# Tree Languages Definable with One Quantifier Alternation 

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The following problem is decidable:

Input: A regular tree language $L$, given by a tree automaton.
Question: Is $L$ definable by a formula with quantifier prefix $\exists^{*} \nabla^{*}$ and also by a formula with quantifier prefix $\forall^{*} \exists^{*}$

This talk is about understanding the expressive power of logics on words and trees. The logics involved can only define (some) regular languages.

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Understand $\operatorname{logic} X=$ give na algorithm to decide if a language $L$ is definable in $X$

```
all regular languages
```

```
languages
definable
in logic }
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# Why this notion of understanding? 

There is a rich theory connecting logic, regular languages, and algebra.

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Theorem. (Schützenberger, McNaughton/Papert) The following are equivalent for a word language:
$-L$ is definable in first-order logic

- $L$ is star-free
- the syntactic monoid of $L$ is group-free


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$-L$ is star-free
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... more results, including modulo quantifiers, the quantifier alternation hierarchy, etc.

This paper is part of a program investigating the algebralogic connection for trees. Eventually, we want to answer questions such as:

- what is the expressive power of first-order logic on trees?
- what is a tree group?
- is there a Krohn-Rhodes decomposition theory?
- $L$ is definable in first-order logic
- $L$ is star-free
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\exists x . a(x) \wedge(\forall y<x . b(y))
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\mathrm{F}\left(a \wedge \neg\left(\mathrm{~F}^{-1} c\right)\right)
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"go right to first $a$; go left to first $c$ " fails
"go right to first $a$ " works

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"two $a$ 's"
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We consider forest languages instead of tree languages

## (a forest is a sequence of trees)



We use first-order formulas to describe properties of forests. Variables quantify over nodes. Predicates allowed are: " $x$ ancestor of $y$ " " $x$ lexicographically before $y$ " "label of $x$ is $a$ "

We are interested in forest languages that can be defined in both $\forall^{*} \exists^{*}$ and $\exists^{*} \forall^{*}$. We call this class $\Delta_{2}$.

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every two nodes have a common ancestor
$\forall x \forall y \exists z . z \leq x \wedge z \leq y$

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some node is ancestor to every node
$\exists x \forall y . x \leq y$

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$$
\begin{aligned}
& \exists x \forall y . x \leq y \\
& \exists^{*} \forall^{*}
\end{aligned}
$$

## Question:

What forest languages can be defined in $\Delta_{2}$ ?
Preferably, give an algorithm that decides if $L \in \Delta_{2}$.
a word $w$ can have two encodings:


Fact. if $L$ is a word language definable in $\Delta_{2}$, then both $\operatorname{hor}(L)$ and $\operatorname{ver}(L)$ are forest languages definable in $\Delta_{2}$.

Our characterization is stated as an identity. Intuitively, a forest language is definable in $\Delta_{2}$ iff it admits a certain pumping lemma.

A context is a forest with a hole in a leaf


A context is a forest with a hole in a leaf


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Notion of piece for contexts.


Myhill-Nerode congruence for a forest language $L$.

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Two contexts $0900^{\text {and }} 090$ are called $L$-equivalent if

Myhill-Nerode congruence for a forest language $L$.


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$\in L$
iff


## Main Theorem.

A forest language is definable in $\Delta_{2}$ iff the following holds for all sufficiently large $n$

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A forest language is defi the following holds $f$


This criterion is decidable.
We also have variants of the theorem for unordered trees / forests.

is equivalent to


## Application.

The set of binary trees (every node has zero or two children) is not definable in $\Delta_{2}$


Big project: understand the expressive power of first-order logic on trees.

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regular
languages

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$$
\Sigma_{1}(\leq) \quad \Pi_{1}(\leq)
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regular languages

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Easy excercise $\longrightarrow \Sigma_{1}(\leq)$

## $\Pi_{1}(\leq)$

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## $\operatorname{Bool}\left(\Sigma_{1}(\leq)\right)$

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BSS LICS o8
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