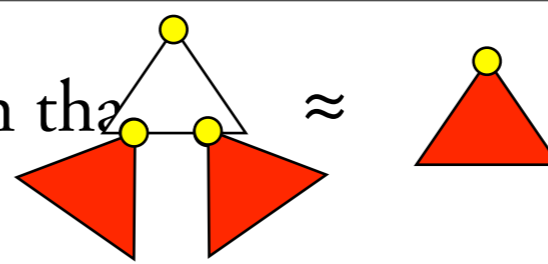


balanced binary tree of depth n

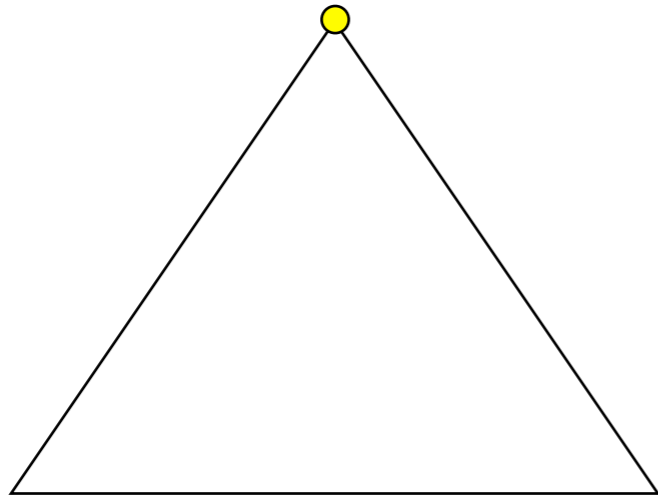
We start out with patterns



such that

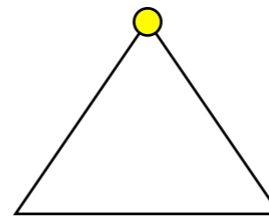


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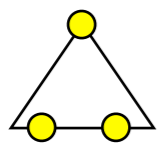


balanced binary tree of depth m

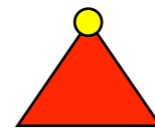
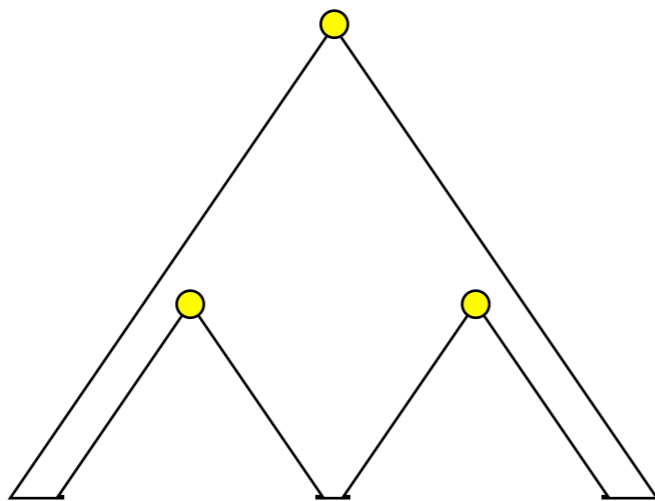
\approx



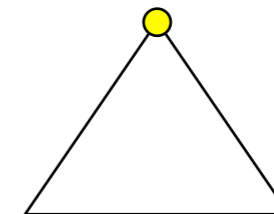
balanced binary tree of depth n



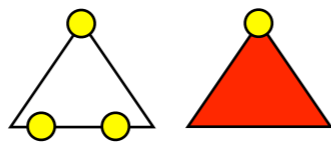
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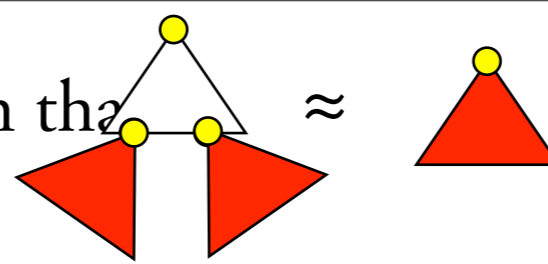
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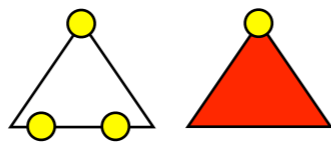
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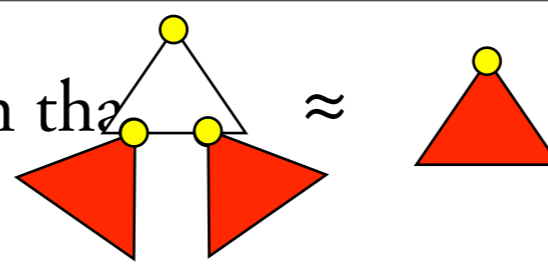
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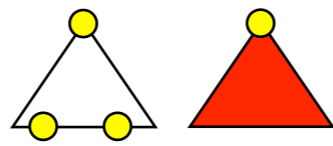
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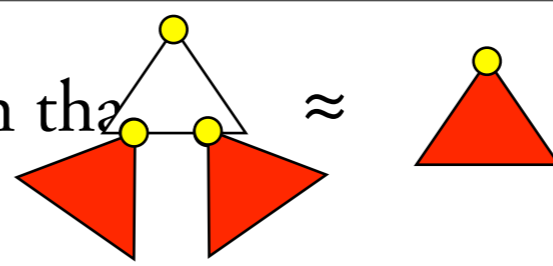
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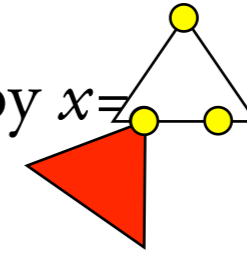
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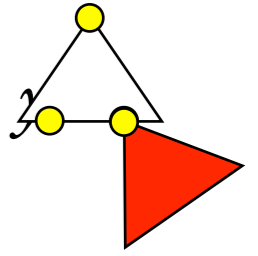
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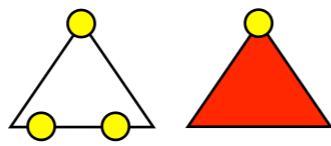
Let S be the semigroup generated by $x =$



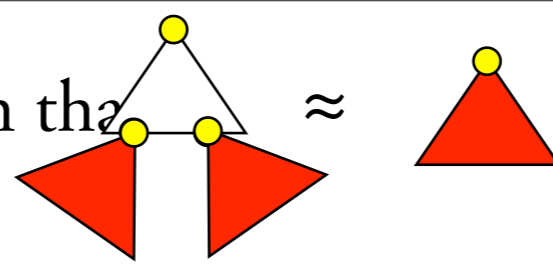
and $y =$



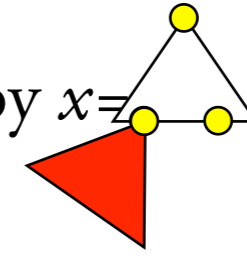
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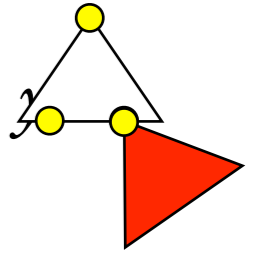
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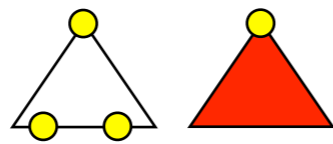
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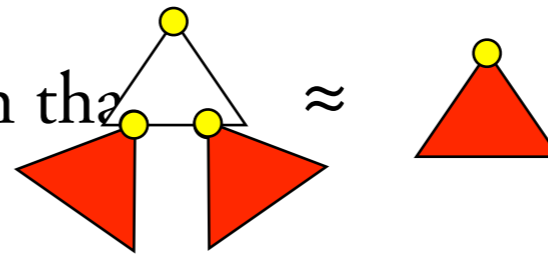
applying the semigroup lemma, we get

$$X \approx XX \approx XY \text{ and } Y \approx YY \approx YX$$

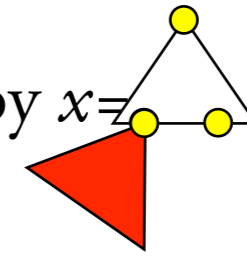
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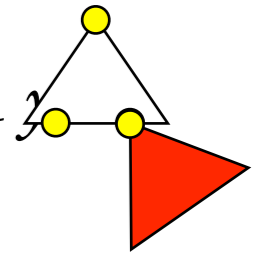
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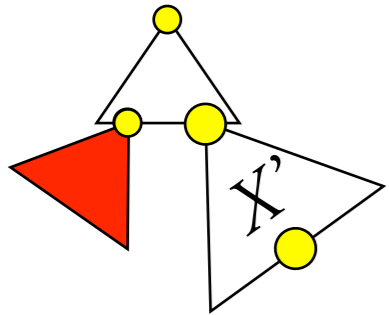
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and $y =$

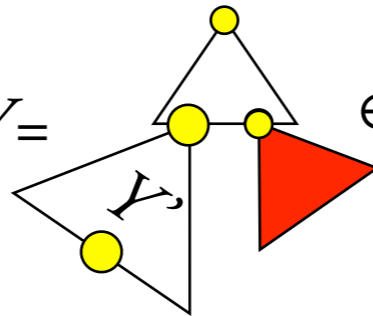


$X =$



$\in xS$

$Y =$

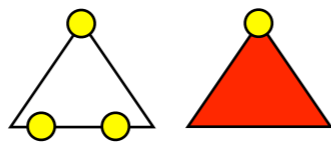


$\in yS$

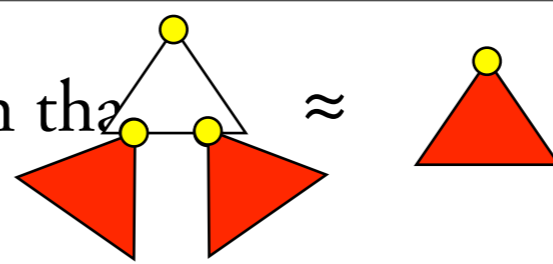
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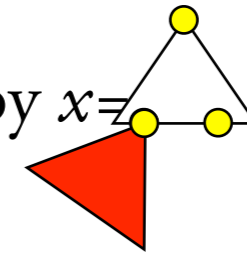
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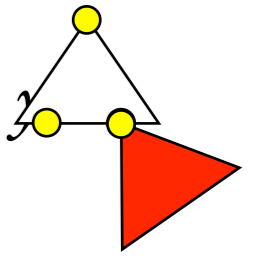
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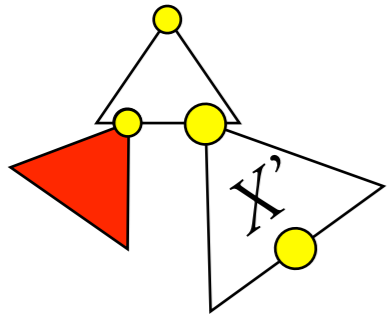
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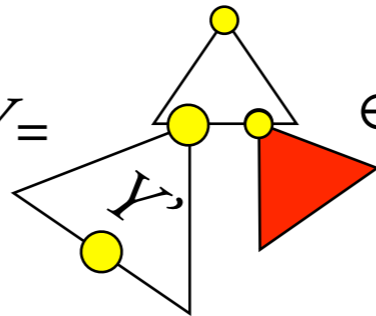


$X =$



$\in xS$

$Y =$



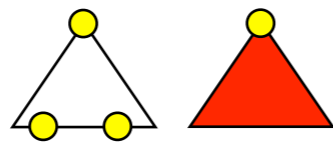
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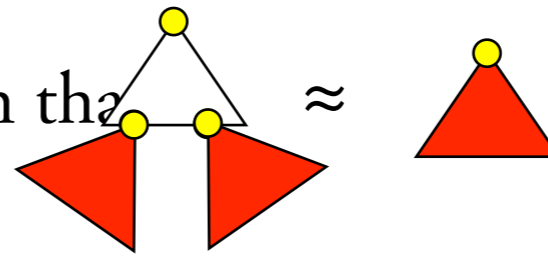
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choose:

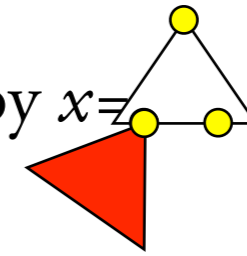
We start out with patterns



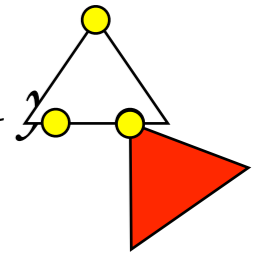
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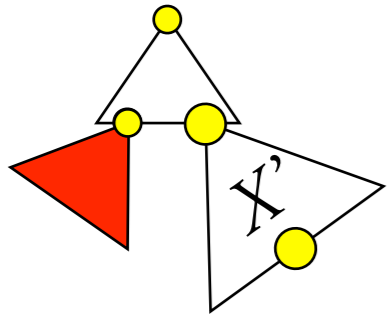
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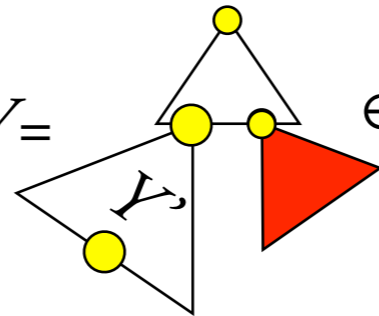


$X =$



$\in xS$

$Y =$

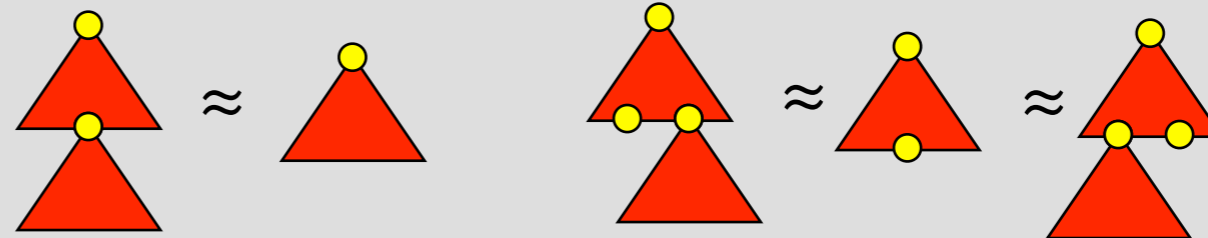


$\in yS$

applying the semigroup lemma, we get

$$X \approx XX \approx XY \text{ and } Y \approx YY \approx YX$$

To prove the pattern lemma, it suffices to show:

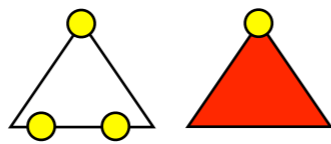


choose:

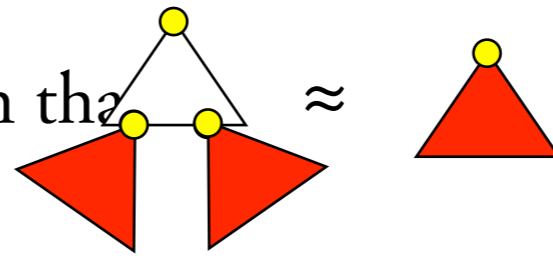
$= X$

$=$

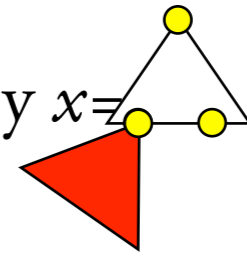
We start out with patterns



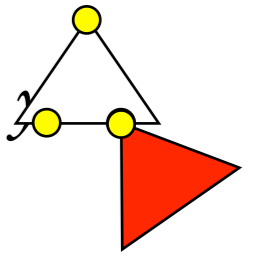
such that



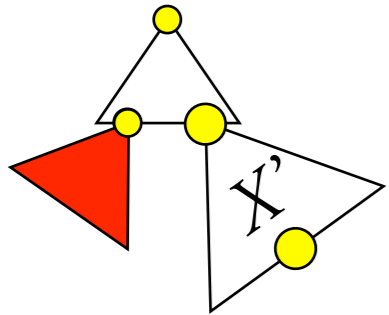
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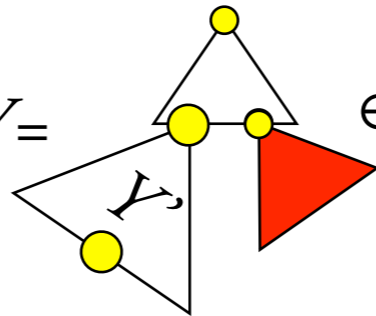


$X =$



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$Y =$



$\in yS$

applying the semigroup lemma, we get

$$X \approx XX \approx XY \text{ and } Y \approx YY \approx YX$$

To prove the pattern lemma, it suffices to show:

choose:

$$\begin{array}{c} \text{white triangle} \\ \text{red triangle} \end{array} = X$$

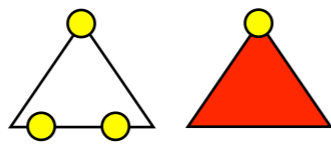
$$\begin{array}{c} \text{white triangle} \\ \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \end{array} = \begin{array}{c} \text{white triangle} \\ \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \end{array}$$

$$\begin{array}{c} \text{red triangle} \\ \text{red triangle} \end{array} \approx \begin{array}{c} \text{red triangle} \end{array}$$

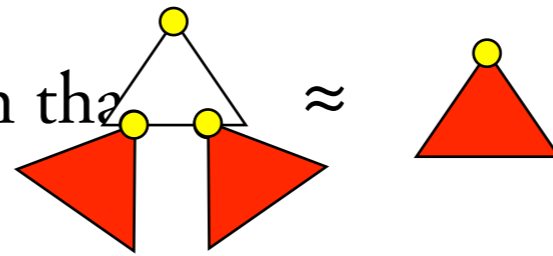
$$\begin{array}{c} \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \end{array} \approx \begin{array}{c} \text{red triangle} \\ \text{white triangle} \end{array} \approx \begin{array}{c} \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \end{array}$$

$$\begin{array}{c} \text{red triangle} \\ \text{red triangle} \end{array} = \begin{array}{c} \text{white triangle} \\ \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \end{array} \approx \begin{array}{c} \text{white triangle} \\ \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \end{array} \approx \begin{array}{c} \text{white triangle} \\ \text{red triangle} \\ \text{white triangle} \\ \text{red triangle} \end{array} \approx \begin{array}{c} \text{white triangle} \\ \text{red triangle} \end{array}$$

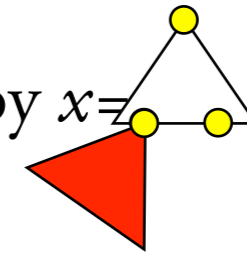
We start out with patterns



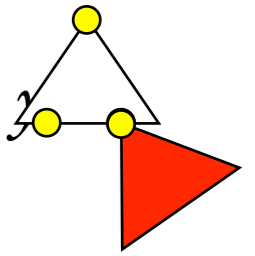
such that



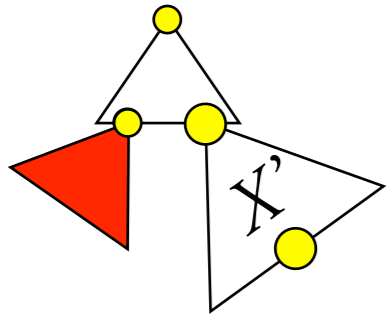
Let S be the semigroup generated by $x =$



and $y =$

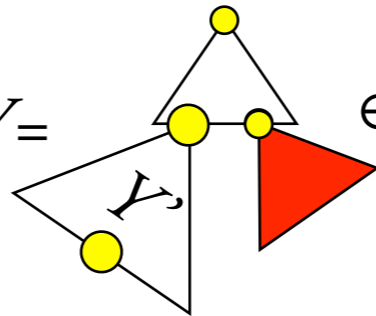


$X =$



$\in xS$

$Y =$



$\in yS$

applying the semigroup lemma, we get

$$X \approx XX \approx XY \text{ and } Y \approx YY \approx YX$$

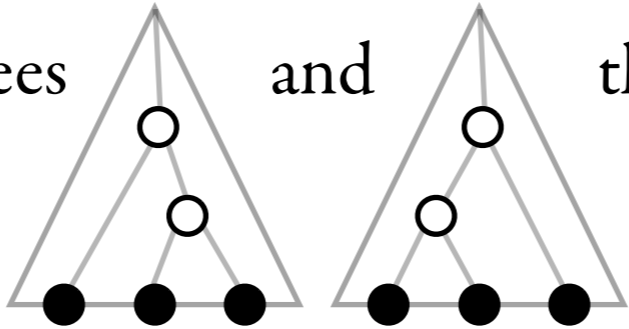
To prove the pattern lemma, it suffices to show:

choose:

Goal: No deterministic tree-walking automaton recognizes the language L .

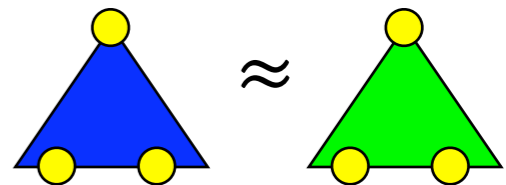
Fix a deterministic tree-walking automaton A .

We will find trees and that cannot be distinguished by A .

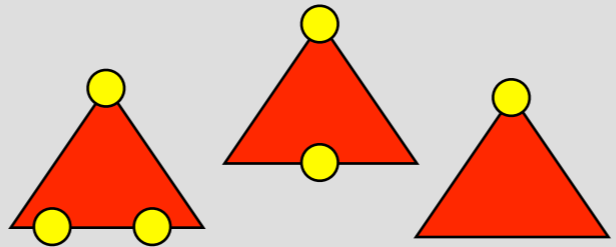


Strategy:

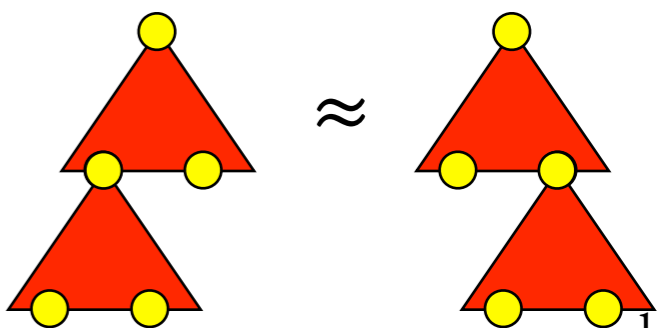
1 Define notion of pattern, together with pattern equivalence



2 Using algebra, find some confusing patterns



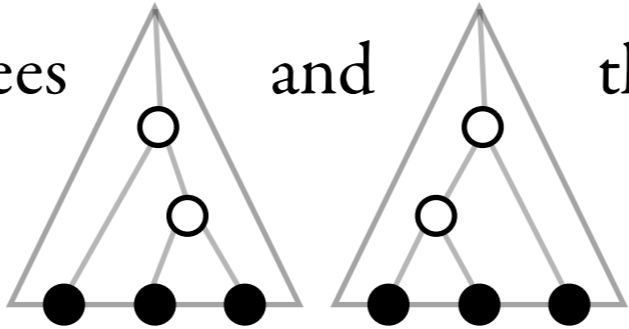
3 Build the counterexample using these confusing patterns



Goal: No deterministic tree-walking automaton recognizes the language L .

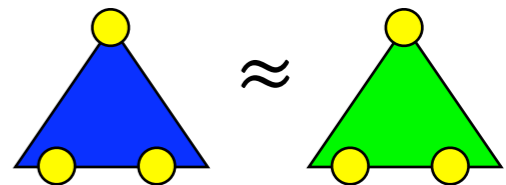
Fix a deterministic tree-walking automaton A .

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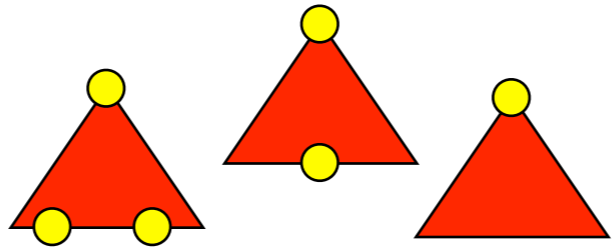


Strategy:

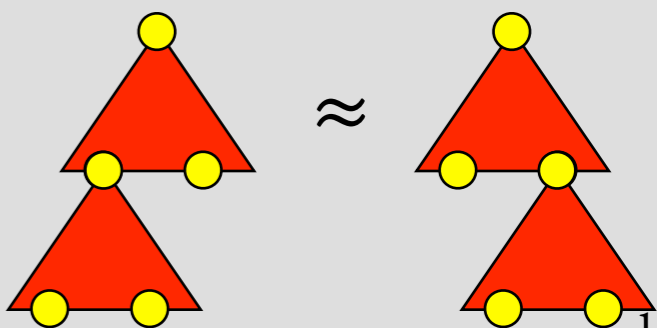
1 Define notion of pattern, together with pattern equivalence



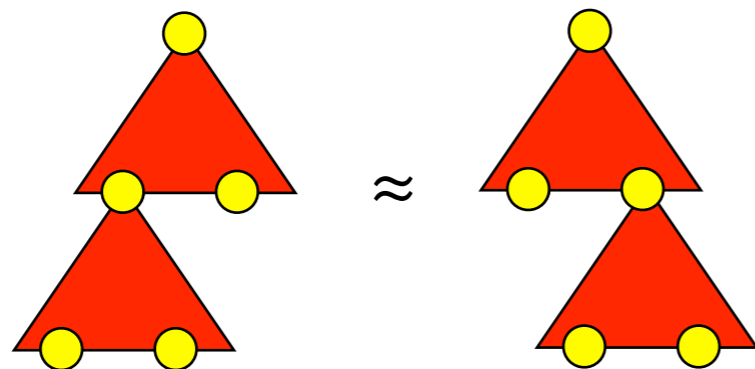
2 Using algebra, find some confusing patterns



3 Build the counterexample using these confusing patterns

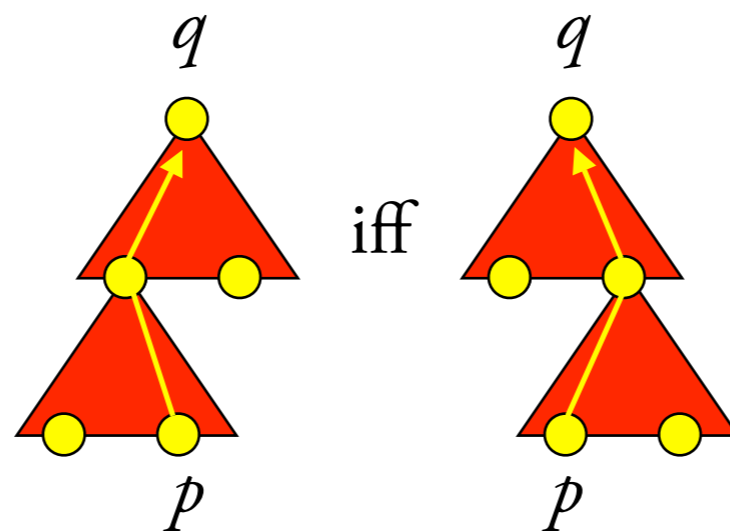
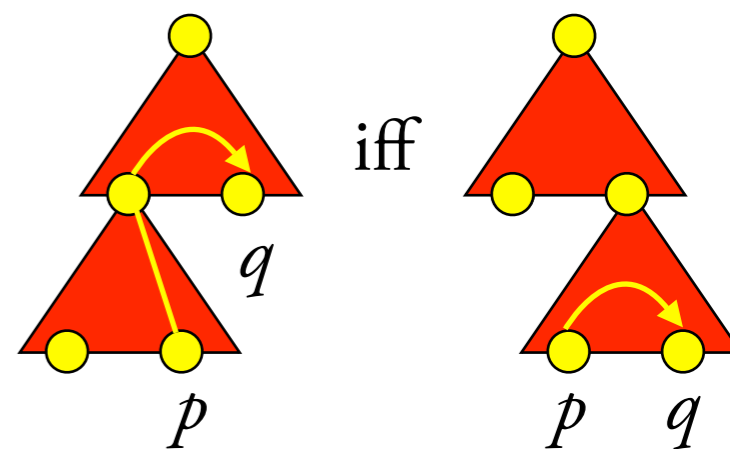
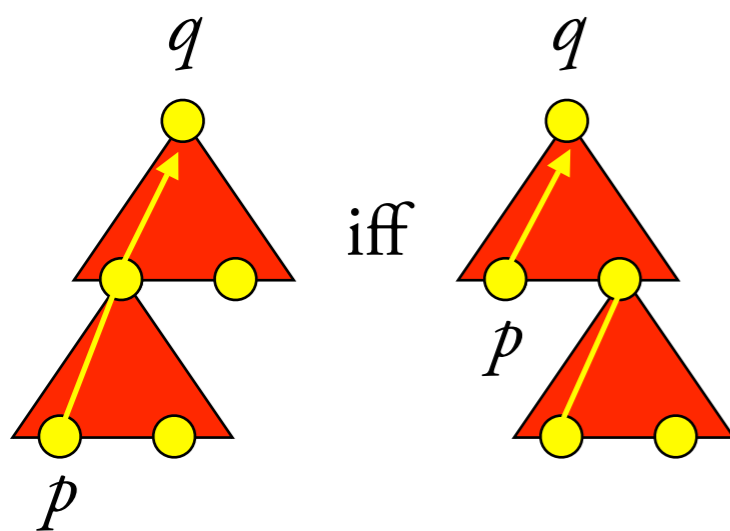


we will show that

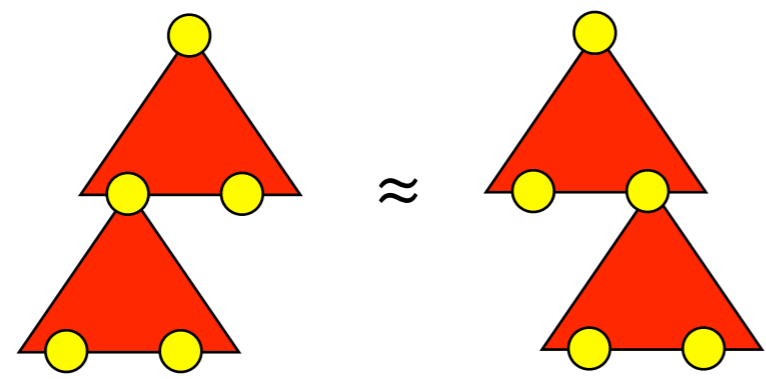


holds for a deterministic tree-walking automaton

type of things we need to show:

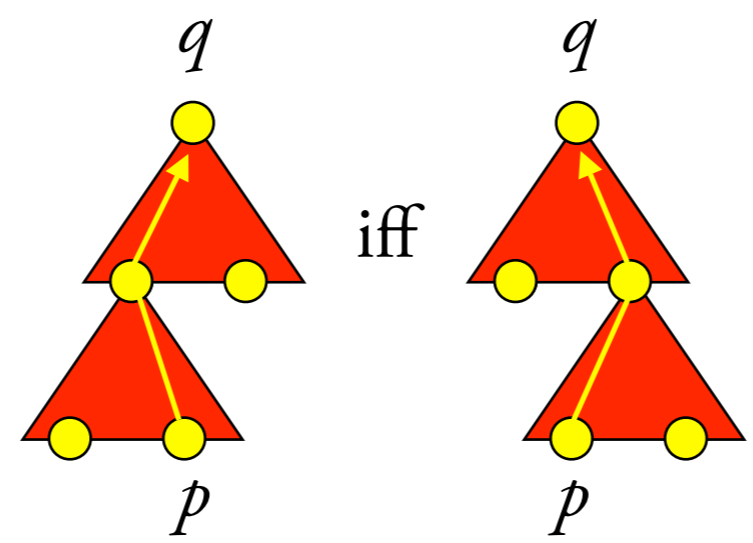
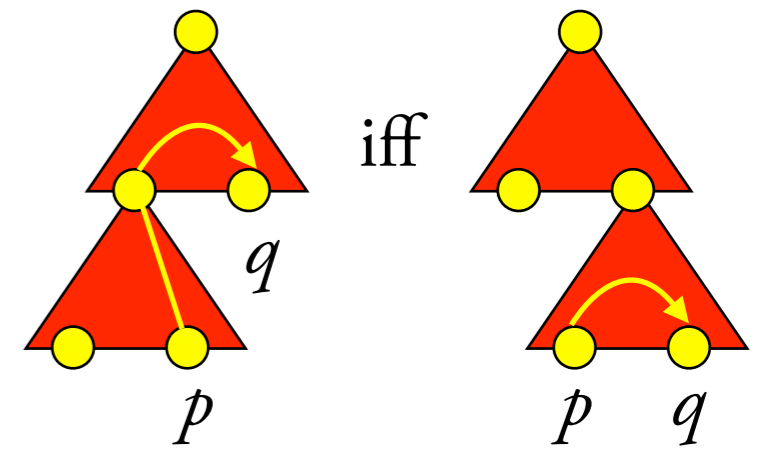
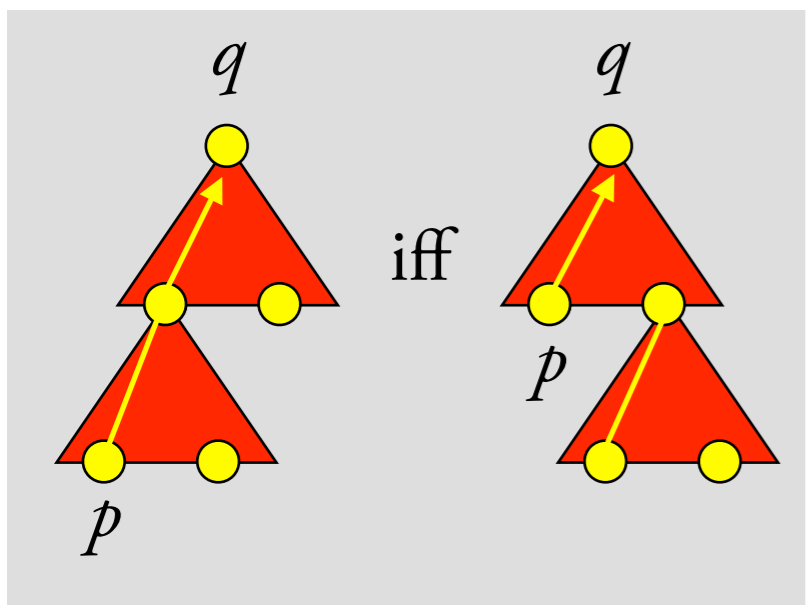


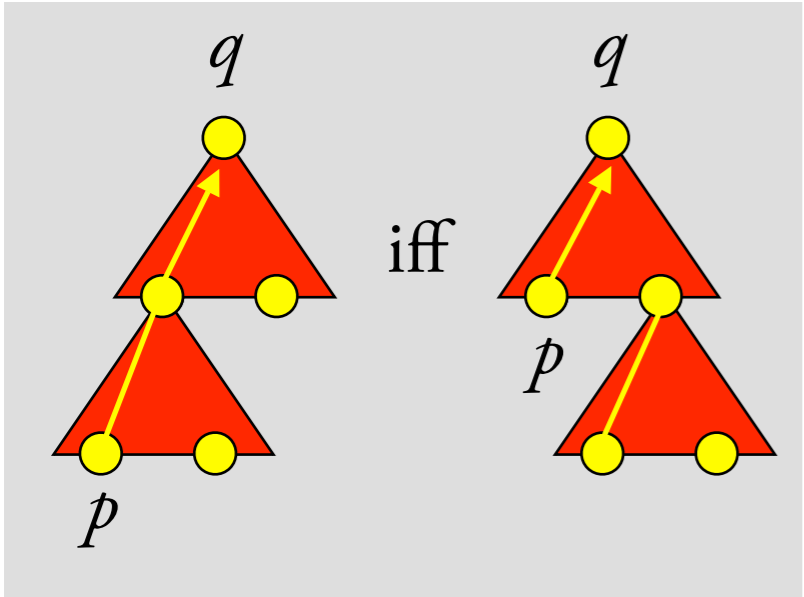
we will show that

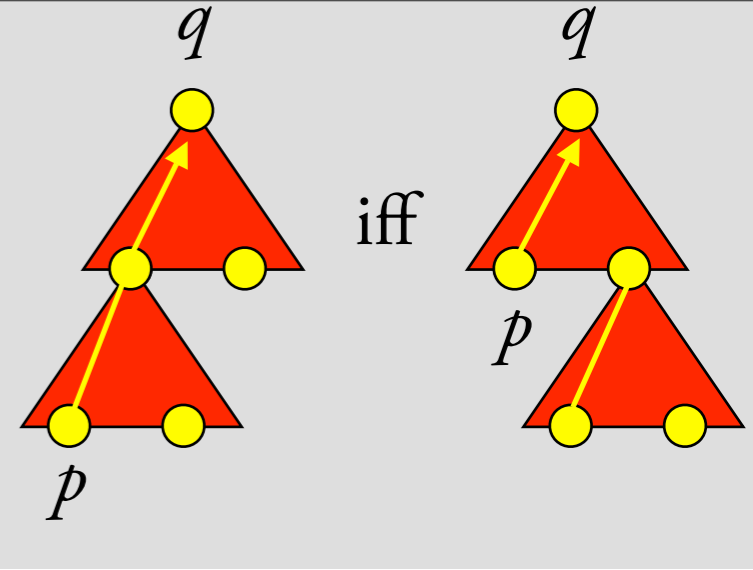


holds for a deterministic tree-walking automaton

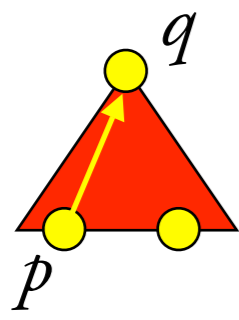
type of things we need to show:



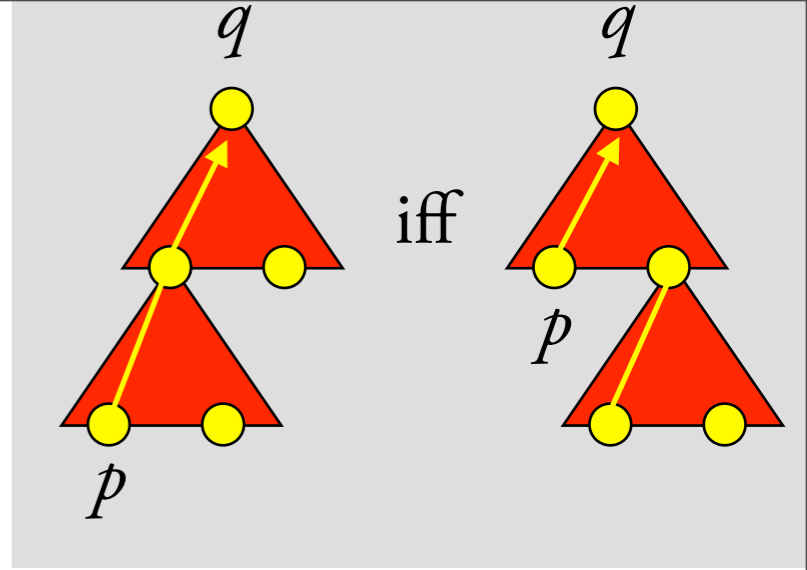
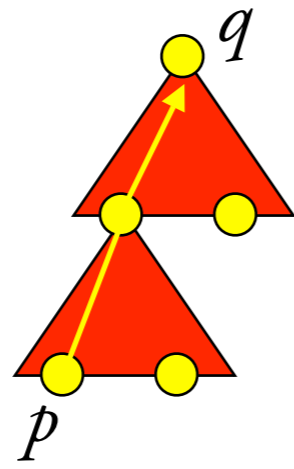




Lemma

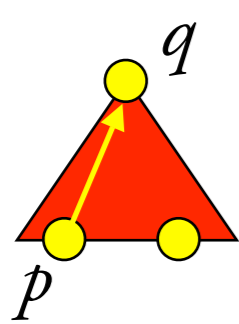


implies

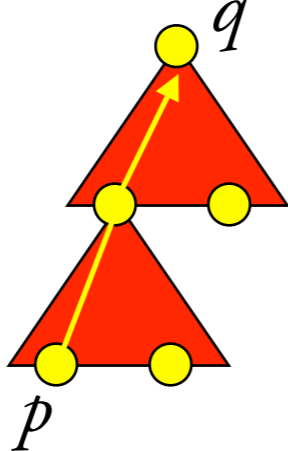


iff

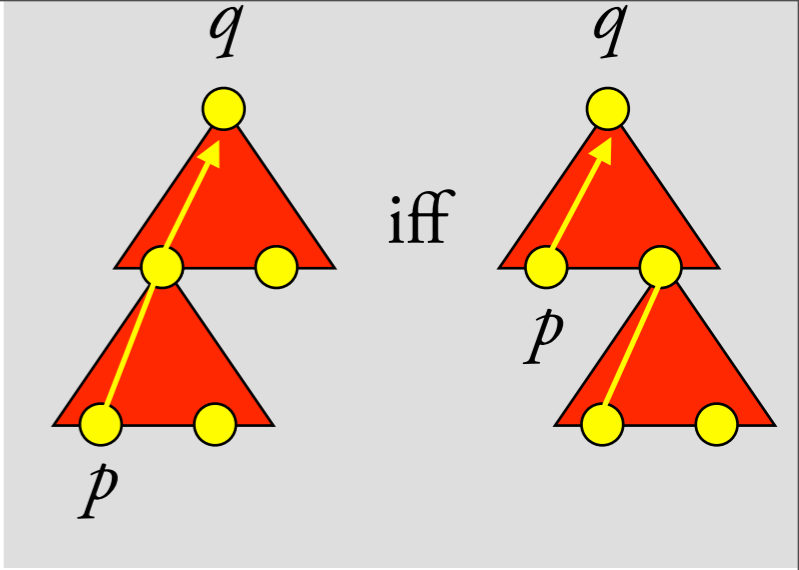
Lemma



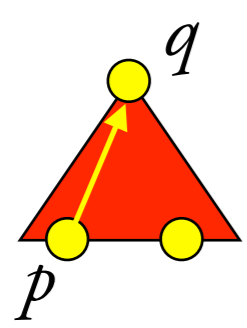
implies



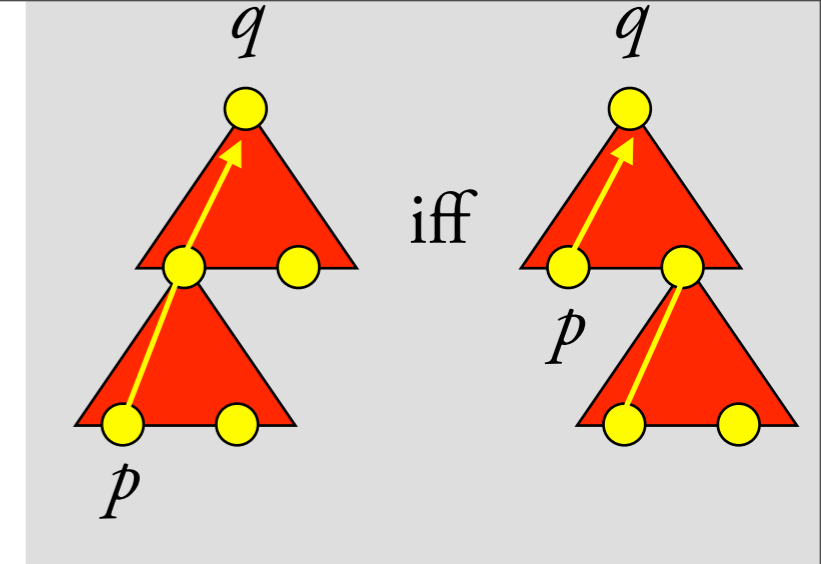
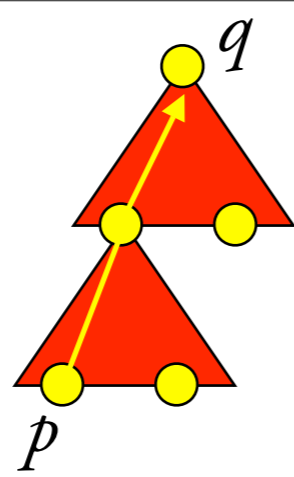
Proof



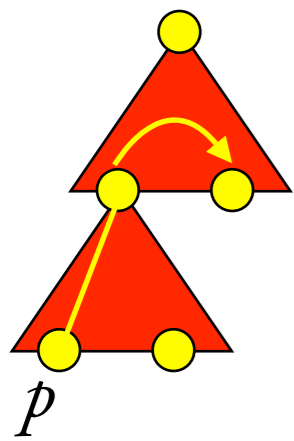
Lemma



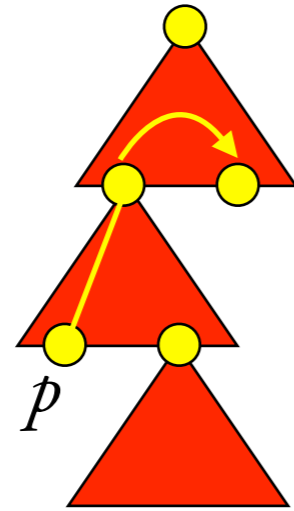
implies



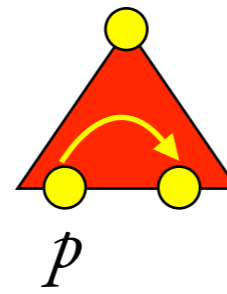
Proof



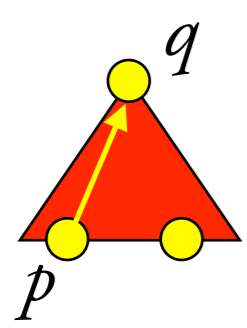
\Rightarrow



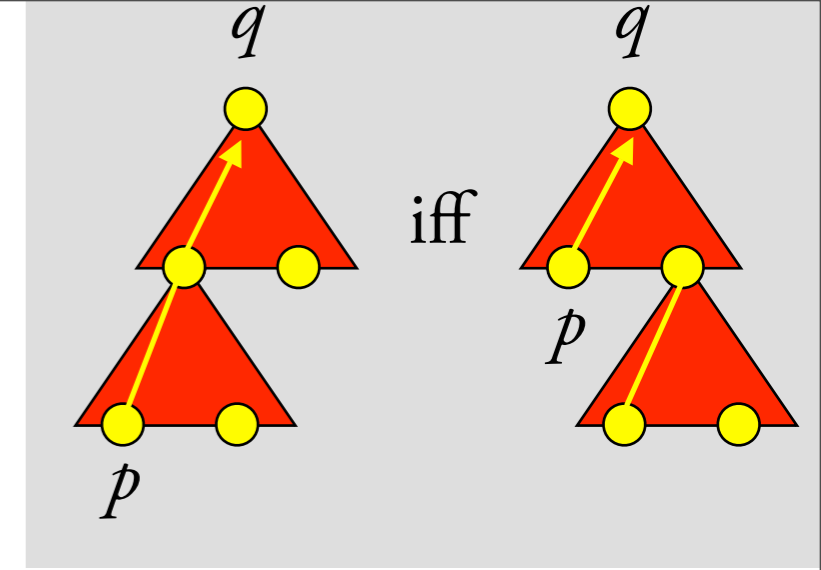
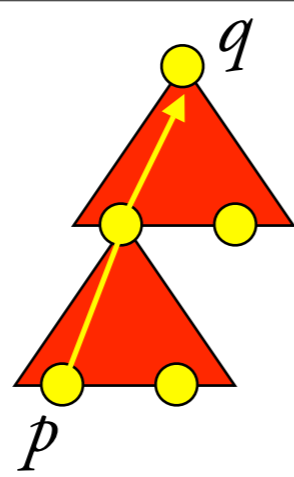
\Rightarrow



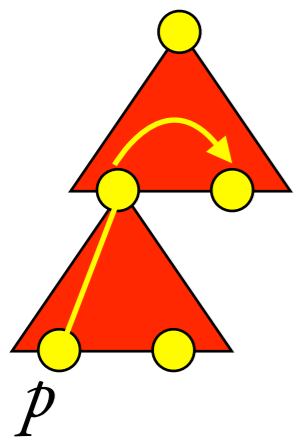
Lemma



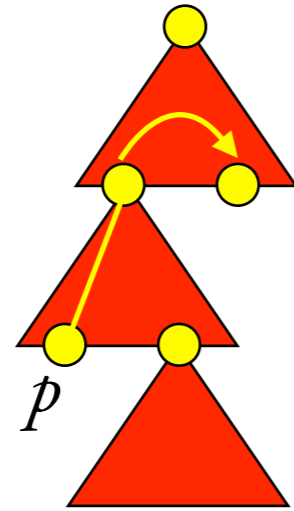
implies



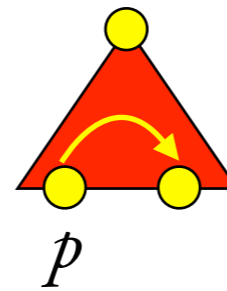
Proof



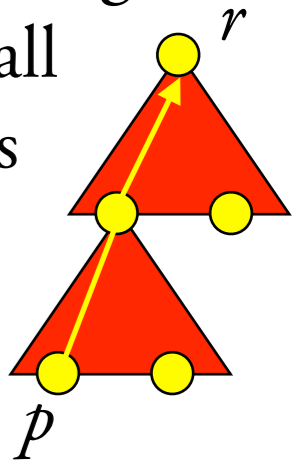
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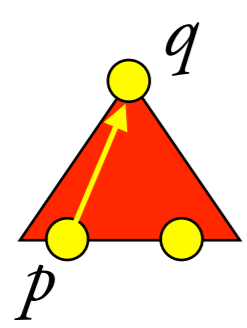
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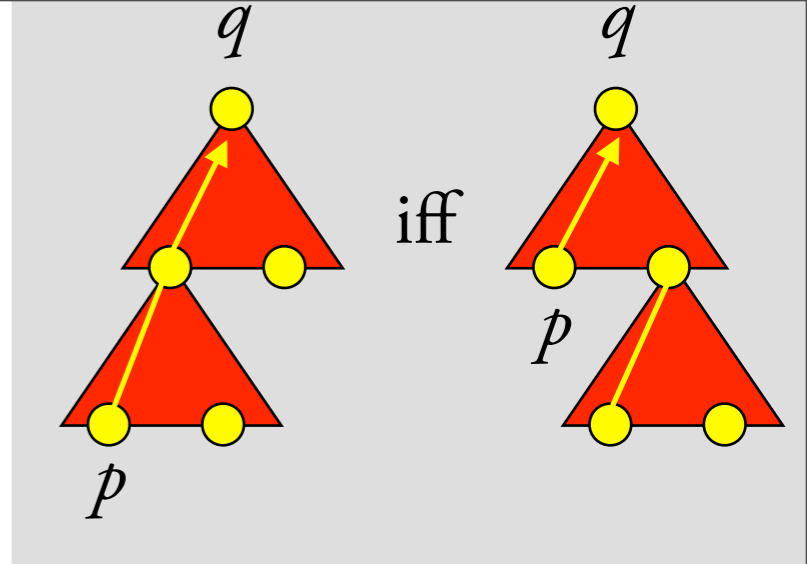
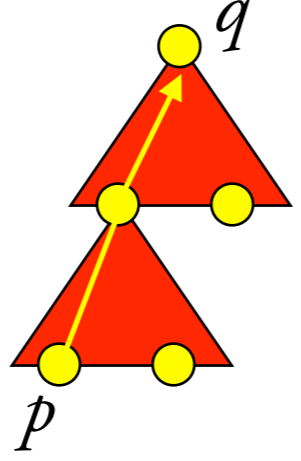
by similar reasoning,
we rule out all
possibilities
except for



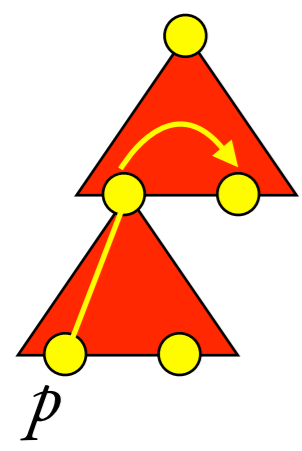
Lemma



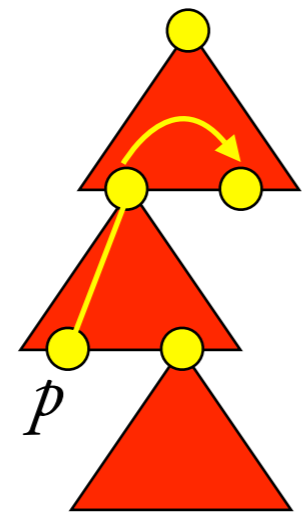
implies



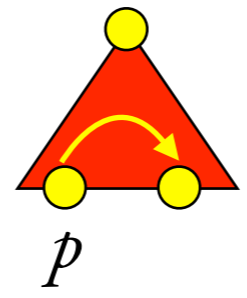
Proof



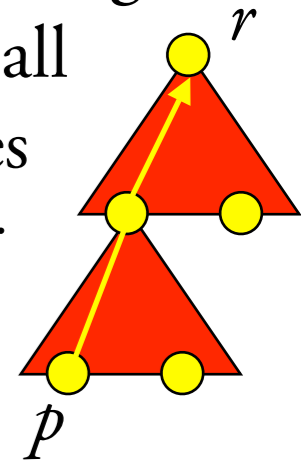
\Rightarrow



\Rightarrow

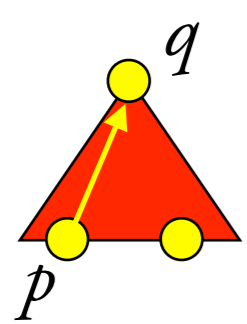


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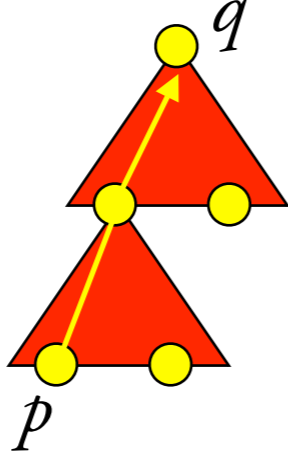


why $r=q$?

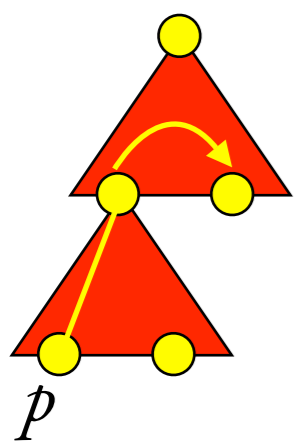
Lemma



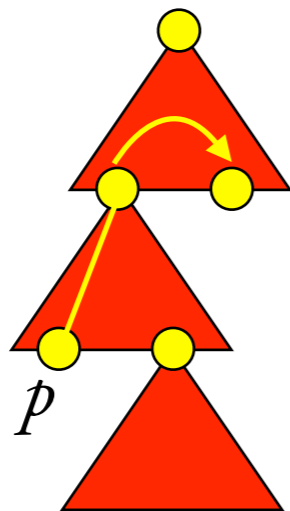
implies



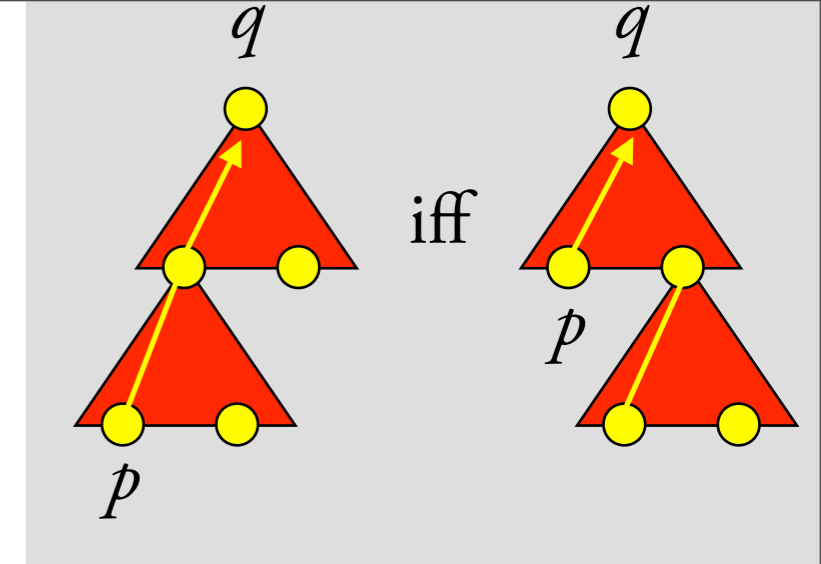
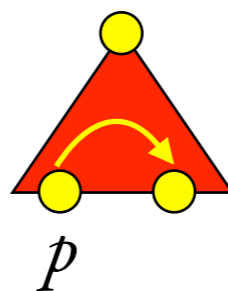
Proof



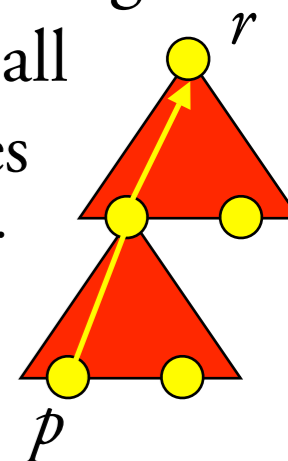
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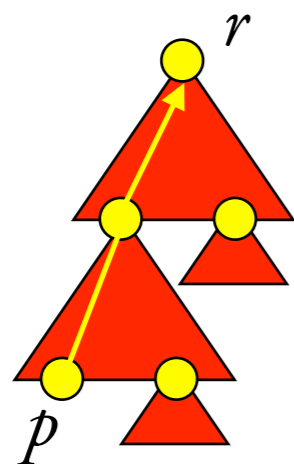
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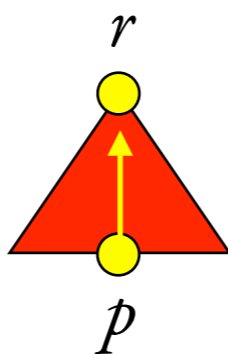
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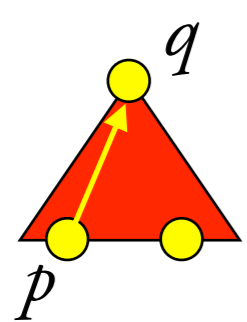
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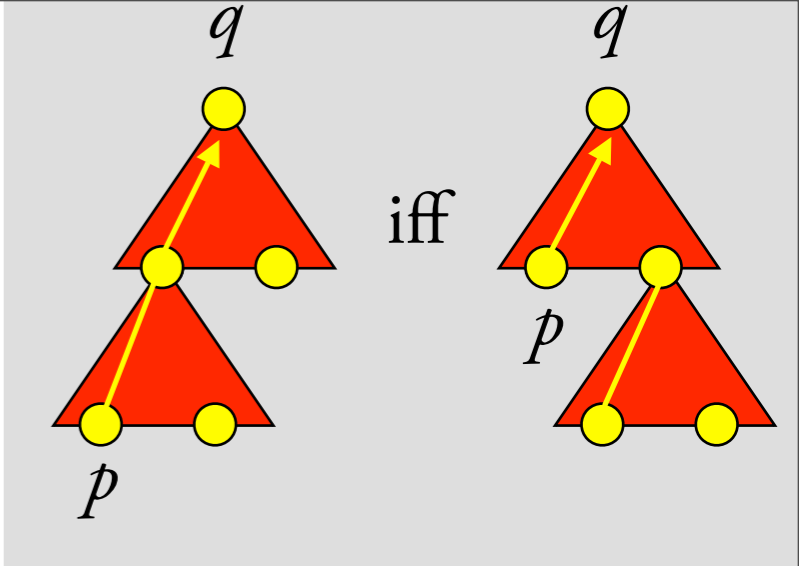
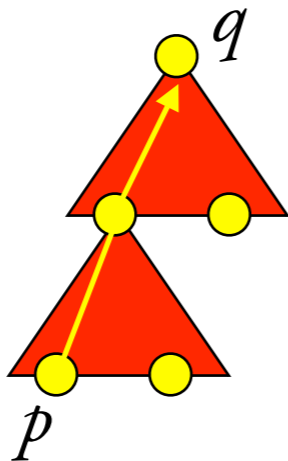
\Rightarrow



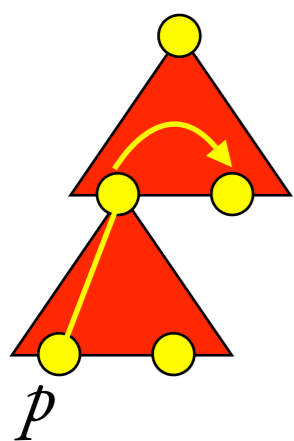
Lemma



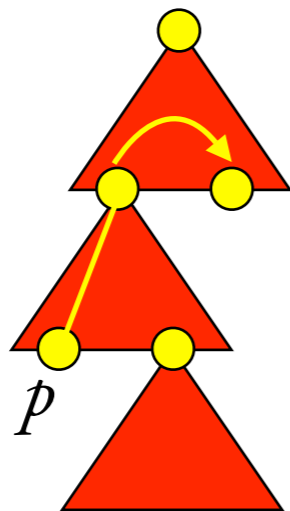
implies



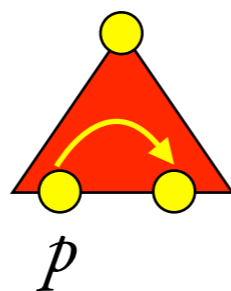
Proof



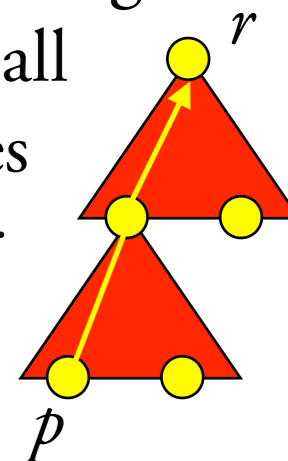
\Rightarrow



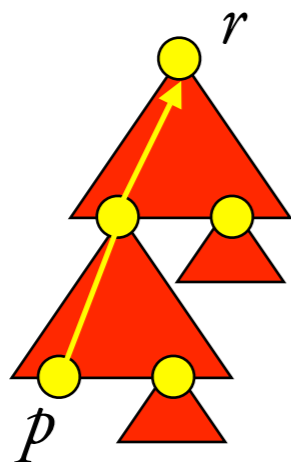
\Rightarrow



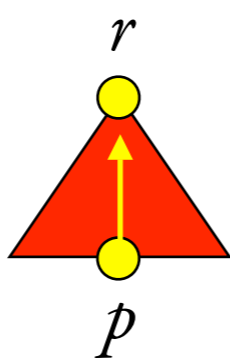
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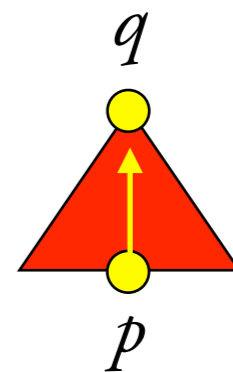
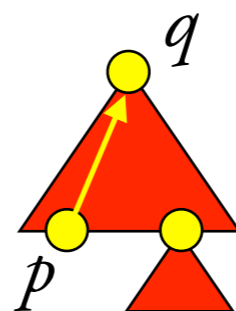
why $r=q$?



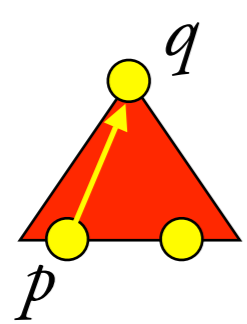
\Rightarrow



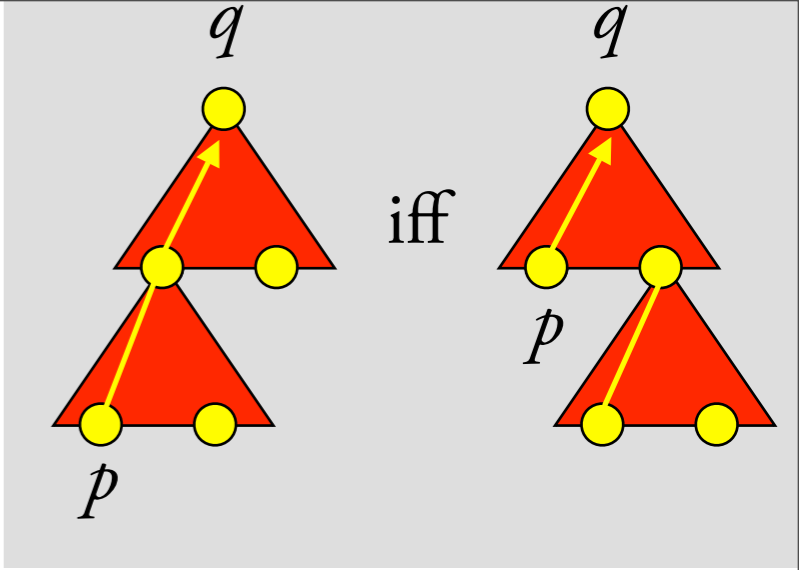
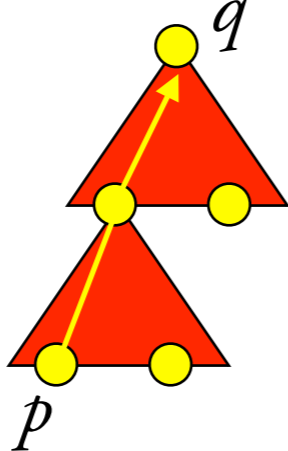
\Rightarrow



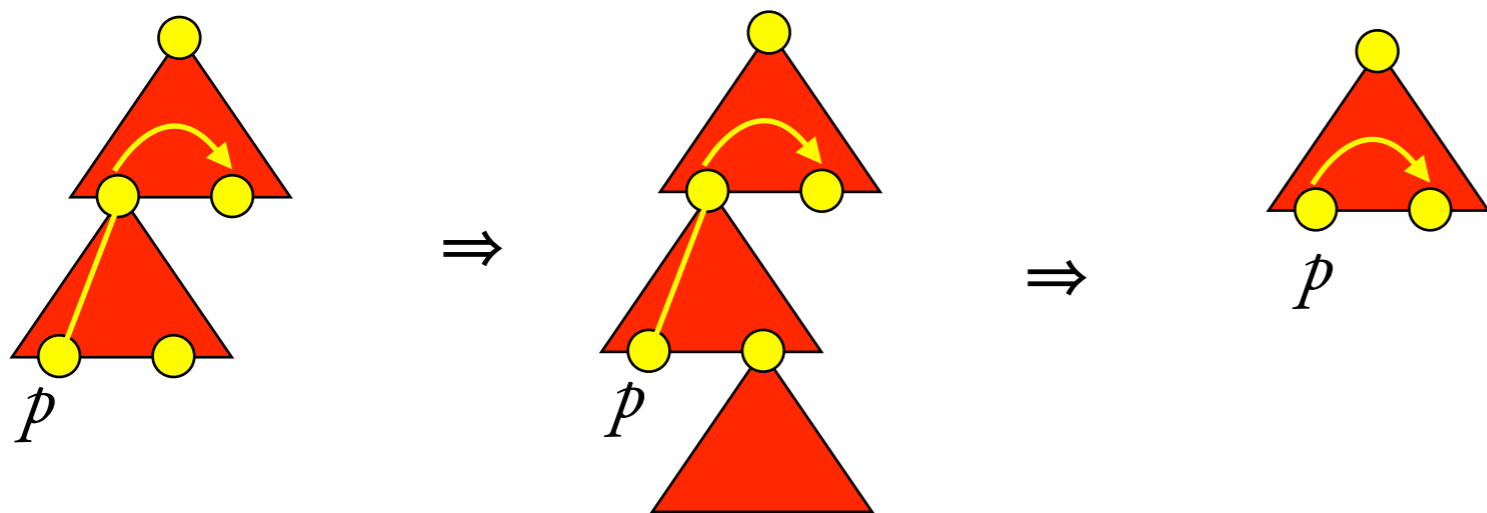
Lemma



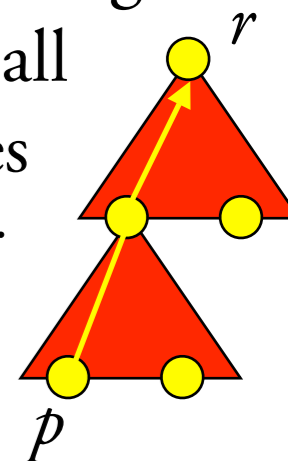
implies



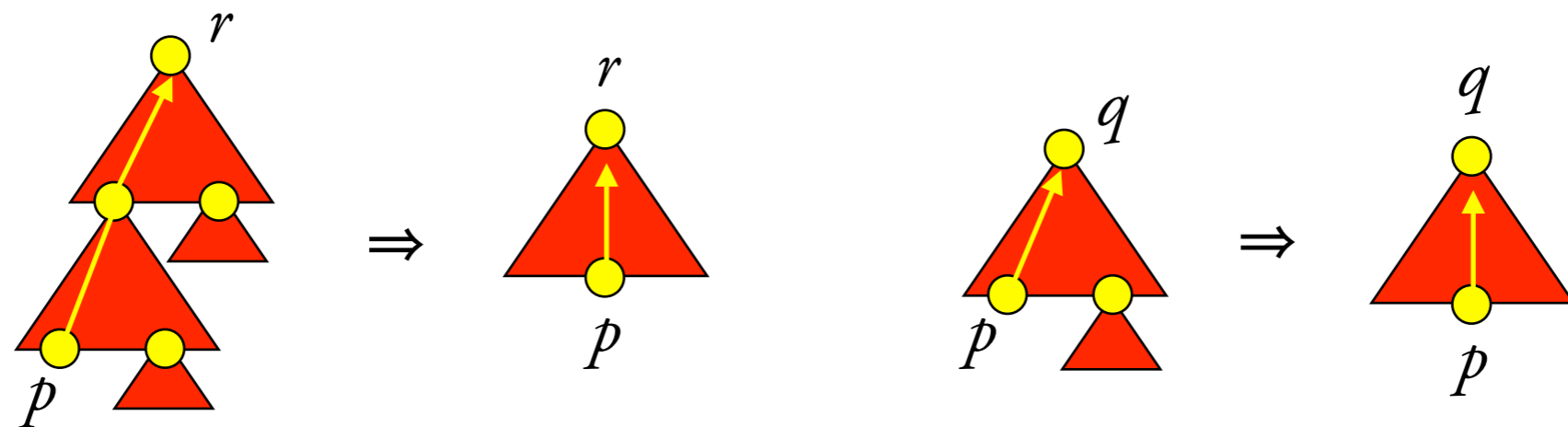
Proof



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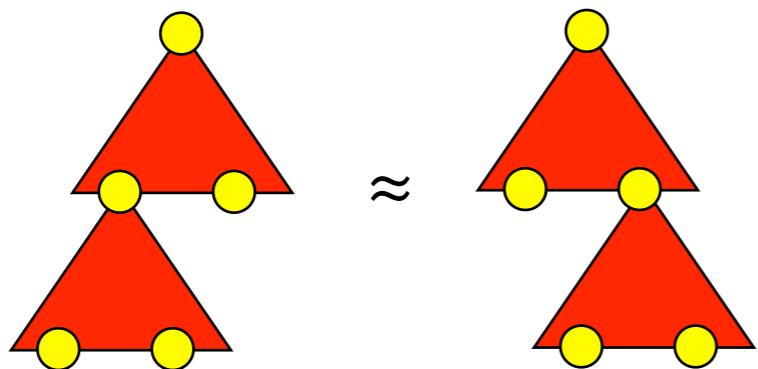


why $r=q$?



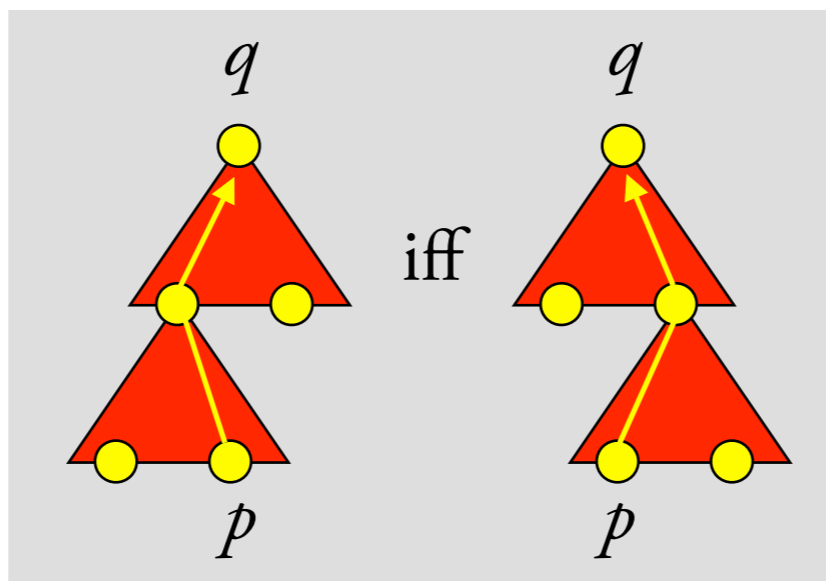
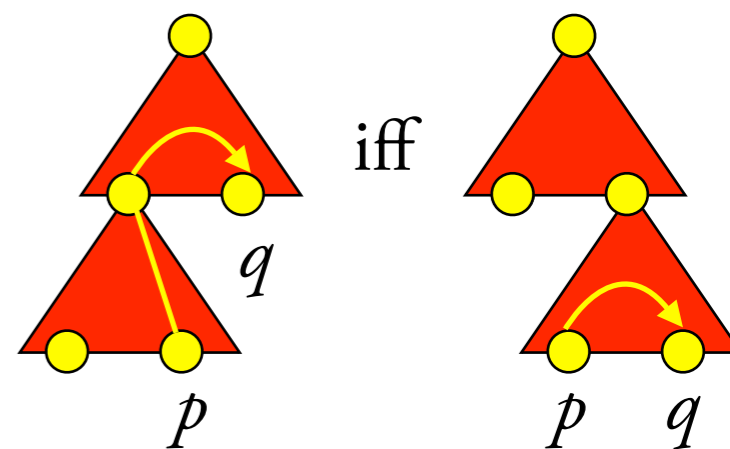
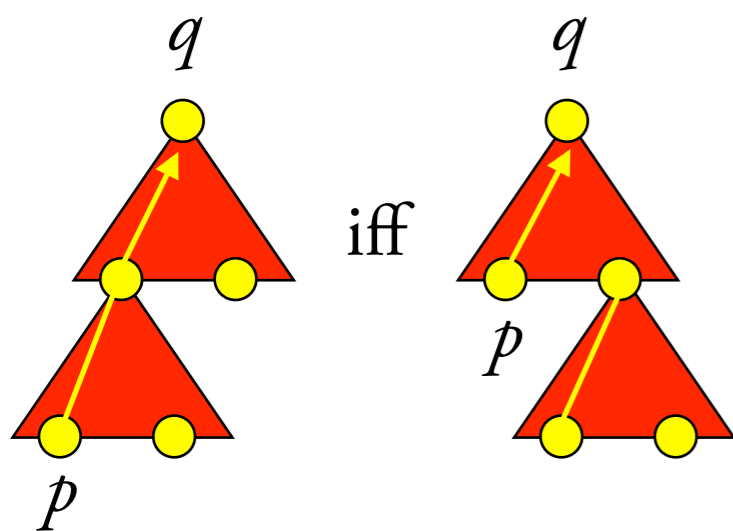
by determinism, we get $q=r$

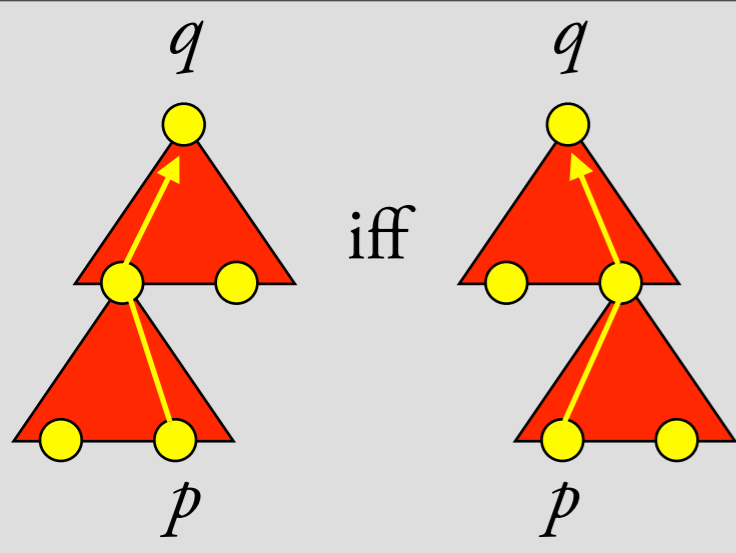
we will show that

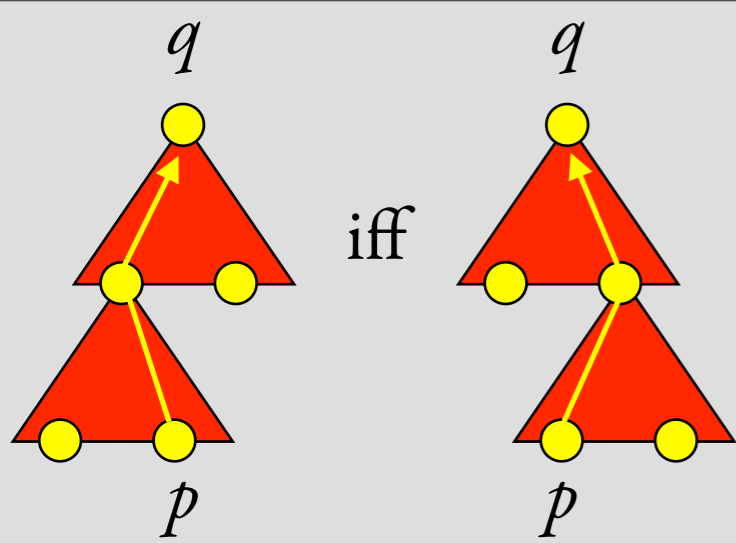


holds for a deterministic tree-walking automaton

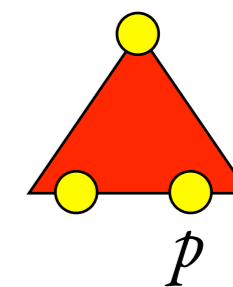
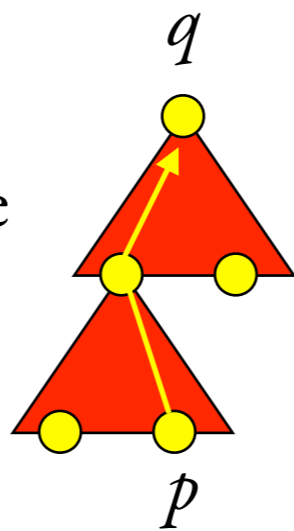
type of things we need to show:





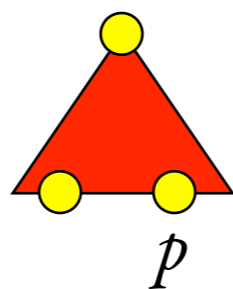
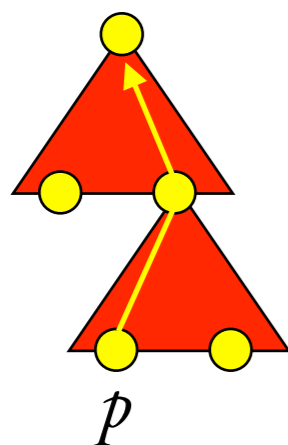
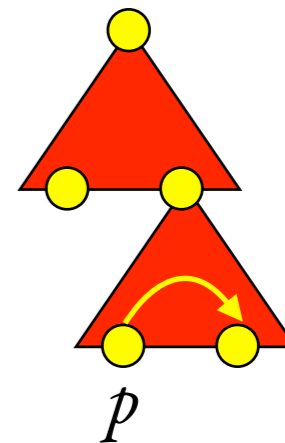
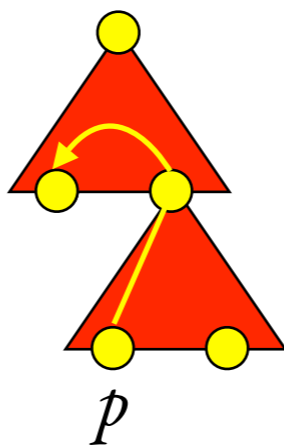
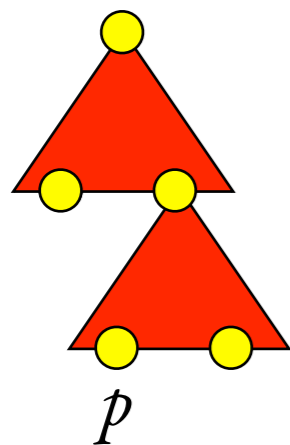


assume

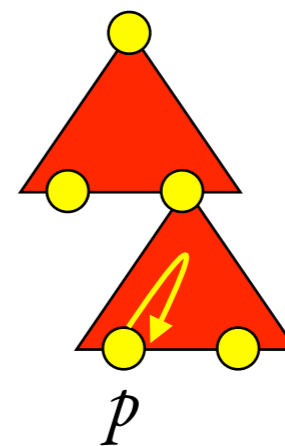


impossible

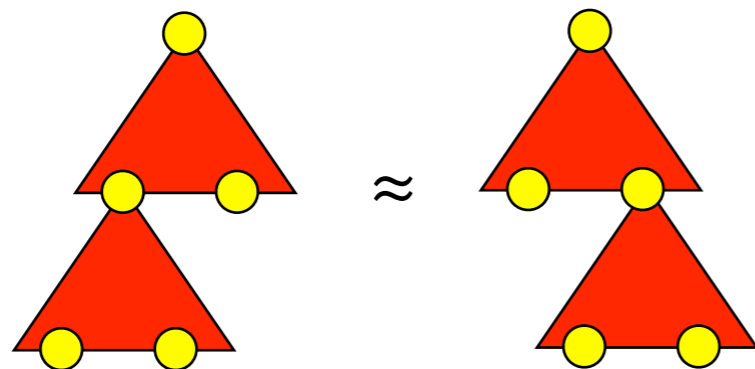
what happens in this situation?



impossible

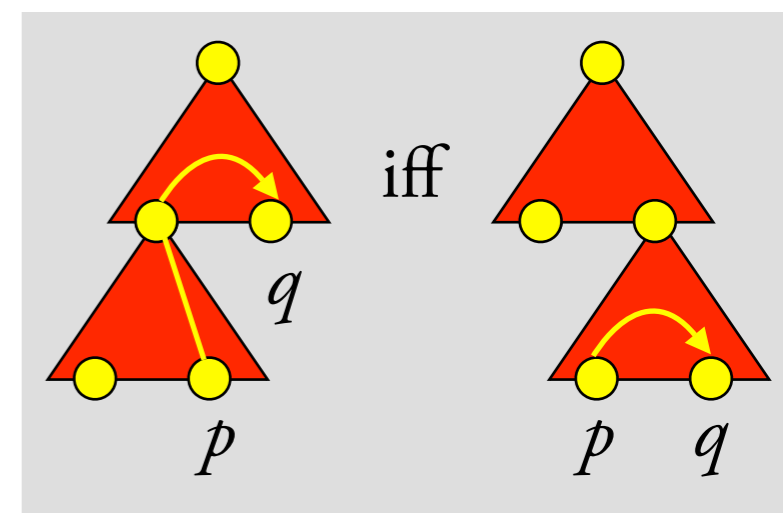
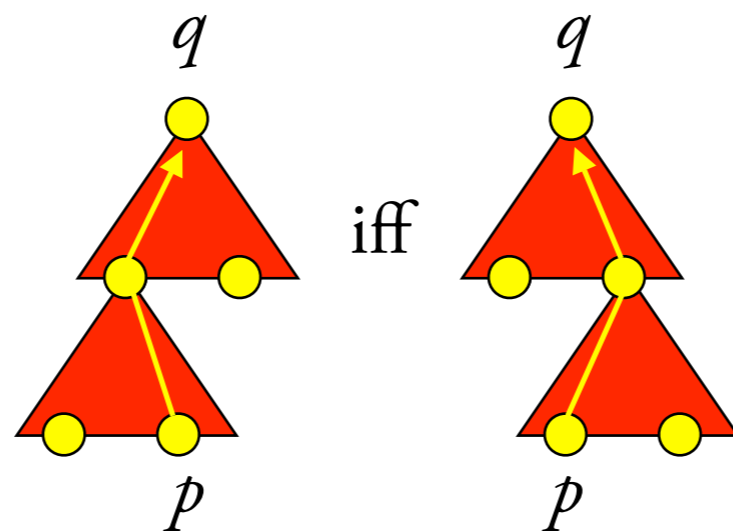
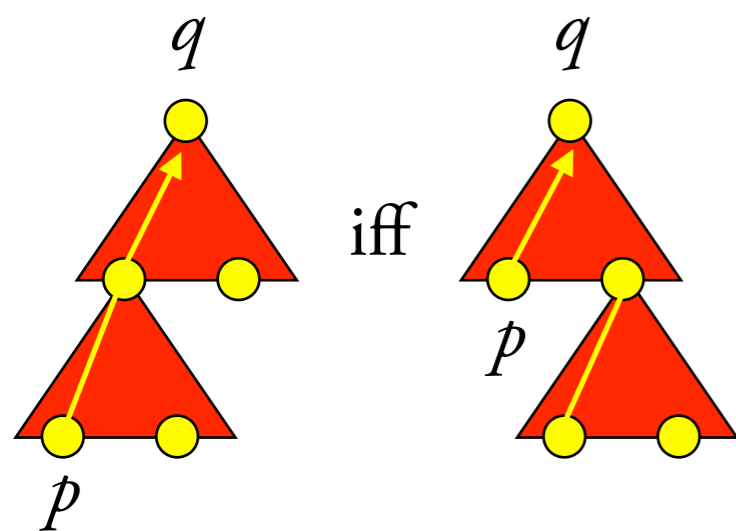


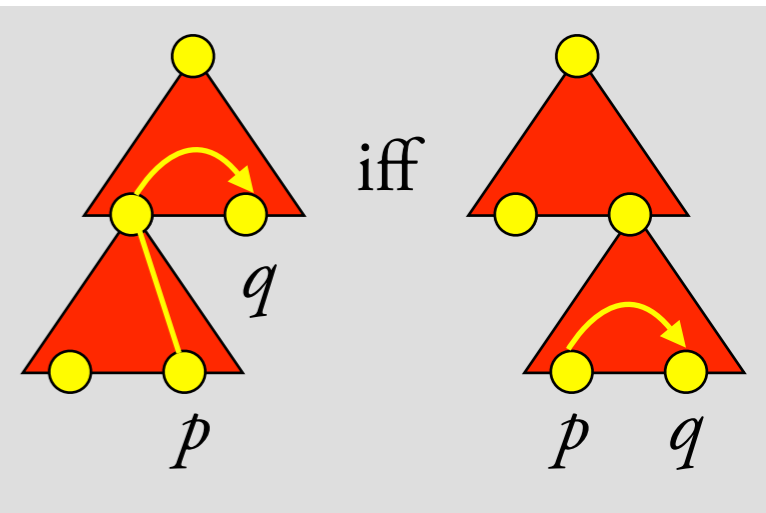
we will show that



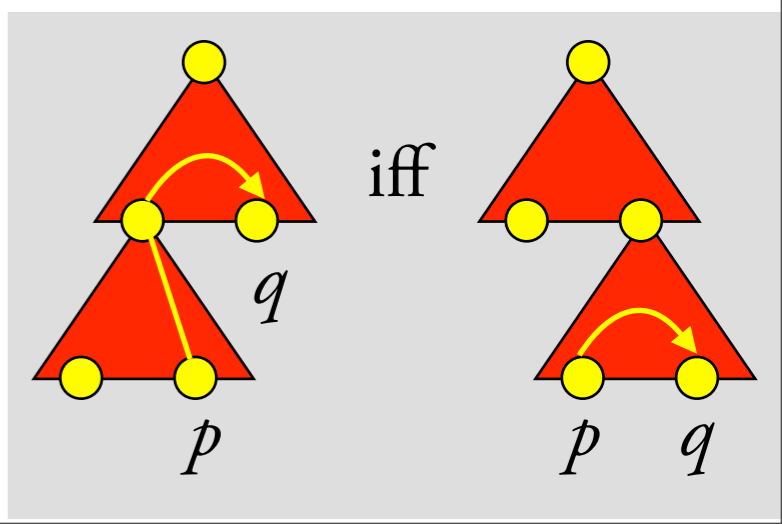
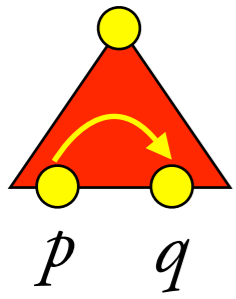
holds for a deterministic tree-walking automaton

type of things we need to show:

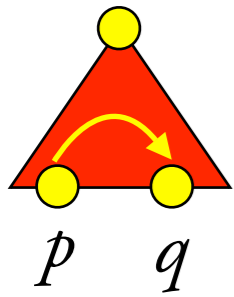




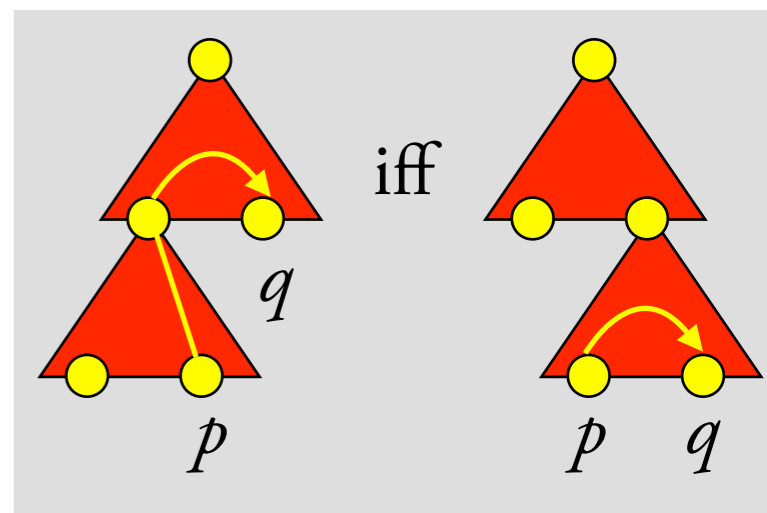
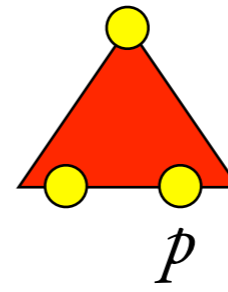
assume



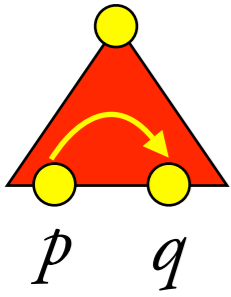
assume



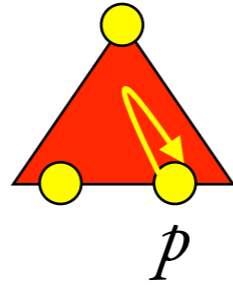
what happens
in this situation?



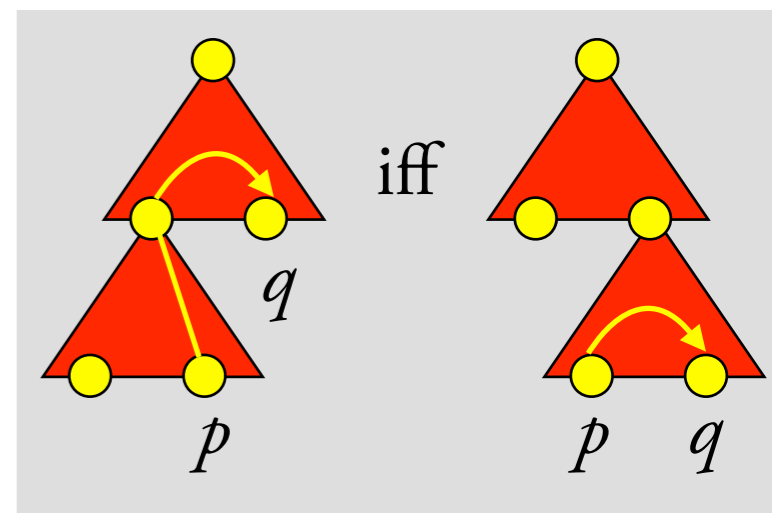
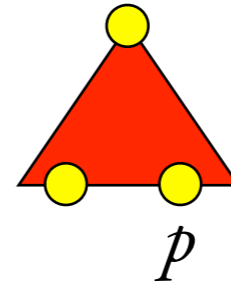
assume



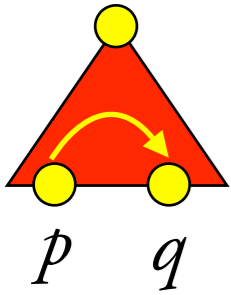
what happens
in this situation?



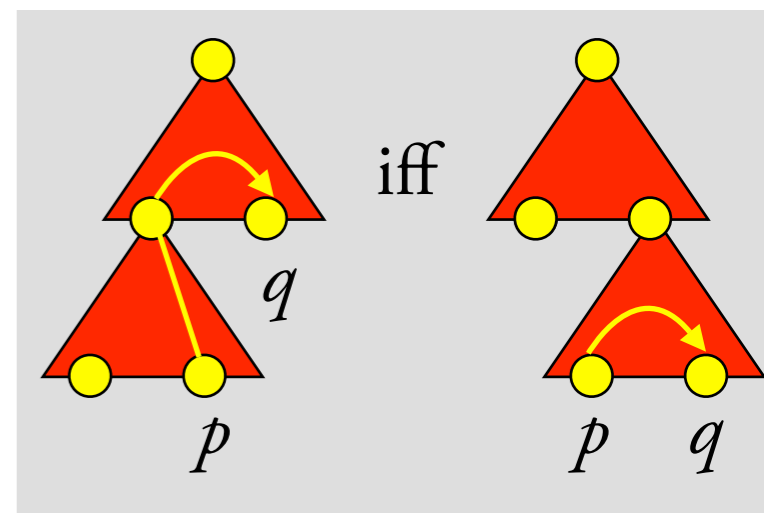
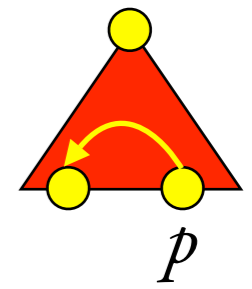
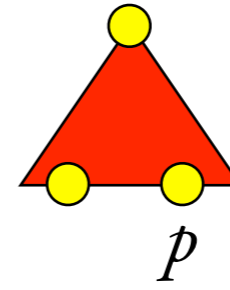
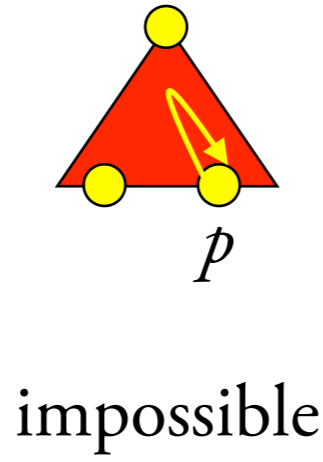
impossible



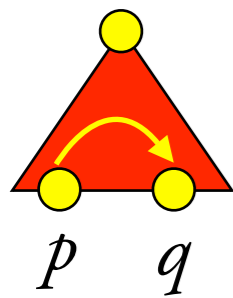
assume



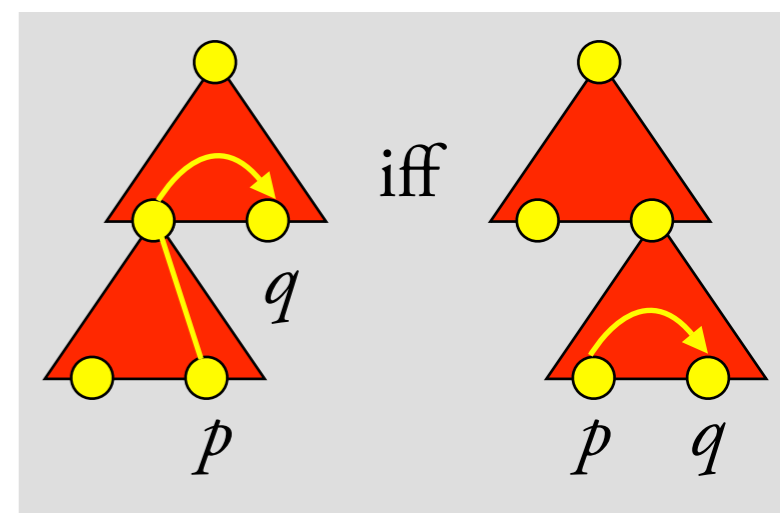
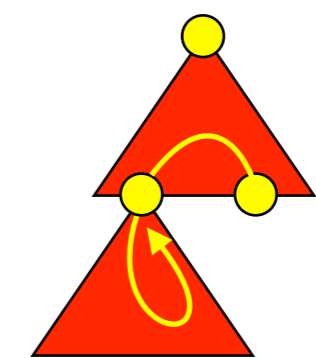
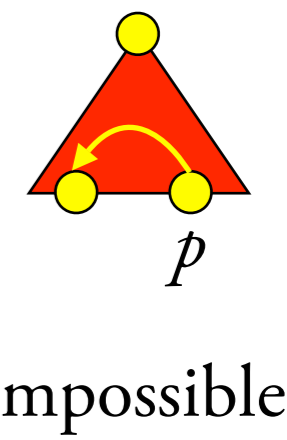
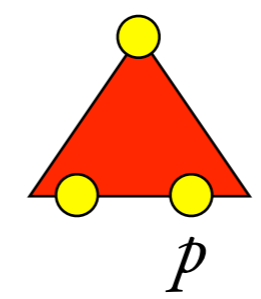
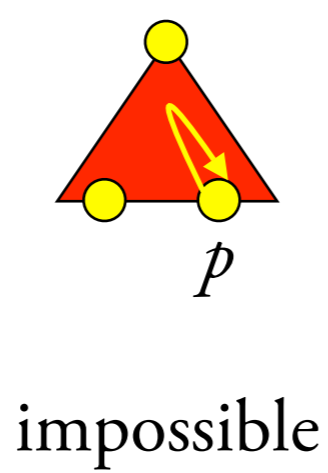
what happens
in this situation?



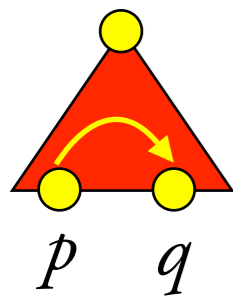
assume



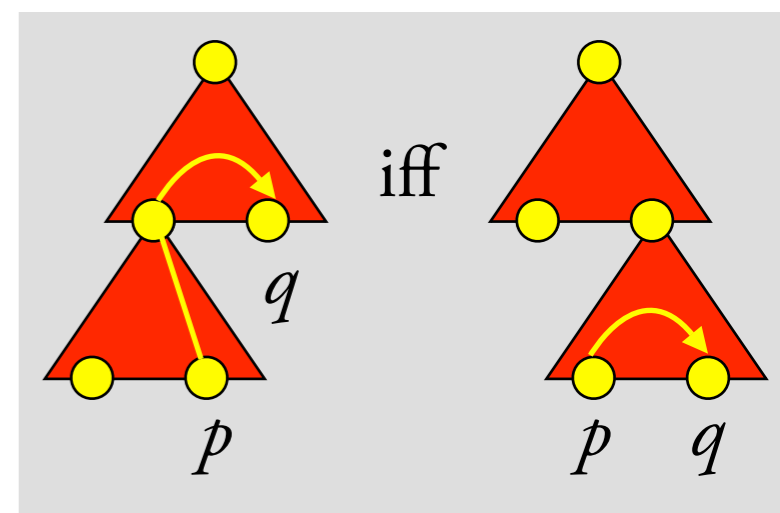
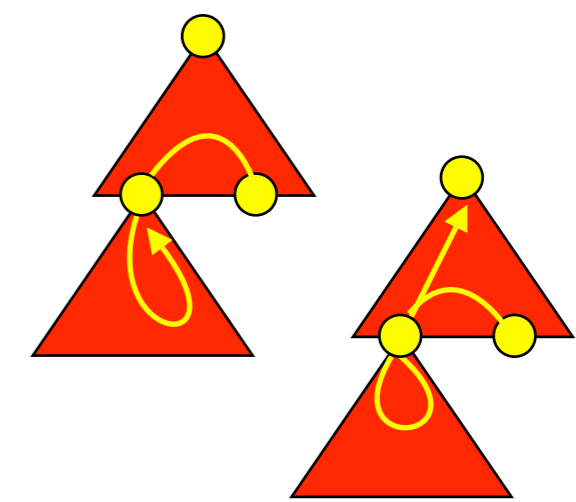
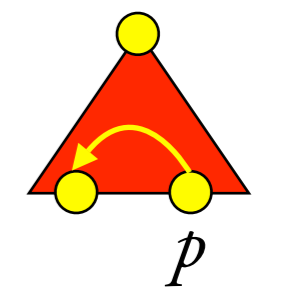
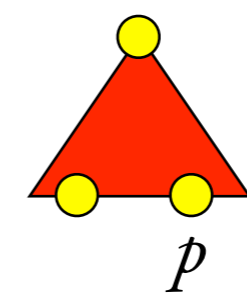
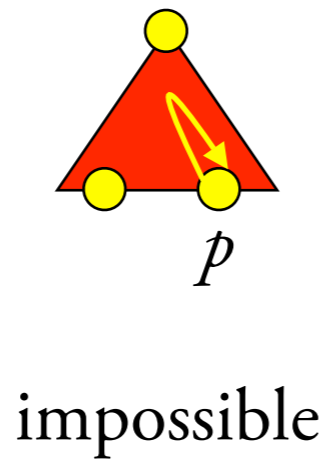
what happens
in this situation?



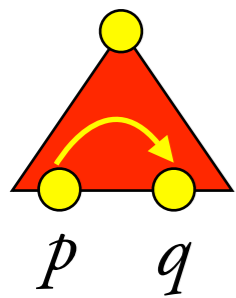
assume



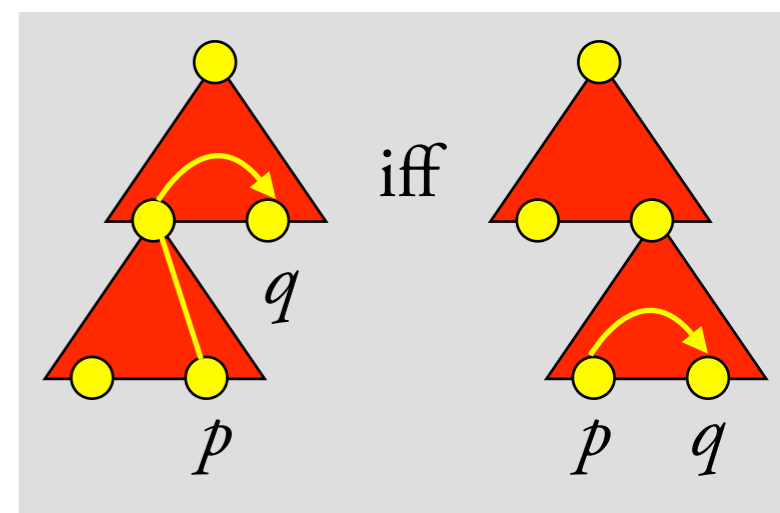
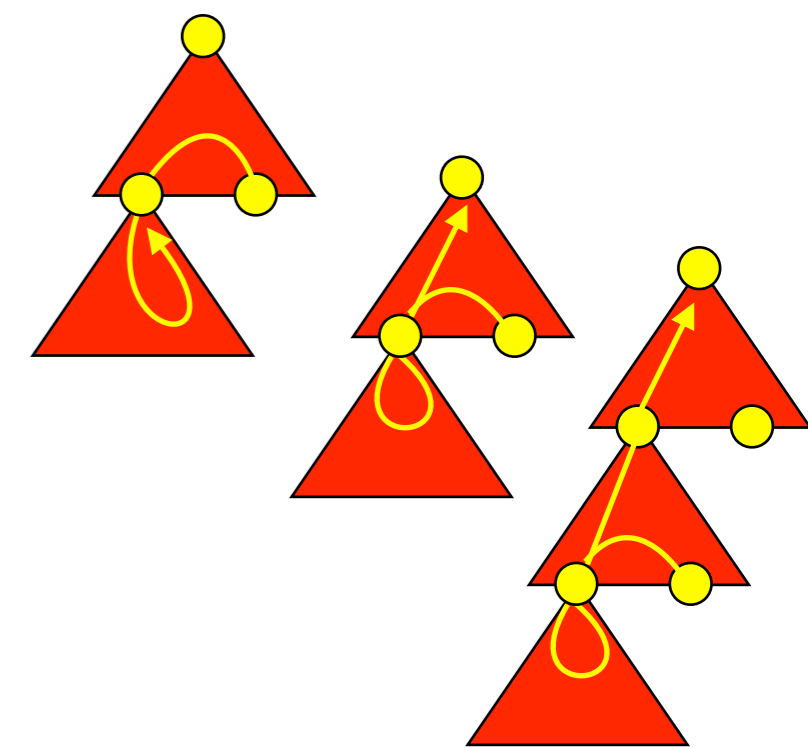
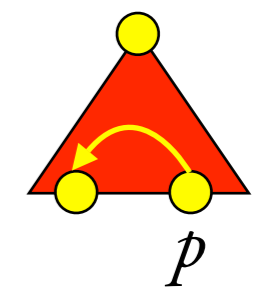
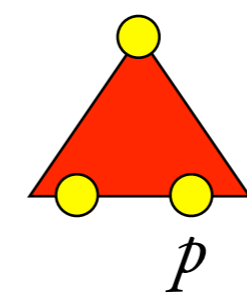
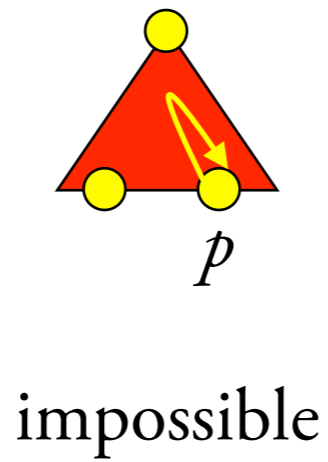
what happens
in this situation?



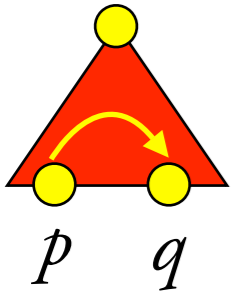
assume



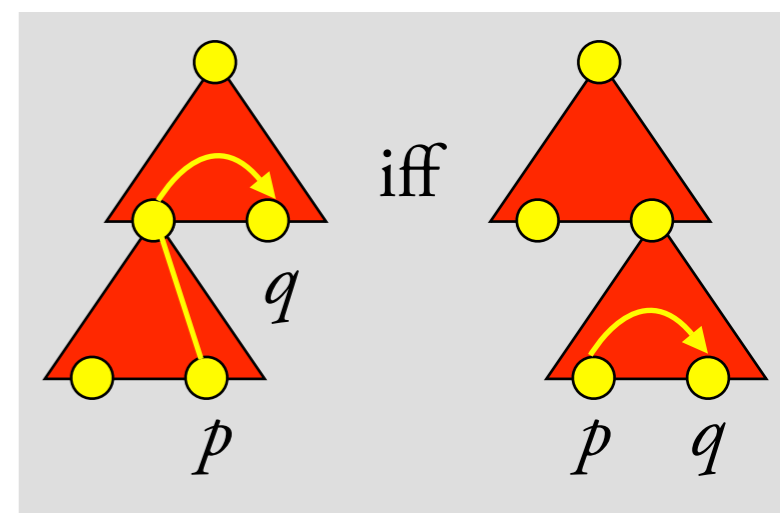
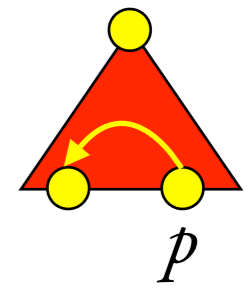
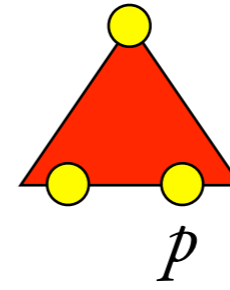
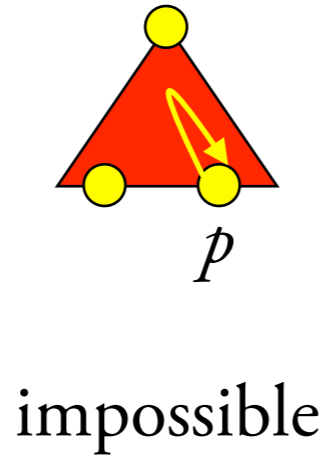
what happens
in this situation?



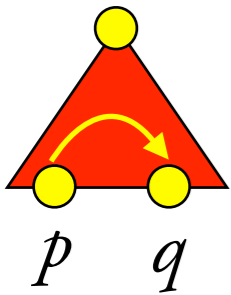
assume



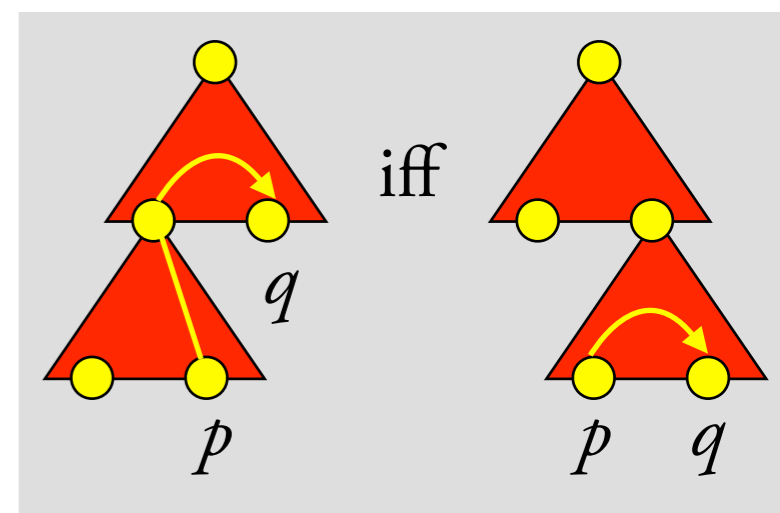
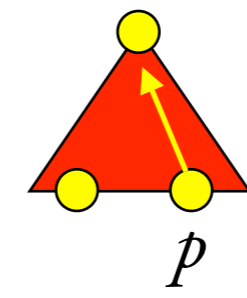
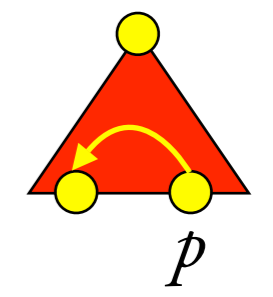
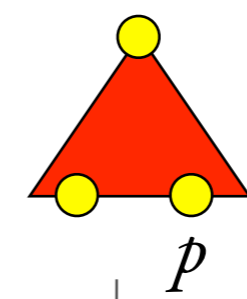
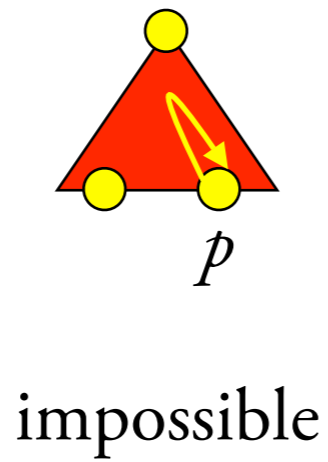
what happens
in this situation?



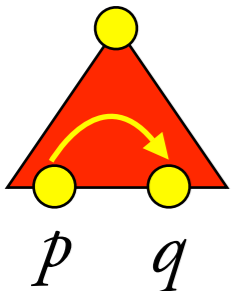
assume



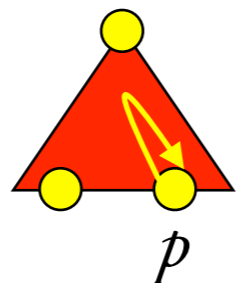
what happens
in this situation?



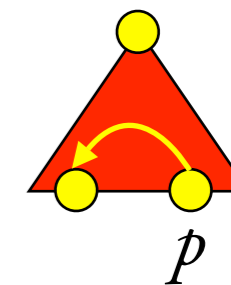
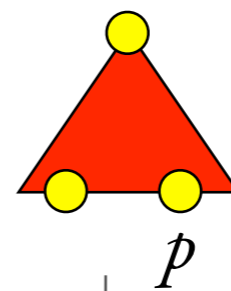
assume



what happens
in this situation?

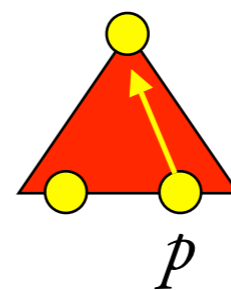
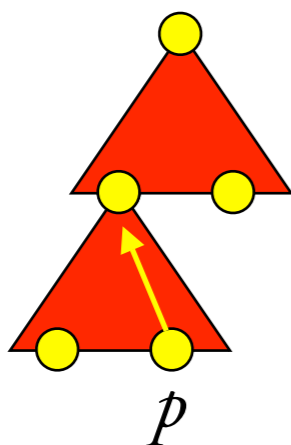


impossible

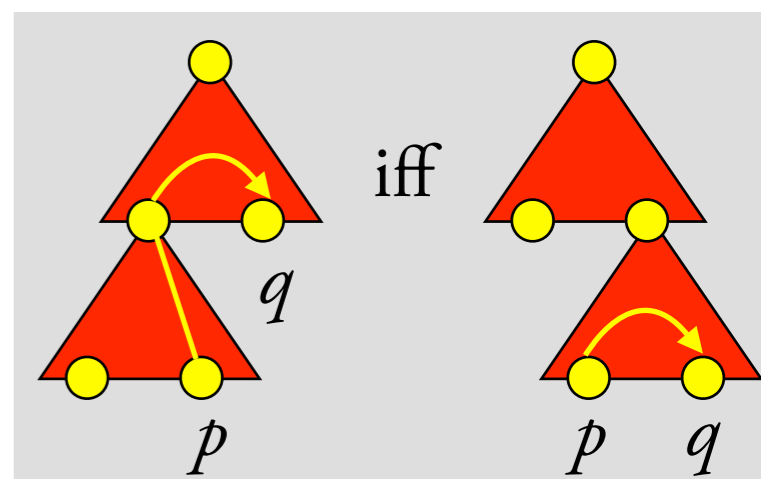


impossible

what happens
in this situation?

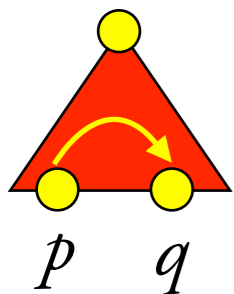


must hold

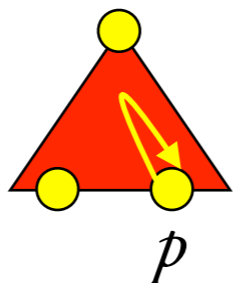


iff

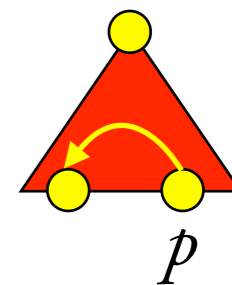
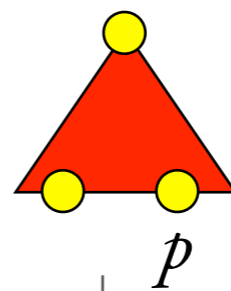
assume



what happens
in this situation?

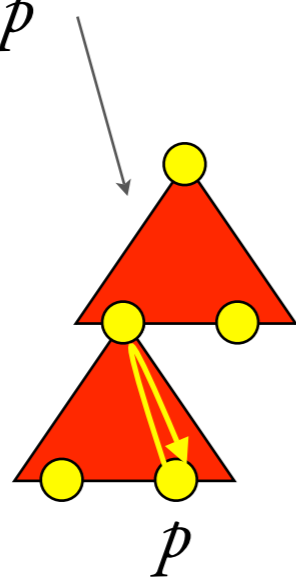
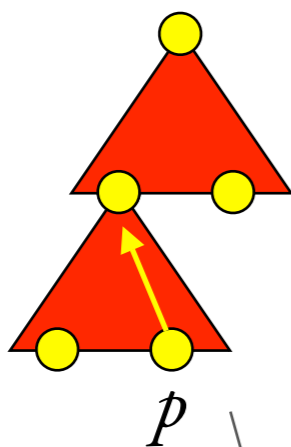


impossible



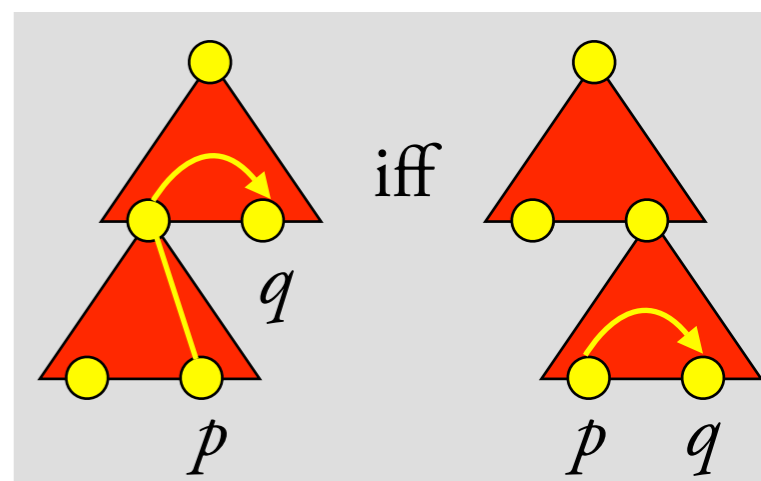
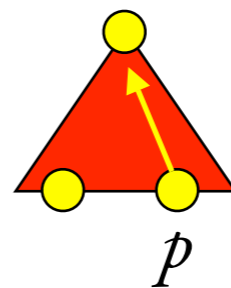
impossible

what happens
in this situation?

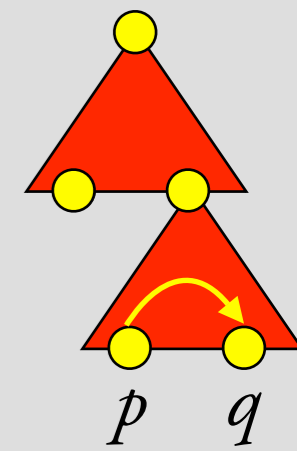


impossible

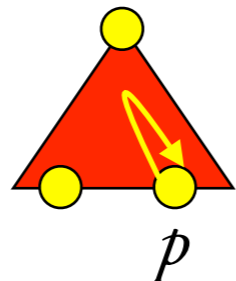
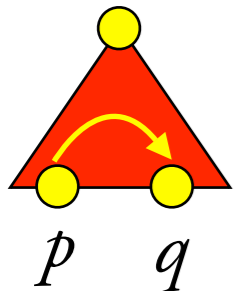
must hold



iff

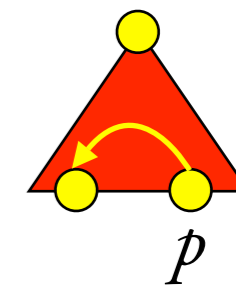
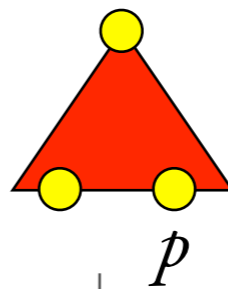


assume



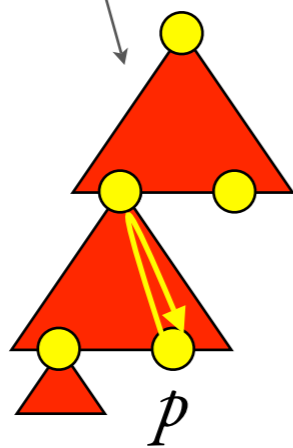
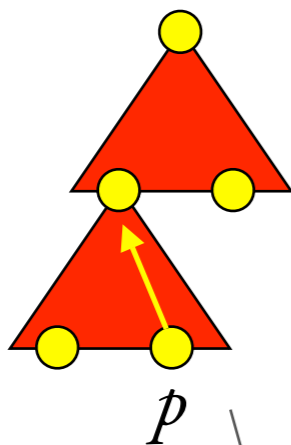
impossible

what happens
in this situation?



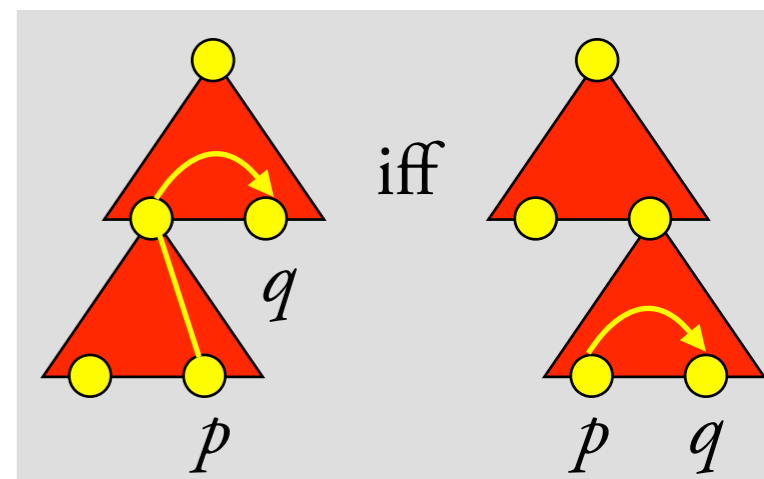
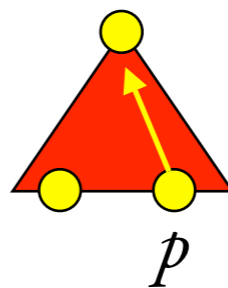
impossible

what happens
in this situation?

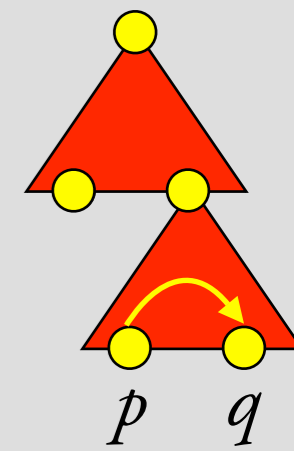


impossible

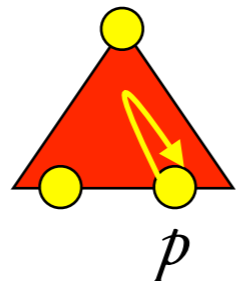
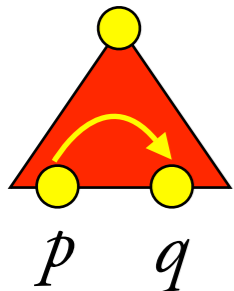
must hold



iff

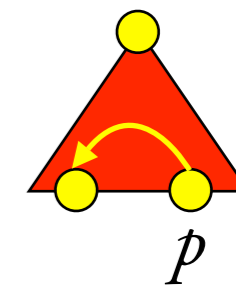
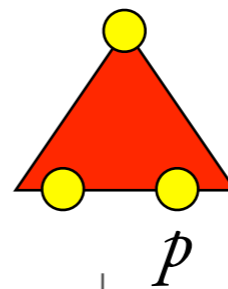


assume



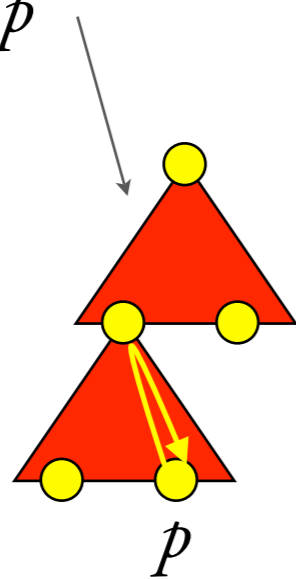
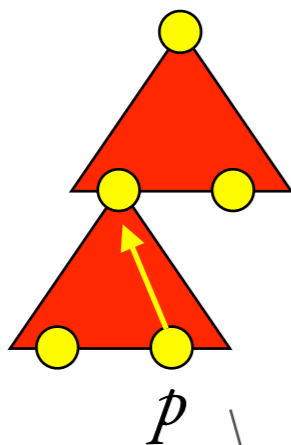
impossible

what happens
in this situation?



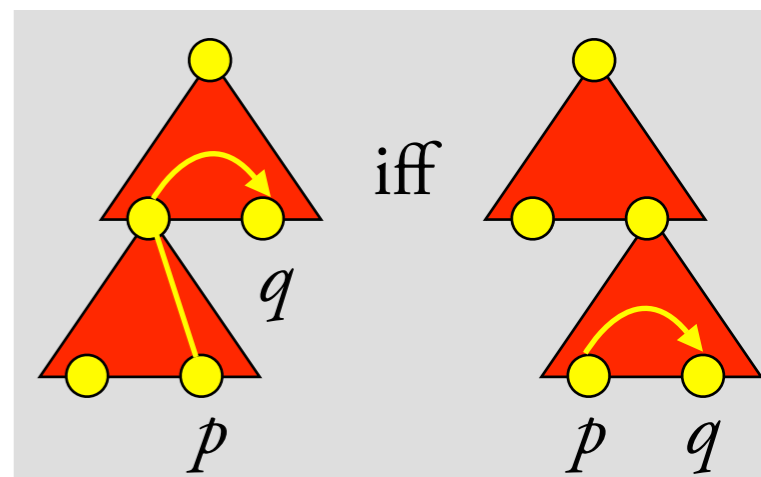
impossible

what happens
in this situation?

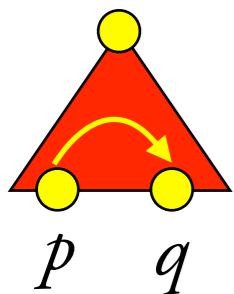


impossible

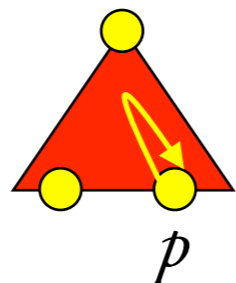
must hold



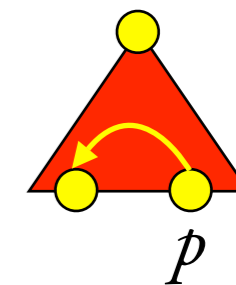
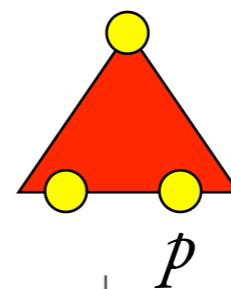
assume



what happens
in this situation?

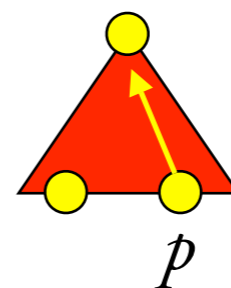


impossible

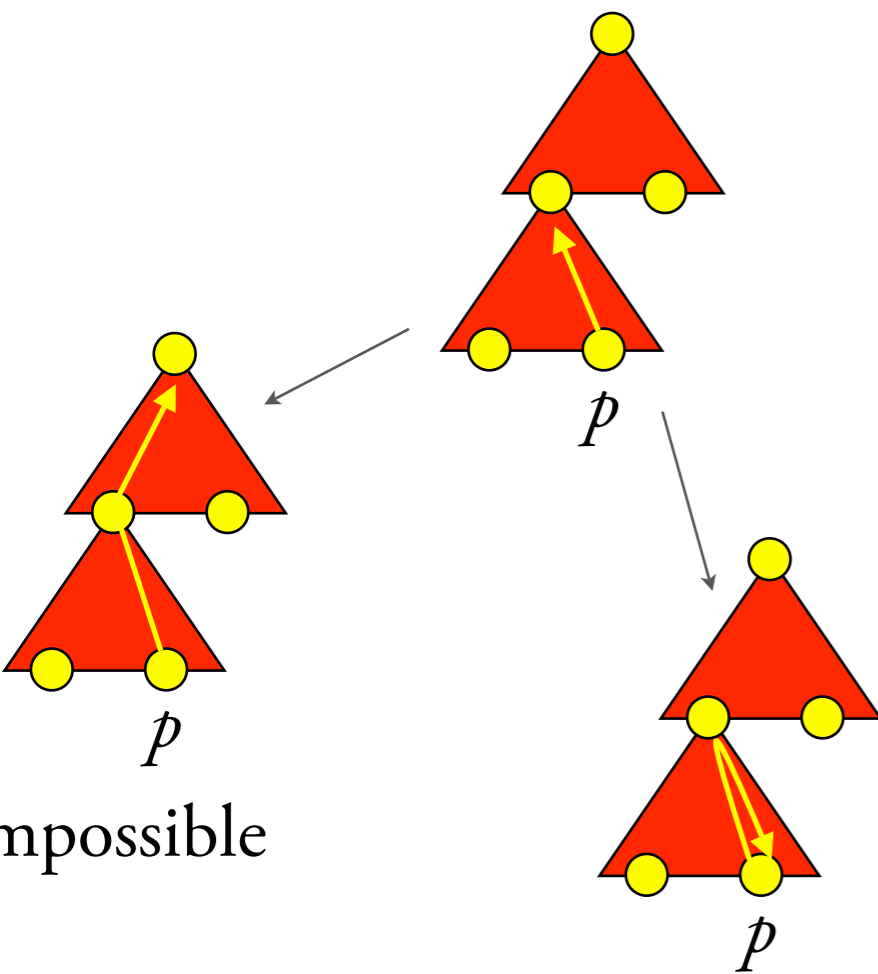


impossible

what happens
in this situation?

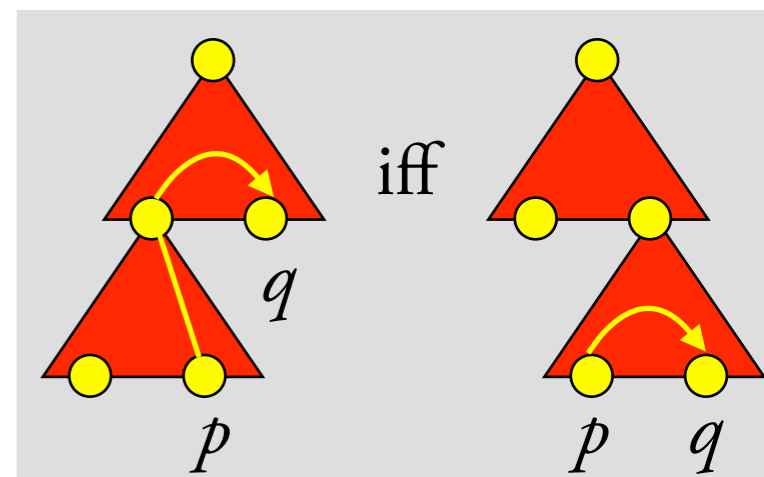


must hold

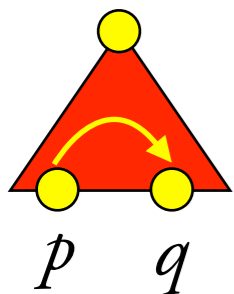


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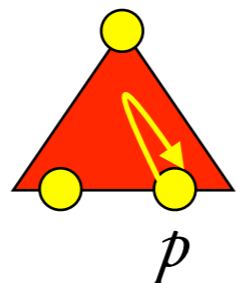
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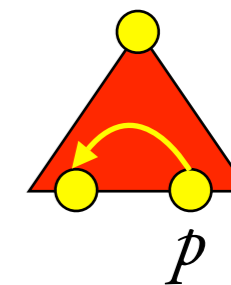
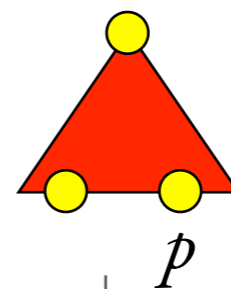
assume



what happens
in this situation?

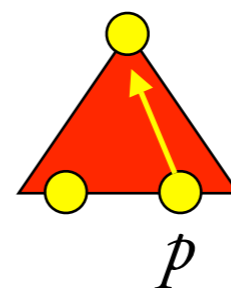


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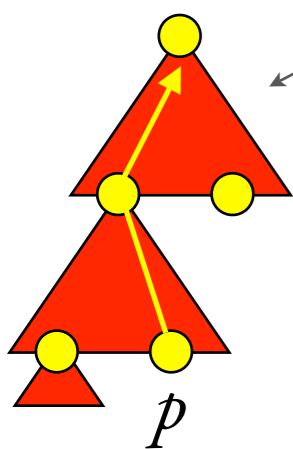
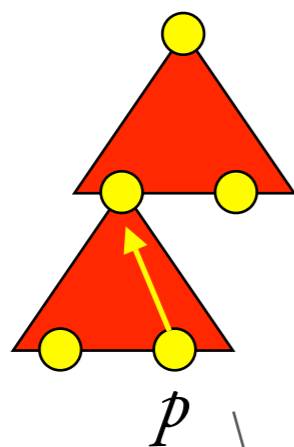


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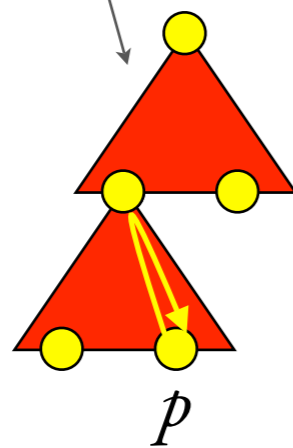
what happens
in this situation?



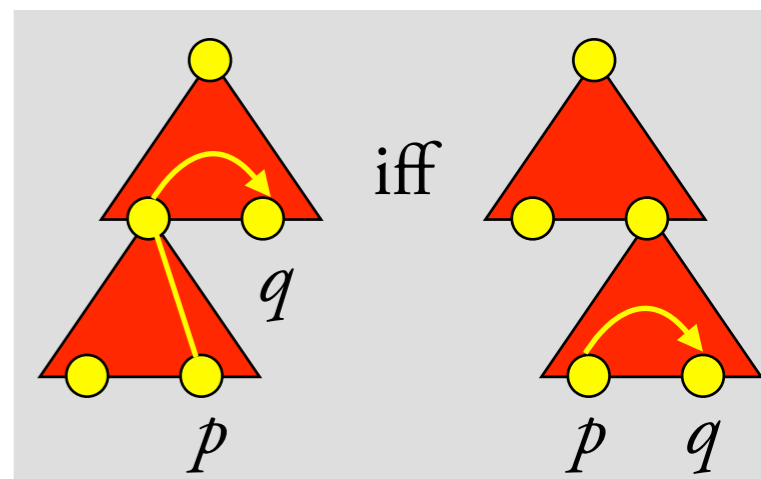
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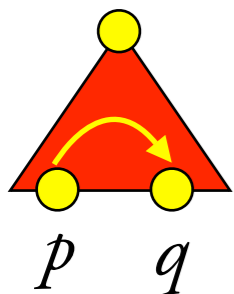
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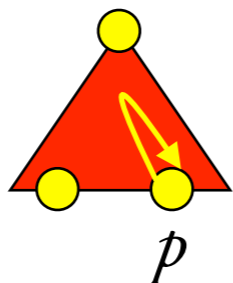
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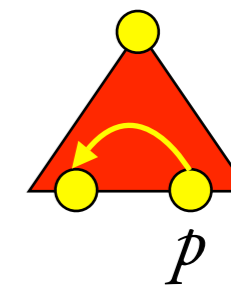
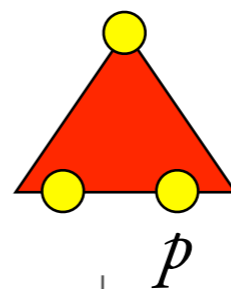
assume



what happens
in this situation?

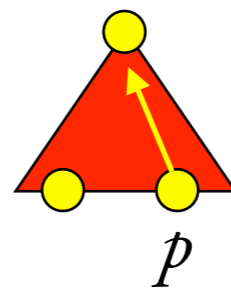


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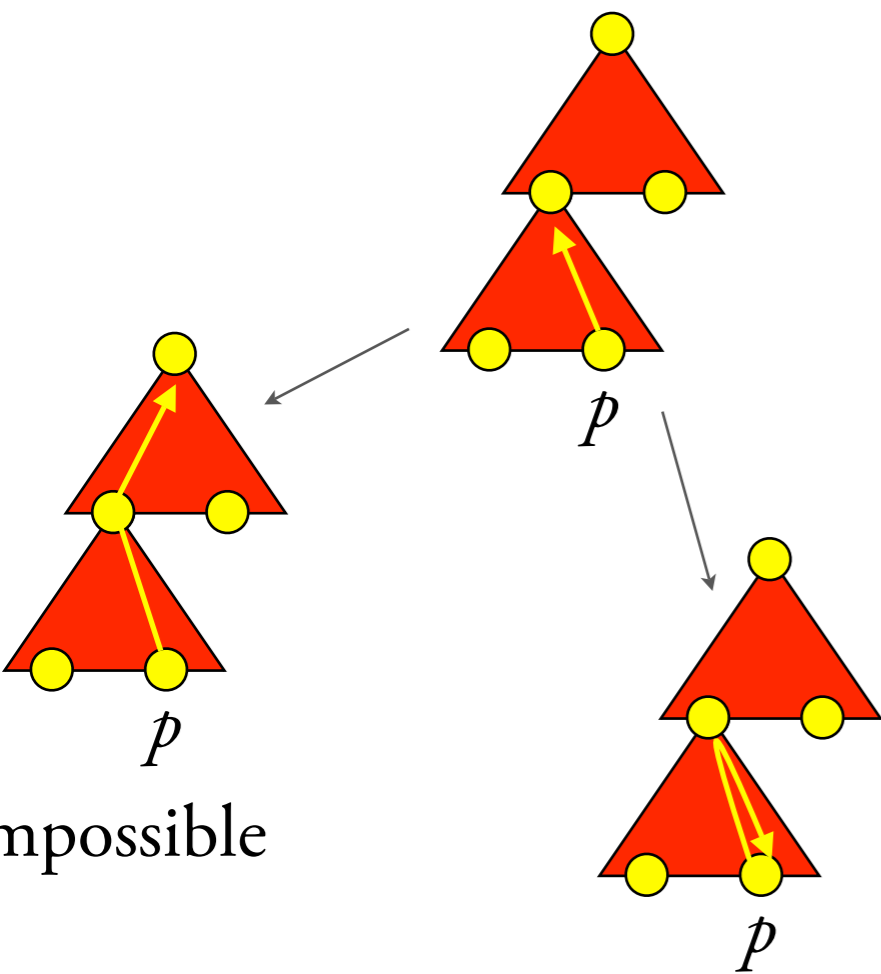


impossible

what happens
in this situation?

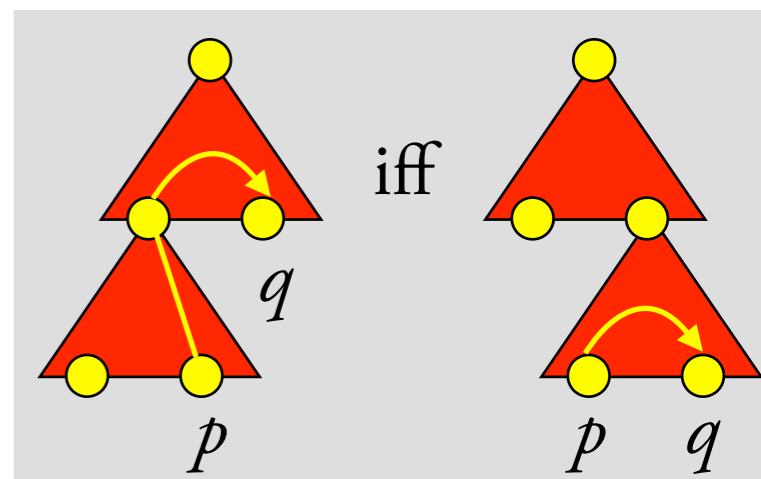


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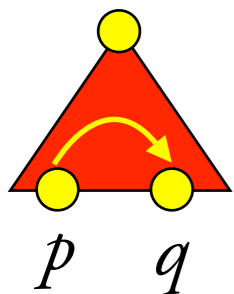


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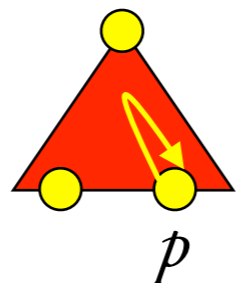
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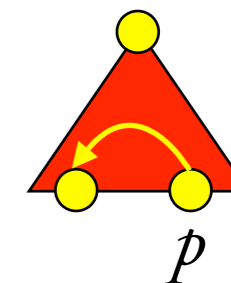
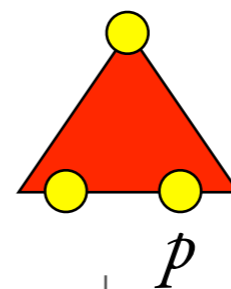
assume



what happens
in this situation?

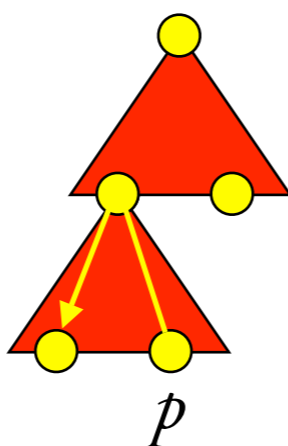


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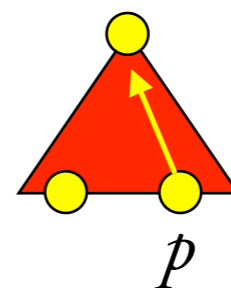


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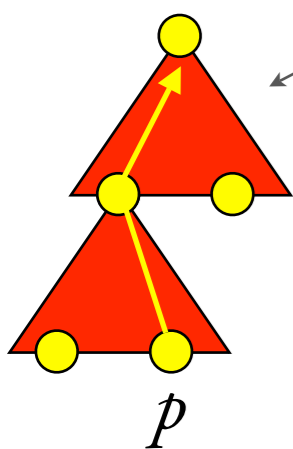
what happens
in this situation?



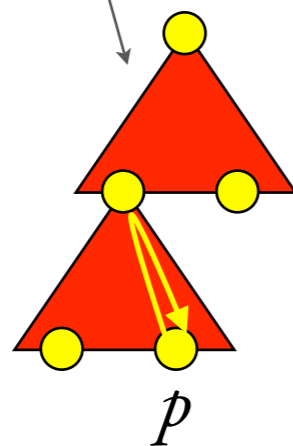
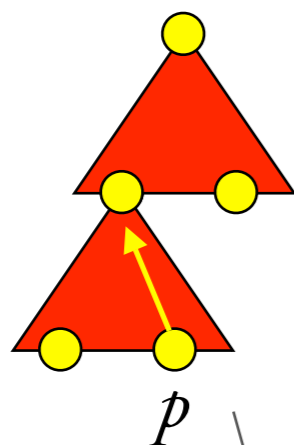
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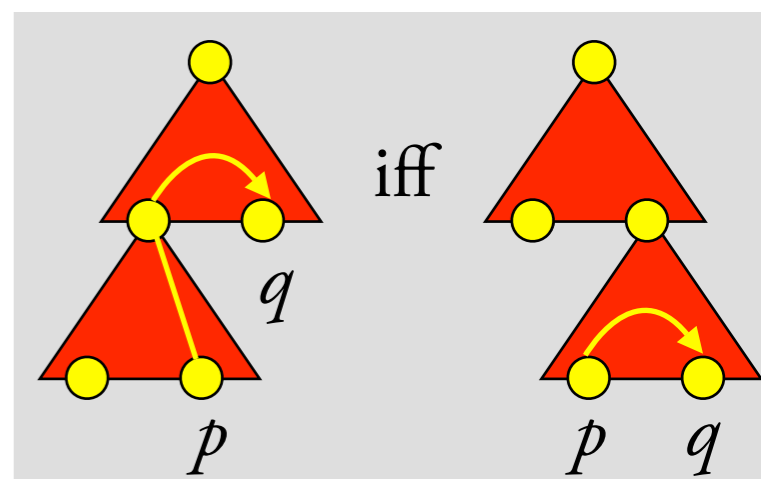
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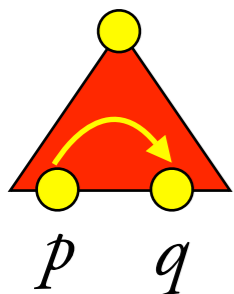
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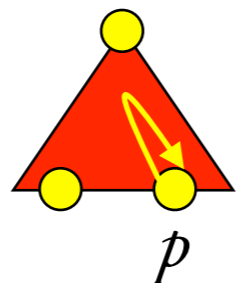
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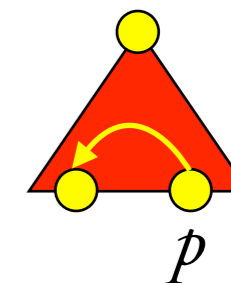
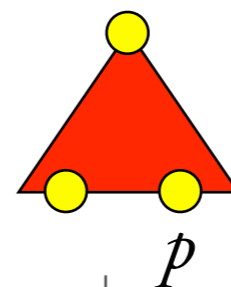
assume



what happens
in this situation?

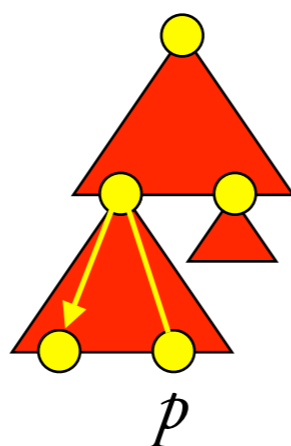


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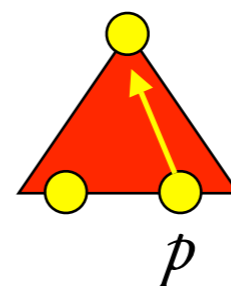


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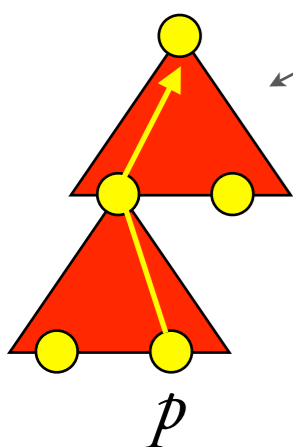
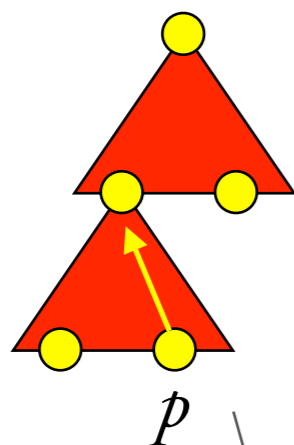
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in this situation?



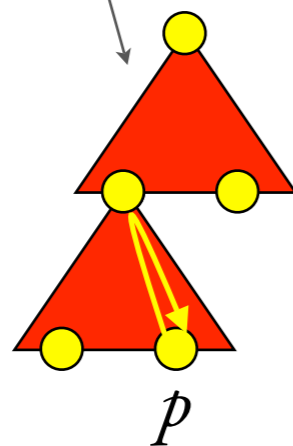
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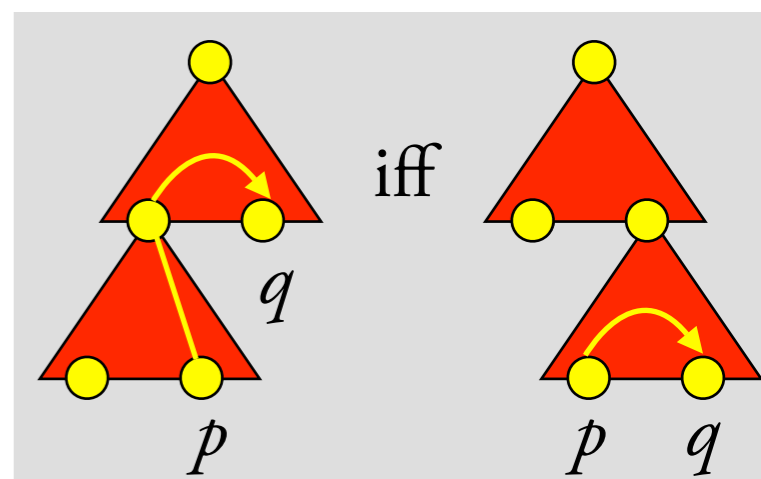
must hold



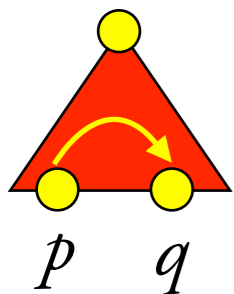
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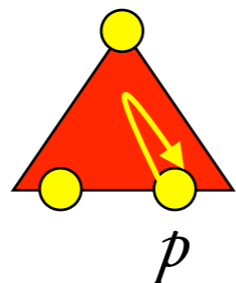
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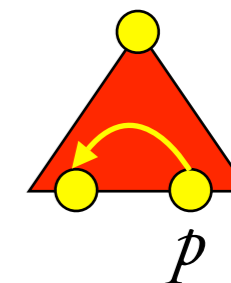
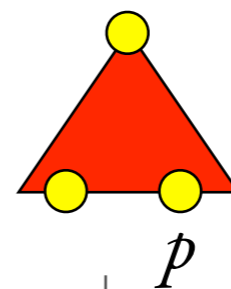
assume



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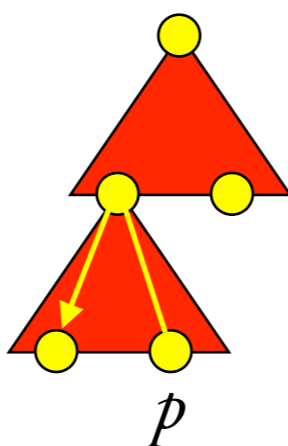


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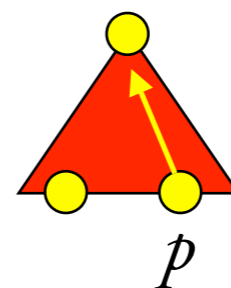


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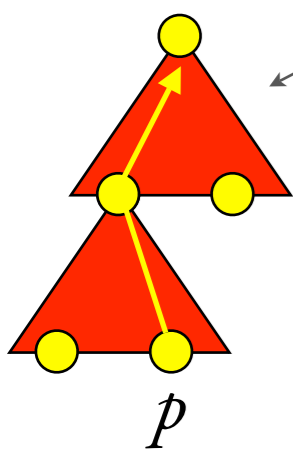
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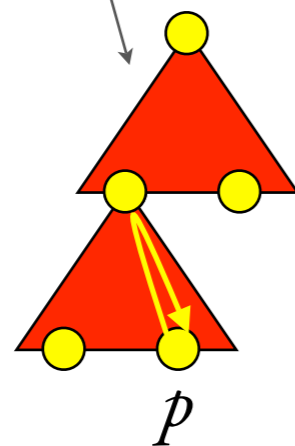
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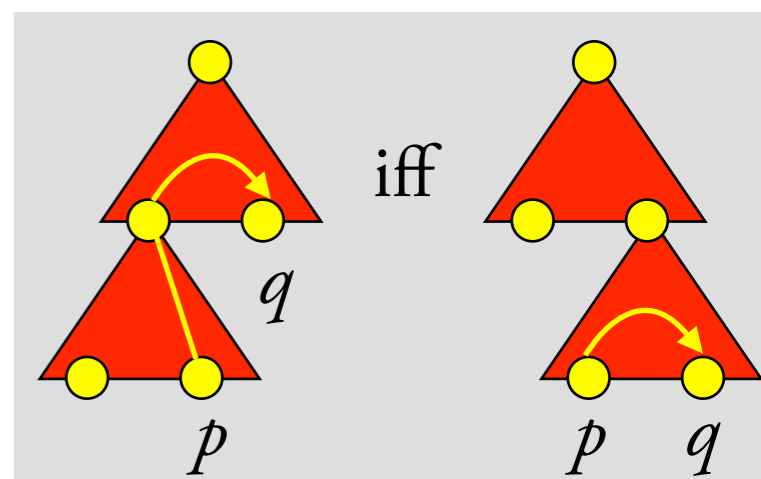
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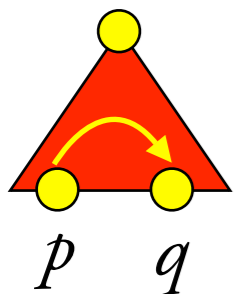
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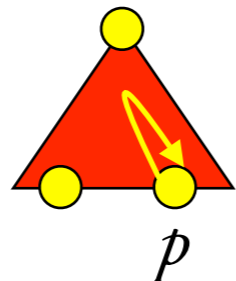
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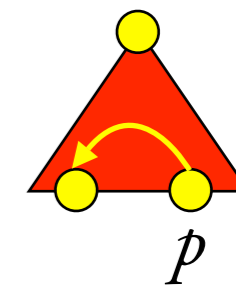
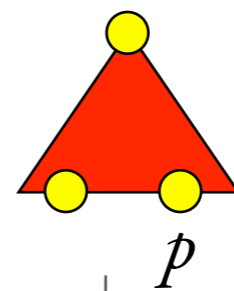
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what happens
in this situation?

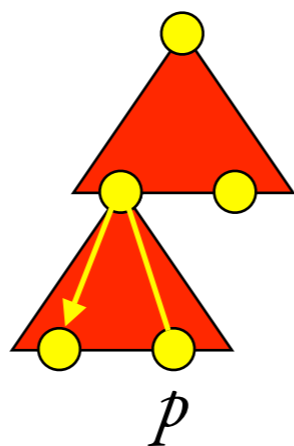
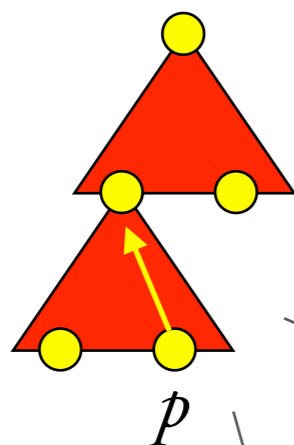


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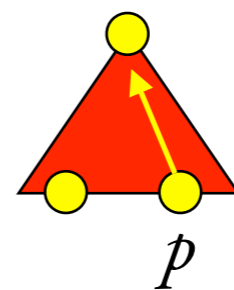


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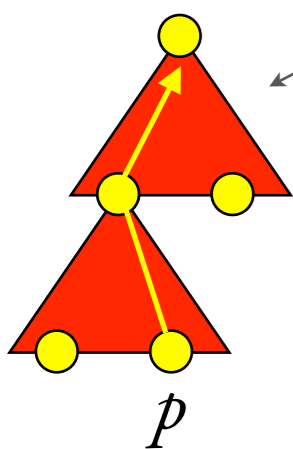
what happens
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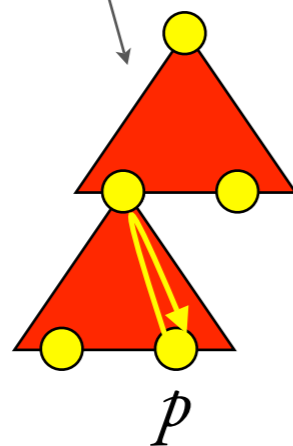
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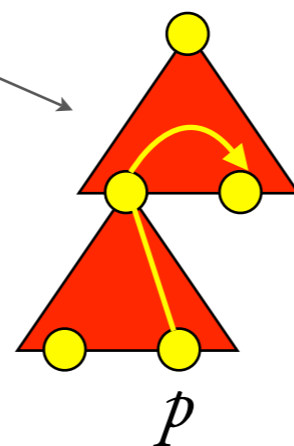
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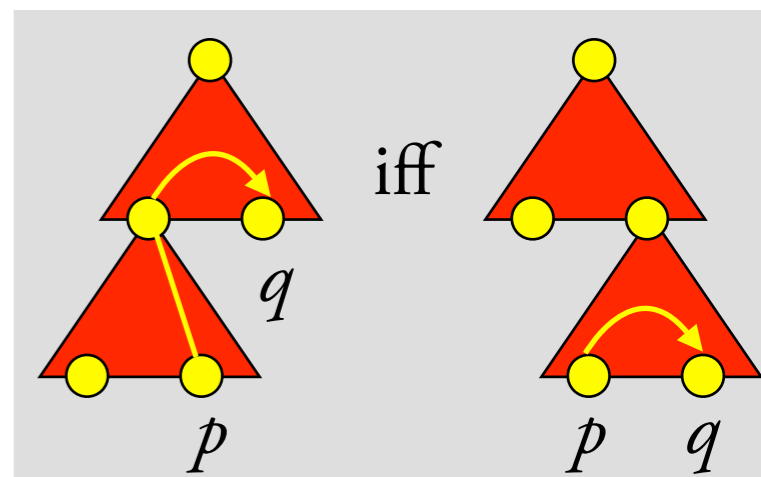
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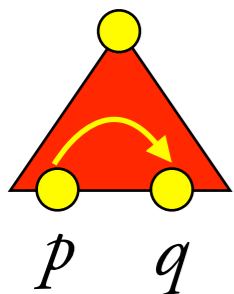
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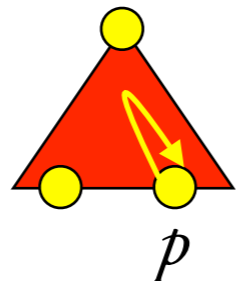
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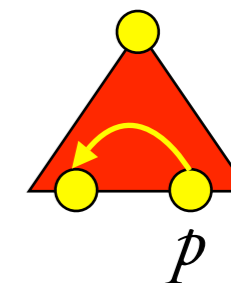
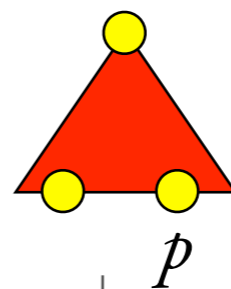
assume



what happens in this situation?

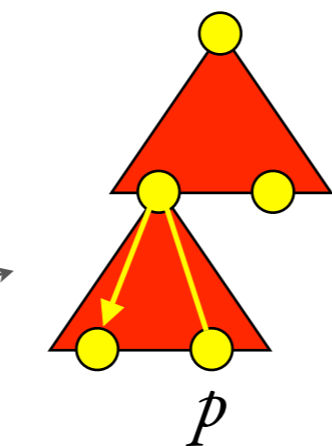
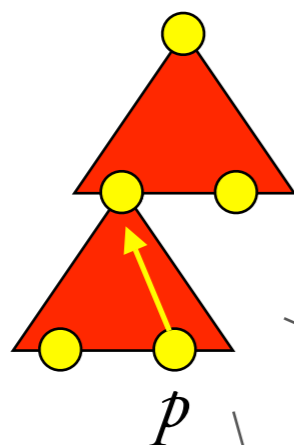


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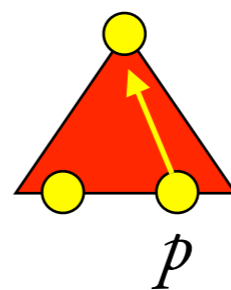


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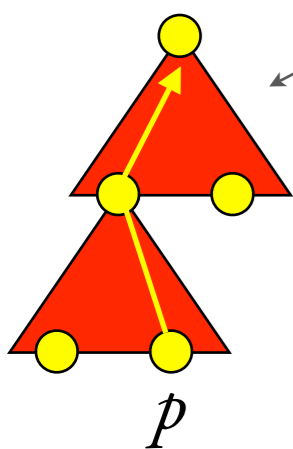
what happens in this situation?



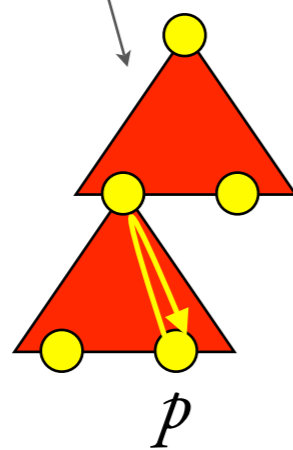
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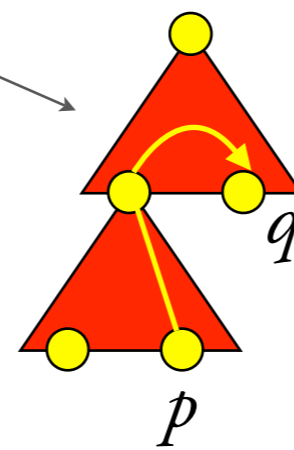
must hold



impossible



impossible



must hold

