# Wiman–Valiron discs and the Hausdorff dimension of Julia sets of meromorphic functions

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## Outline

- Basic definitions.
- Direct and logarithmic tracts.
- Hausdorff dimension.
- Wiman–Valiron theory.
- Hausdorff dimension of Julia sets of some functions with direct tracts.

### **Basic definitions**

• Let  $f : \mathbb{C} \to \mathbb{C}$  be analytic.

• Denote by  $f^n$  the *n*th iterate of f.

#### Definition

The Fatou set is

 $F(f) = \{z : (f^n) \text{ is equicontinuous in some neighborhood of } z\}.$ 

#### Definition

The Julia set is

$$J(f) = \mathbb{C} \setminus F(f).$$

#### Definition

The escaping set is

$$I(f) = \{ z : f^n(z) \to \infty \text{ as } n \to \infty \}.$$

- I(f) is a neighborhood of  $\infty$ .
- $\partial I(f) = J(f).$
- $I(f) \subset F(f)$ .
- Points in I(f) all have the same rate of escape.

Denote by K(f) the set of points with bounded orbit.

## Examples of the escaping set of some polynomials (in white)



$$z^2 + 0.25$$

$$z^2 + .28 + .008i$$

## More examples of the escaping set of some polynomials (in white)



$$z^2 - 0.79 + .15i$$

$$z^2 - 0.122565 + 0.744864i$$

## The escaping set of a transcendental entire function

#### Definition

The escaping set is

$$I(f) = \{ z : f^n(z) \to \infty \text{ as } n \to \infty \}.$$

- I(f) is not a neighborhood of  $\infty$ .
- I(f) can meet F(f) and J(f).
- Points in I(f) have different rates of escape.
- Eremenko (1989) showed I(f) has the following properties:
  - $I(f) \cap J(f) \neq \emptyset$ ,
  - $\underline{\partial I(f)} = J(f)$ ,
  - $\overline{I(f)}$  has no bounded components.

• Eremenko's conjecture: All components of I(f) are unbounded.

Denote by K(f) the set of points with bounded orbit.

# Examples of the escaping set of some transcendental entire functions (in black and gray)



$$\frac{1}{4}\exp(z)$$

 $z + 1 + \exp(-z)$ 

#### Tracts

#### Definition

Let D be an unbounded domain in  $\mathbb{C}$  whose boundary consists of piecewise smooth curves. Further suppose that the complement of D is unbounded and let f be a complex valued function whose domain of definition includes the closure  $\overline{D}$  of D. Then, D is a **direct tract** if f is analytic in D, continuous on  $\overline{D}$ , and if there exists R > 0 such that |f(z)| = R for  $z \in \partial D$  while |f(z)| > R for

 $z \in D$ . If in addition the restriction  $f: D \to \{z \in \mathbb{C} : |z| > R\}$  is a universal covering, then D is a **logarithmic tract**.

• Every transcendental entire function has a direct tract.

## Examples (tracts in white)



 $\exp(z)$ 

 $\exp(\exp(z) - z)$ 

## More examples (tracts in white)



Denote by  $\dim J(f)$  the Hausdorff dimension of the Julia set of f.

- If f is a quadratic map, then  $0 < \dim J(f) \le 2$ .
- 'Difficult' to find functions, f, for which  $\dim J(f) = 2$ .

### Hausdorff dimension for quadratic maps



• 
$$I(f) = \{z: |z| > 1\}$$
 is in white

• 
$$J(f) = \{z : |z| = 1\}$$
 is the boundary of the black region

• dim 
$$J(f) = 1$$

 $z^2$ 

### Hausdorff dimension for quadratic maps



$$z^2 + 0.25$$

- I(f) is in white
- J(f) is the boundary of the black region
- $1 < \dim J(f) < 3/2$

### Hausdorff dimension for quadratic maps



$$z^2 - 3/2 + 2i/3$$

- I(f) is in white
- J(f) is in black
- J(f) is totally disconnected
- dim J(f) < 1

In general, for a transcendental entire function f:

• Baker (1975) proved dim  $J(f) \ge 1$ .

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- Bishop (2018) constructed a transcendental entire function f with  $\dim J(f) = 1$ .



 $\frac{1}{4}\exp(z)$ 

- *I*(*f*) is in black and is a Cantor bouquet of curves (without some endpoints)
- J(f) is in black
- J(f) is I(f) along with all the endpoints
- $\dim J(f) = \dim I(f) = 2$ (McMullen, 1987)



 $\frac{1}{4}\exp(z)$ 

Karpińska's paradox

- The set of curves without the endpoints has dimension 1 (Karpińska, 1999).
- The set of endpoints has dimension 2.

#### Theorem (Barański, Karpińska, and Zdunik, 2009)

The Hausdorff dimension of the set of points with bounded orbits in the Julia set of a meromorphic map with a logarithmic tract is greater than 1.

- Let  $f = \sum_{n=0}^{\infty} a_n z^n$  be a transcendental entire function.
- The main result of Wiman–Valiron theory gives how much of this power series is needed to obtain a good estimate on *f* near maximum modulus points.
- Used by Eremenko to show I(f) is non-empty.

- $M(r) = \max_{|z|=r} |f(z)|$  is the maximum modulus of f
- $\mu(r) = \max_{n \ge 0} |a_n| r^n$  is the maximum term
- $\nu(r) = \max_{n \ge 0} \{n : |a_n| r^n = \mu(r)\}$  is the central index
- A set  $E \in [1,\infty)$  has finite logarithmic measure if  $\int_E dt/t < \infty$ .

#### Theorem (Wiman, Valiron, Macintyre, and Hayman (1916-1974))

There exists a set E of finite logarithmic measure such that if  $|z_r| = r \notin E$ , if  $|f(z_r)| = M(r)$ , and if z is sufficiently close to  $z_r$ , then

$$f(z) \sim \left(\frac{z}{z_r}\right)^{\nu(r)} f(z_r)$$

as  $r \to \infty$ .

## Wiman-Valiron theory (Without power series)

- $B(r) = \log M(r)$ .
- B(r) is a convex function of  $\log r$ , so

$$a(r) = \frac{dB(r)}{d\log r}$$

exists except, perhaps, for a countable set of values of  $\boldsymbol{r}$  and is non-decreasing.

• Macintyre (1938) proved that

$$f(z) \sim \left(\frac{z}{z_r}\right)^{a(r)} f(z_r)$$

for 
$$z \in D(z_r, r/(B(r)^{1/2+\varepsilon})$$
 if  $\varepsilon > 0$ .

#### Wiman-Valiron theory in direct tracts

• Let D be a direct tract of f.

• The subharmonic function  $v(z) = \log |f(z)|/R$  if  $z \in D$  and 0 if  $z \notin D$ .

•  $B(r,v) = \max_{|z|=r} v(z)$ , so  $a(r,v) = \frac{dB(r,v)}{d\log r} = rB'(r,v)$ .

#### Theorem (Bergweiler, Rippon, Stallard 2008)

Let D be a direct tract of f and let  $\tau > \frac{1}{2}$ . Let v be the associated subharmonic function and let  $z_r \in D$  be a point satisfying  $|z_r| = r$  and  $v(z_r) = B(r, v)$ . Then there exists a set  $E \subset [1, \infty)$  of finite logarithmic measure such that if  $r \in [1, \infty) \setminus E$ , then  $D(z_r, r/a(r, v)^{\tau}) \subset D$ . Moreover,

$$f(z) \sim \left(\frac{z}{z_r}\right)^{a(r,v)} f(z_r), \quad \text{for } z \in D(z_r, r/a(r,v)^{\tau}),$$

as  $r \to \infty$ ,  $r \notin E$ .

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#### How large can the disc around $z_r$ be chosen?

## Wiman–Valiron discs

How large can the disc around  $z_r$  be chosen?

Theorem (Bergweiler, 2011)

• Let 
$$\psi : [t_0, \infty) \to (0, \infty)$$
 satisfy  $1 \le \frac{t\psi'(t)}{\psi(t)} < 2$ .  
• If 
$$\int_{t_0}^{\infty} \frac{dt}{\psi(t)} < \infty$$

and  $r \notin E$  is sufficiently large, then  $D(z_r, r/\sqrt{\psi(a(r, v))}) \subset D$ . • However, if

$$\int_{t_0}^{\infty} \frac{dt}{\psi(t)} = \infty$$

then there exists an entire function such that for r sufficiently large and |z|=r,  $D(z,r/\sqrt{\psi(a(r,v))})$  contains a zero of f.

How large can the disc around  $z_r$  be chosen?

#### Theorem

Let f be a meromorphic function with a direct tract D with a simply connected direct tract, then for  $1/2 > \tau > 0$  and for  $r \in [1, \infty) \setminus E$ , where E has finite logarithmic measure, there exists  $D(z_r, r/a(r, v)^{\tau}) \in D$ .

## Wiman–Valiron discs

What is the estimate on these discs?

#### Theorem

There exists a set  $E \in [1, \infty)$  such that if, for  $\tau > 0$ , there exists a disc  $D(z_r, r/a(r, v)^{\tau}) \subset D$  for  $r \notin E$  sufficiently large, then there exists an analytic function g in  $D(z_r, r/a(r, v)^{\tau})$  such that

$$\log f(z) = \log f(z_r) + a(r, v) \log \frac{z}{z_r} + g(z), \quad \text{for } z \in D(z_r, r/a(r, v)^{\tau}),$$

where

$$g(z) = \begin{cases} O(a(r,v)^{\xi(\tau)}) & \text{for } z \in D(z_r, r/a(r,v)^{\tau}) \text{ and } \tau < 1/2, \\ o(1) & \text{for } z \in D(z_r, r/a(r,v)^{\tau}) \text{ and } \tau > 1/2, \end{cases}$$

and  $\xi(\tau) = \sqrt{1-2\tau}$  as  $r \to \infty$ ,  $r \notin E$ .

## Hausdorff dimension of Julia sets of meromorphic maps with simply connected direct tracts

#### Theorem

Let f be a transcendental meromorphic function with a simply connected direct tract D. Suppose that there exists  $\lambda > 1$  such that for arbitrarily large r there exists an annulus  $A(r/\lambda, \lambda r)$  containing no singular values of the restriction of f to D. Then dim  $J(f) \cap K(f) > 1$ .

#### An example



#### Another example



 $\cos(z)\exp(z)$ 

## Thank you for your attention!

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Wiman-Valiron discs

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