

Small uncountable cardinals in Large-Scale Topology

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Home

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According to the Erlangen Program (1872) of Felix Klein various geometries study invariants of the corresponding transformation groups.

For example,

- **topology** studies properties, preserved by homeomorphisms;
- **uniform topology** studied properties preserved by uniform homeomorphisms (= microform bijections);
- **large-scale topology** is interested in properties preserved by coarse isomorphisms (= macroform bijections).

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Microform and macroform functions

Let \mathbb{R}_+ denote the open half-line $(0, +\infty)$.

A function $f : X \rightarrow Y$ between metric spaces (X, d_X) and (Y, d_Y) is called

- **microform** (= uniformly continuous) if

$$\forall \varepsilon \in \mathbb{R}_+ \exists \delta \in \mathbb{R}_+ \forall x, x' \in X (d_X(x, x') < \delta \Rightarrow d_Y(f(x), f(x')) < \varepsilon)$$

- **macroform** (= coarse) if

$$\forall \delta \in \mathbb{R}_+ \exists \varepsilon \in \mathbb{R}_+ \forall x, x' \in X (d_X(x, x') < \delta \Rightarrow d_Y(f(x), f(x')) < \varepsilon)$$

Example: Any Lipschitz map is both microform and macroform.

Remark: The half-line \mathbb{R}_+ has two ends.

Microform and macroform maps are interested each by its own end.

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Micro-bijections and macro-bijections

A bijective function $f : X \rightarrow Y$ between metric spaces (X, d_X) and (Y, d_Y) is called

- a **homeomorphism** if both maps f and f^{-1} are continuous;
- a **micro-bijection** if both maps f and f^{-1} are microform;
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Example: Any bi-Lipschitz bijection is both micro-bijection and macro-bijection.

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Structures preserved by corresponding isomorphisms

Which structure of a metric space is preserved by homeomorphisms?

The answer is known: **the topology**.

Which structure of a metric space is preserved by micro-bijections (=uniform homeomorphisms)?

The answer is also known: **the uniform structure**.

Which structure of a metric space is preserved by macro-bijections?

The answer: **the coarse structure**.

In fact, uniform and coarse structures are the two “ends” of a common structure called the duoform structure.

All these structures are introduced with the help of entourages.

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Definition

An **entourage** on a set X is any subset $E \subseteq X \times X$ containing the diagonal $\Delta_X = \{\langle x, x \rangle : x \in X\}$ of the square $X \times X$.

Entourages are subject to some algebra.

Namely, for two entourages E, F on a set X we can consider the **inverse entourage**

$$E^{-1} = \{\langle y, x \rangle : \langle x, y \rangle \in E\}$$

and the **composition**

$$E \circ F = \{\langle x, z \rangle : \exists y (\langle x, y \rangle \in E \wedge \langle y, z \rangle \in F)\}.$$

For an entourage U let

$$\uparrow U = \{W : U \subseteq W \subseteq X \times X\} \quad \text{and} \quad \downarrow U = \{W : \Delta_X \subseteq W \subseteq U\}$$

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Entourages and geometric intuition

For an entourage $U \subseteq X \times X$ and point $x \in X$ the set

$$U(x) = \{y : \langle x, y \rangle \in U\}$$

is called the **ball of radius U around x** .

For a subset $A \subseteq X$ the set

$$U[A] = \bigcup_{a \in A} U(a)$$

is called the **U -neighborhood** of A .

In fact, the entourage U can be recovered from its balls since

$$U = \bigcup_{x \in X} (\{x\} \times U(x)).$$

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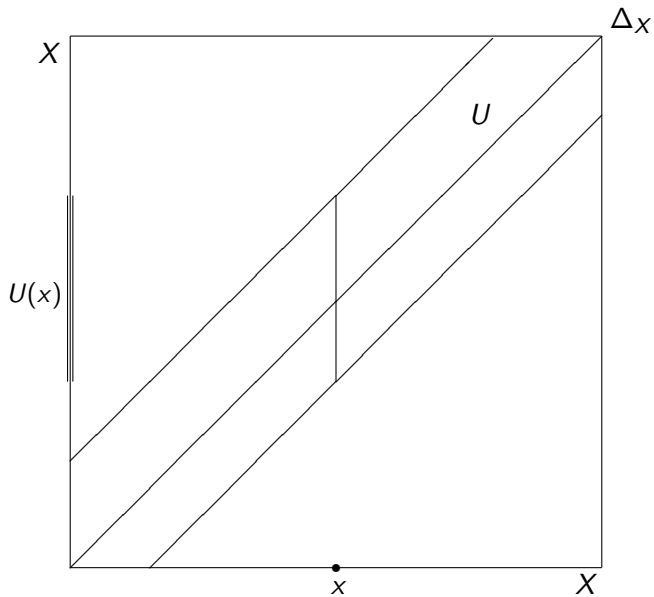
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A subfamily $\mathcal{B} \subseteq \mathcal{U}$ is called a **base** of the uniform structure \mathcal{U} if for every $U \in \mathcal{U}$ there exists $B \in \mathcal{B}$ such that $B \subseteq U$.

Each base of a uniform structure has the property (B) and each family of entourages \mathcal{B} that has property (B) is a base of a unique uniform structure, namely, $\uparrow \mathcal{B} = \bigcup_{B \in \mathcal{B}} \uparrow B$.

The **weight** $w(\mathcal{U})$ of a uniform structure \mathcal{U} is the smallest cardinality $|\mathcal{B}|$ of a base $\mathcal{B} \subseteq \mathcal{U}$.

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Each base of a coarse structure has the property (B) and each family of entourages \mathcal{B} that has property (B) is a base of a unique coarse structure, namely, $\downarrow \mathcal{B} = \bigcup_{B \in \mathcal{B}} \downarrow B$.

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Basic example

Every metric space (X, d) has the canonical uniform structure $\uparrow\mathcal{B}$ and the canonical coarse structure $\downarrow\mathcal{B}$, both generated by the base

$$\mathcal{B} = \{ \{(x, y) \in X \times X : d(x, y) < \varepsilon\} : \varepsilon \in \mathbb{R}_+ \}.$$

A uniform (coarse) structure on a set X is called **metrizable** if it coincides with the canonical uniform (coarse) structure of some metric d on X .

Theorem

- (U) *A uniform structure is metrizable iff it has countable weight.*
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A *duoform structure* of a set X is a family of \mathcal{E} of entourages in X satisfying the following conditions:

B_u) $\bigcap \mathcal{E} = \Delta_X$ and $\forall U, V \in \mathcal{E} \exists W \in \mathcal{E} (W \circ W \subseteq U \cap V^{-1})$;

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**From now on we shall be interested
only in coarse spaces**

For a coarse structure \mathcal{E} on a set X and a subset $A \subseteq X$ the family

$$\mathcal{E}|A = \{E \cap (A \times A) : E \in \mathcal{E}\}$$

is a coarse structure on A .

The pair $(A, \mathcal{E}|A)$ is called a **subspace** of the coarse space (X, \mathcal{E}) .

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The bornology of a coarse space

Let \mathcal{E} be a coarse structure on a set X .

Definition

A subset $B \subseteq X$ is \mathcal{E} -bounded if B is contained in some ball, i.e.,

$$B \text{ is } \mathcal{E}\text{-bounded} \Leftrightarrow \exists E \in \mathcal{E} \exists x \in X (B \subseteq E(x)).$$

The family of all \mathcal{E} -bounded sets is called the **bornology** of the coarse space (X, \mathcal{E}) .

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A coarse structure \mathcal{E} on a set X is called

- **locally finite** if for every $E \in \mathcal{E}$ and point $x \in X$ the E -ball $E(x)$ is finite;
- **finitary** if for every $E \in \mathcal{E}$ the cardinal $\sup_{x \in X} |E(x)|$ is finite.

finitary \Rightarrow locally finite.

What about the converse?

Example

- The metric space of integers \mathbb{Z} is finitary.
- The space $\{\sqrt{n} : n \in \mathbb{N}\} \subset \mathbb{R}$ is locally finite but not finitary.

Remark: A coarse structure is locally finite if and only if its bornology consists of finite sets.

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Canonical example of a finitary space

Let X be a set and S_X be the permutation group of X .

Every subgroup $G \subseteq S_X$ induces the finitary coarse structure \mathcal{E}_G on X , generated by the base consisting of the entourages

$$E = \Delta_X \cup (B \times B) \cup \{(x, y) : x \in X, y \in Fx\}$$

where B is a finite subset of X and F is a finite subsets of G .
So, the E -ball of a point $x \in X \setminus B$ is the set $\{x\} \cup Fx$.

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For the group of integers \mathbb{Z} , the coarse structure $\mathcal{E}_{\mathbb{Z}}$ on \mathbb{Z} coincides with the coarse structure generated by the Euclidean metric.

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So, the E -ball of a point $x \in X \setminus B$ is the set $\{x\} \cup Fx$.

Example

For the group of integers \mathbb{Z} , the coarse structure $\mathcal{E}_{\mathbb{Z}}$ on \mathbb{Z} coincides with the coarse structure generated by the Euclidean metric.

Theorem (Protasov)

Every finitary coarse structure \mathcal{E} on a set X coincides with the finitary coarse structure \mathcal{E}_G generated by some subgroup $G \subseteq S_X$.

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The smallest finitary coarse structure $\mathcal{E}_{\{\text{id}\}}$ on a set X is generated by the trivial subgroup $\{\text{id}\} \subset S_X$. This coarse structure $\mathcal{E}_{\{\text{id}\}}$ is generated by the base consisting of the entourages $(B \times B) \cup \Delta_X$ where B is a finite subset of X .

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A coarse structure \mathcal{E} on a set X is called *discrete* if for every entourage $E \in \mathcal{E}$ there exists an \mathcal{E} -bounded subset $B \subseteq X$ such that for every $x \in X \setminus B$ the E -ball $E(x)$ coincides with the singleton $\{x\}$.

Example: The subspace $\{n^2 : n \in \omega\}$ of \mathbb{Z} is discrete.

Proposition

For a locally finite coarse structure \mathcal{E} on a set X the following conditions are equivalent:

- 1 \mathcal{E} is discrete;
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Now let us look at the largest finitary coarse structure \mathcal{E}_{S_X} on X .
Is it discrete? **No!** And in a very strong sense:

The coarse space (X, \mathcal{E}_{S_X}) contains no infinite discrete subspaces
So, \mathcal{E}_{S_X} resembles $\beta\mathbb{N}$ which contains no convergent sequences.

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A coarse structure \mathcal{E} on a set X is called **indiscrete** if for any infinite subset $A \subseteq X$ the coarse structure $\mathcal{E} \upharpoonright A$ is not discrete.

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The first set-theoretic question

What is the smallest possible weight of an indiscrete finitary coarse structure on ω ?

As we shall see, this is a cardinal in the interval $[\omega_1, \mathfrak{c}]$, so is a typical cardinal characteristic of the continuum.

Let us denote this cardinal by Δ . More precisely:

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Let Δ denote the smallest weight $w(\mathcal{E})$ of an indiscrete finitary coarse structure on ω .

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What is the value of Δ ? Is Δ equal to some known cardinal characteristic of the continuum?

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Let \mathcal{E} be a coarse structure on a set X . Two sets $A, B \subseteq X$ are called **asymptotically separated** if for any $E \in \mathcal{E}$ the set $E[A] \cap E[B]$ is \mathcal{E} -bounded.

Remark: If a coarse structure \mathcal{E} on a set X is discrete, then any disjoint subsets $A, B \subseteq X$ are asymptotically separated.

Observation: For the largest finitary coarse structure \mathcal{E}_{S_X} on a set X , no infinite sets $A, B \subset X$ are \mathcal{E}_{S_X} -separated.

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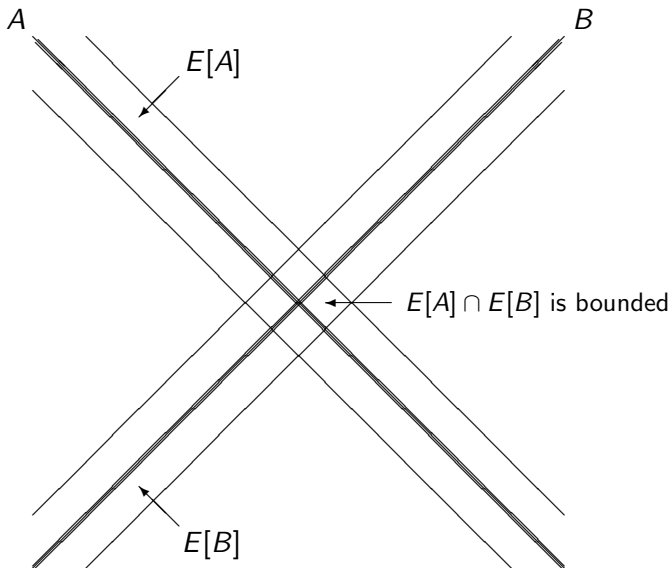
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An example of asymptotically separated sets



The second set-theoretic question

Since the largest finitary coarse structure \mathcal{E}_{S_X} is inseparated, we can ask about the smallest weight of an inseparated finitary coarse structure on ω .

Definition

Let Σ denote the smallest weight of an inseparated finitary coarse structure on ω .

Problem

What is the value of Σ ? Is Σ equal to some known cardinal characteristic of the continuum?

Since any disjoint sets in a discrete locally finite coarse space are asymptotically separated, each inseparated locally finite coarse space is indiscrete. This implies the inequality

$$\Delta \leq \Sigma.$$

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In fact the largest finitary coarse structure \mathcal{E}_{S_X} has another exotic property: it is large in the following sense.

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A coarse structure \mathcal{E} on a set X is *large* if each \mathcal{E} -unbounded set $A \subseteq X$ is *\mathcal{E} -large* in the sense that $E[A] = X$ for some $E \in \mathcal{E}$.

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The main theorem on critical cardinalities

Theorem

The smallest weight of

- 1 a **large** finitary coarse structure on ω is equal to \mathfrak{c} ;
- 2 an **inseparated** finitary coarse structure on ω is equal to Σ ;
- 3 an **indiscrete** finitary coarse structure on ω is equal to Δ .
- 4 a **large** locally finite coarse structure on ω is equal to \mathfrak{d} ;
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All cardinals in this theorem except for Δ and Σ are well-known.

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- 1 The cardinal Δ is equal to the smallest cardinality of a subgroup $G \subseteq S_\omega$ such that for any infinite set $A \subseteq \omega$ there exists $g \in G$ such that the set $\{a \in A : a \neq g(a) \in A\}$ is infinite.
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Equivalent definitions of Δ and Σ

A permutation $f \in S_X$ is called an *involution* if $f \circ f = \text{id}$. By I_ω we denote the subset of the permutation group S_ω , consisting of all involutions of ω .

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Equivalent definitions of Δ, Σ via 2-to-1-maps

A function $\varphi : \omega \rightarrow \omega$ is called **2-to-1** if $\forall y \in Y (|\varphi^{-1}(y)| \leq 2)$.

A function $f : X \rightarrow Y$ is called *almost injective* if for some finite set $F \subseteq X$ the restriction $f \upharpoonright X \setminus F$ is injective.

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Now we will discuss the location of the cardinals Δ and Σ among other known cardinal characteristics of the continuum.

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- 1 The cardinal Δ is equal to the smallest cardinality of a subset $F \subseteq \omega^\omega$ such that for any infinite set $A \subseteq \omega$ there exists a 2-to-1-function $f \in F$ such that $f \upharpoonright A$ is not almost injective.
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Now we will discuss the location of the cardinals Δ and Σ among other known cardinal characteristics of the continuum.

Equivalent definitions of Δ, Σ via 2-to-1-maps

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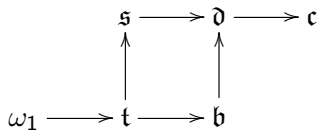
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The order relations between $\omega_1, \mathfrak{t}, \mathfrak{b}, \mathfrak{d}, \mathfrak{s}, \mathfrak{c}$

The order relations between these cardinals are described by the following diagram in which for two cardinals κ, λ the arrow $\kappa \rightarrow \lambda$ indicates that $\kappa \leq \lambda$ in ZFC.



Each family of sets \mathcal{I} with $\bigcup \mathcal{I} \notin \mathcal{I}$ has four basic cardinal characteristics:

$$\text{add}(\mathcal{I}) = \min\{|\mathcal{A}| : \mathcal{A} \subseteq \mathcal{I} \wedge \bigcup \mathcal{A} \notin \mathcal{I}\};$$

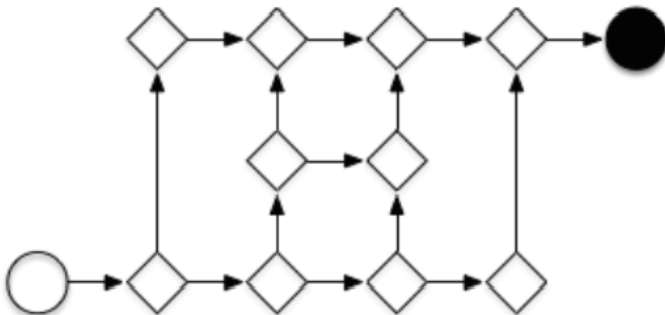
$$\text{cov}(\mathcal{I}) = \min\{|\mathcal{A}| : \mathcal{A} \subseteq \mathcal{I} \wedge \bigcup \mathcal{A} = \bigcup \mathcal{I}\};$$

$$\text{non}(\mathcal{I}) = \min\{|\mathcal{A}| : \mathcal{A} \subseteq \bigcup \mathcal{I} \wedge \mathcal{A} \notin \mathcal{I}\};$$

$$\text{cof}(\mathcal{I}) = \min\{|\mathcal{J}| : \mathcal{J} \subseteq \mathcal{I} \wedge \forall I \in \mathcal{I} \exists J \in \mathcal{J} (I \subseteq J)\}.$$

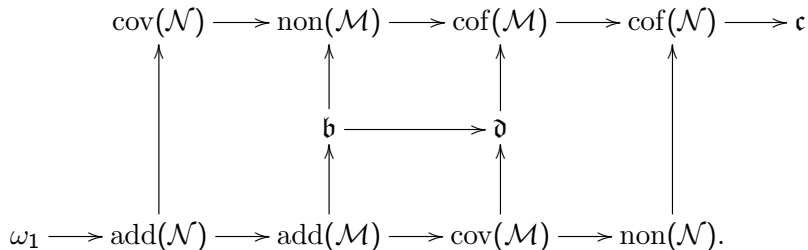
These cardinal characteristics are usually considered for the σ -ideals \mathcal{M} and \mathcal{N} of meager sets and Lebesgue null sets on the real line, respectively.

Cichoń Diagram



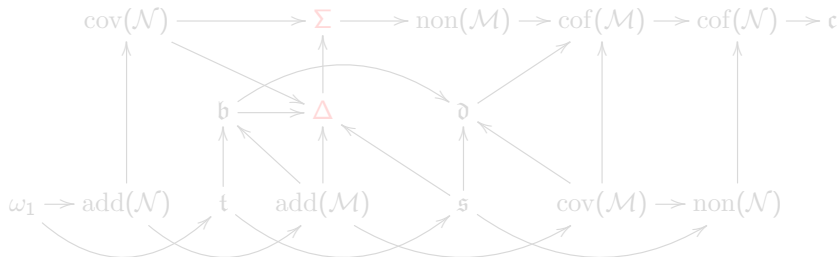
Cichoń Diagram

The cardinal characteristics of the σ -ideals \mathcal{M} and \mathcal{N} are described by the famous Cichoń diagram:



The location of Δ, Σ

Main Theorem: $\max\{\mathfrak{b}, \mathfrak{s}, \text{cov}(\mathcal{N})\} \leq \Delta \leq \Sigma \leq \text{non}(\mathcal{M})$

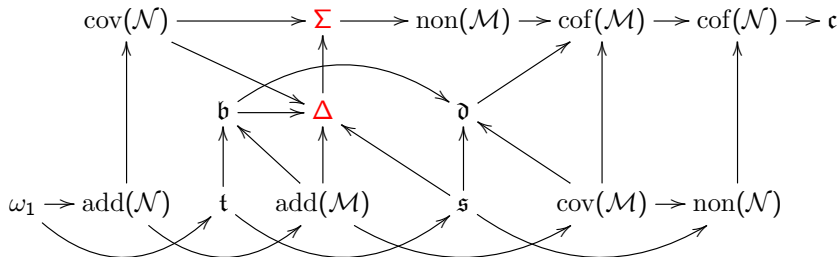


Problem

Which of the strict inequalities are consistent with ZFC
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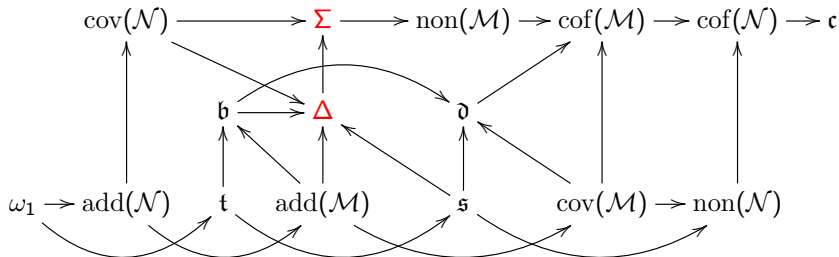


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Def: An entourage E on a set X is called **cellular** if $E^{-1} = E = E \circ E$, which means that E is an equivalence relation. So, $\{E(x)\}_{x \in X}$ is a partition of a set X into pairwise disjoint E -balls.

Def:

A coarse structure is called **cellular** if it has a base consisting of cellular entourages.

Fact 1: A metrizable coarse structure is cellular if and only if it is generated by an ultrametric.

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Some critical weights of cellular coarse structures

$$\Delta_{\omega}^{\circ} = \min (\{\mathfrak{c}^{+}\} \cup \{w(\mathcal{E}) : \mathcal{E} \text{ is an indiscrete cellular finitary coarse structure on } \omega\});$$

$$\Sigma_{\omega}^{\circ} = \min (\{\mathfrak{c}^{+}\} \cup \{w(\mathcal{E}) : \mathcal{E} \text{ is an inseparated cellular finitary coarse structure on } \omega\});$$

$$\Lambda_{\omega}^{\circ} = \min (\{\mathfrak{c}^{+}\} \cup \{w(\mathcal{E}) : \mathcal{E} \text{ is a large cellular finitary coarse structure on } \omega\});$$

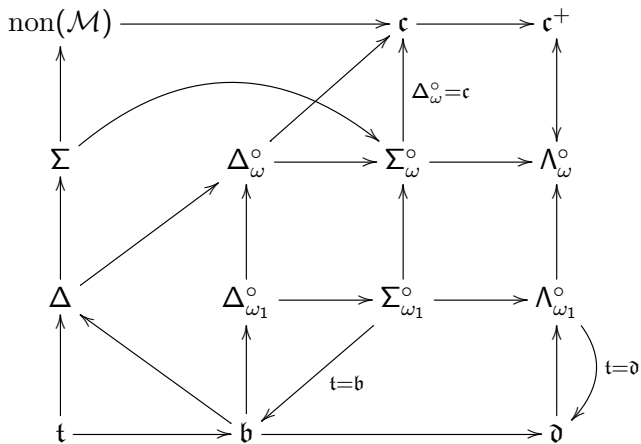
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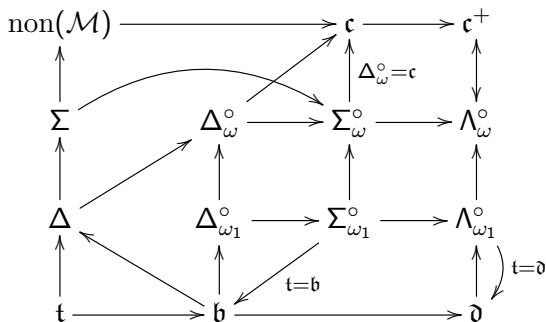
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The cardinal \mathfrak{c}^{+} appears in the definitions of those cardinals in order to make these cardinals well-defined (this indeed is necessary for the cardinal Λ_{ω}° , which is equal to \mathfrak{c}^{+} in ZFC).

Available info on these cardinals

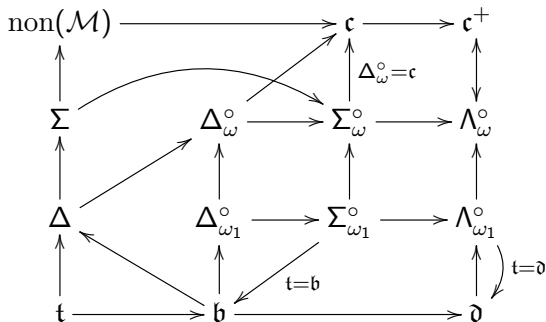




Theorem

- 1 $\Lambda_{\omega}^{\circ} = c^{+}$.
- 2 $\Delta_{\omega}^{\circ} \leq c$.
- 3 $\Delta_{\omega}^{\circ} = c$ implies $\Sigma_{\omega_1}^{\circ} \leq \Sigma_{\omega}^{\circ} = c$.
- 4 $t = b$ implies $\Delta_{\omega_1}^{\circ} = \Sigma_{\omega_1}^{\circ} = b$.
- 5 $t = d$ implies $\Lambda_{\omega_1}^{\circ} = d$.

Some Open Problems

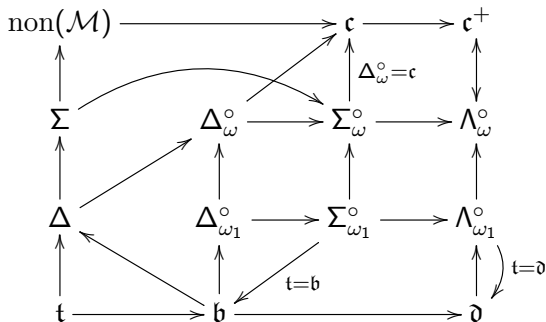


Problem: Is $\Sigma_\omega^o \leq \mathfrak{c}$ in ZFC? This is equivalent to asking if there exists an inseparable cellular finitary coarse structure on ω in ZFC?

Positive answer to this problem follows from negative answer to

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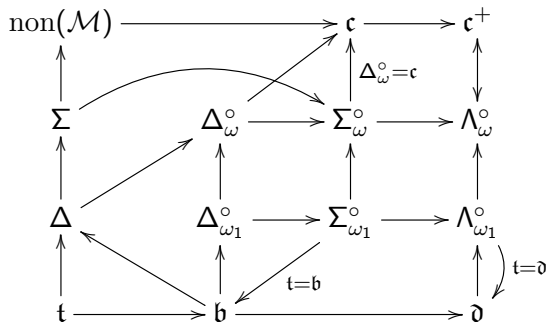


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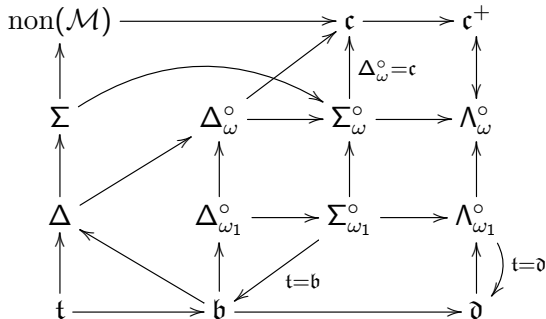


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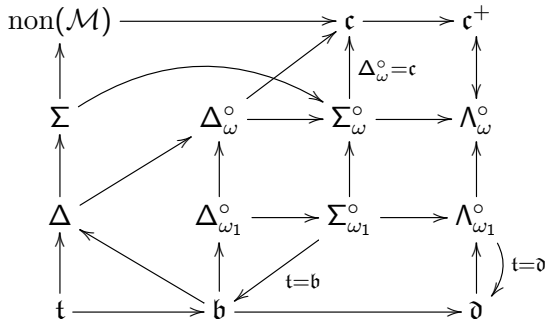
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Problem: Does there exist a large cellular locally finite coarse structure on ω in ZFC? If yes, is \mathfrak{d} equal to the smallest weight of a large cellular locally finite coarse structure on ω ?

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Cardinal Characteristics

of the poset $\mathbb{E}_\omega^\bullet$

(of nontrivial cellular finitary entourages on ω)

Upper and lower sets in a poset

Let P be a *poset*, i.e., a set P endowed with a partial order \leq .
For an element $x \in P$ let

$$\uparrow x = \{y \in P : x \leq y\} \quad \text{and} \quad \downarrow x = \{y \in P : y \leq x\}$$

be the *upper* and *lower sets* of x in the poset.

For a subset $A \subseteq P$ let

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The cofinality and coinitality of a poset

The cardinal

$\downarrow(P) = \min\{|A| : A \subseteq P \wedge \downarrow A = P\}$ is called the *cofinality*, and
 $\uparrow(P) = \min\{|A| : A \subseteq P \wedge \uparrow A = P\}$ is called the *coinitiality*
of the poset P .

Example 1: $\downarrow(\omega^\omega, \leq) = \mathfrak{d}$ and $\uparrow(\omega^\omega, \leq) = 1$.

Example 2: $\downarrow([\omega]^\omega, \subseteq) = 1$ and $\uparrow([\omega]^\omega, \subseteq) = \mathfrak{c}$.

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The cofinality and coinitality of a poset

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Iterated upper and lower sets

The transitivity of the partial order \leq implies that for any subset $A \subseteq P$

$$\uparrow\uparrow A = \uparrow A \quad \text{and} \quad \downarrow\downarrow A = \downarrow A.$$

On the other hand, we can consider the lower-upper and upper-lower sets of A : $\uparrow\downarrow A$ and $\downarrow\uparrow A$ and also the corresponding cardinal characteristics of the poset P :

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The cardinal characteristics $\uparrow\uparrow(P)$ and $\downarrow\downarrow(P)$

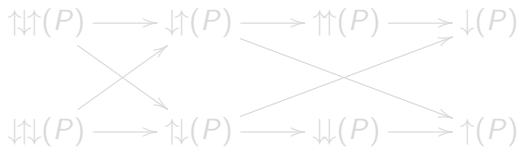
Let us also consider the cardinal characteristics

$$\uparrow\uparrow(P) = \sup\{|A| : A \subseteq P \wedge \forall x, y \in A (x \neq y \Rightarrow \uparrow x \cap \uparrow y = \emptyset)\},$$

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which are counterparts of the cellularity in topological spaces.

The order relation between these cardinal characteristics are described in the following diagram (in which an arrow $\kappa \rightarrow \lambda$ between cardinals indicates that $\kappa \leq \lambda$):



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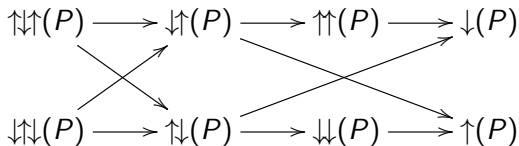
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We shall be interested in these cardinal characteristics for the poset $\mathbb{E}_\omega^\bullet$ of nondiscrete cellular finitary entourages on ω .

An entourage E on a set X is called

- *nondiscrete* if $\{x \in X : E(x) \neq \{x\}\}$ is infinite;
- *cellular* if $E^{-1} = E = E \circ E$;
- *finitary* if $\sup_{x \in X} |E(x) \cup E^{-1}(x)| < \omega$.

Theorem

- 1 $\downarrow\downarrow(\mathbb{E}_\omega^\bullet) = \uparrow\uparrow(\mathbb{E}_\omega^\bullet) = 1.$
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- 3 $\text{cov}(\mathcal{M}) \leq \downarrow\uparrow(\mathbb{E}_\omega^\bullet) \leq \mathfrak{c}.$
- 4 $\Sigma \leq \uparrow\downarrow(\mathbb{E}_\omega^\bullet) \leq \text{non}(\mathcal{M}).$

Problem: Which of the following strict inequalities is consistent?

- 1 $\Sigma < \uparrow\downarrow(\mathbb{E}_\omega^\bullet);$
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Remark: Since the strict inequality $\text{cov}(\mathcal{M}) < \mathfrak{c}$ is consistent, either (3) or (4) in the above Problem is consistent.

But which one? Or both?

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Special Ultrafilters in Large-Scale Topology

Definition

A free ultrafilter \mathcal{U} on ω is called *thin* if for any metrizable finitary coarse structure \mathcal{E} on ω there exists a set $U \in \mathcal{U}$ such that the coarse structure $\mathcal{E}|_U$ on U is discrete.

Problem (Protasov)

Is it true that thin ultrafilters exist in ZFC?

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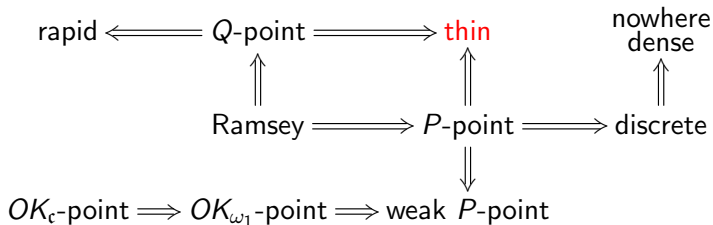
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Some known types of ultrafilters

A free ultrafilter \mathcal{U} on ω is called

- a *P-point* if for any sequence of sets $\{U_n\}_{n \in \omega} \subseteq \mathcal{U}$ there exist a set $U \in \mathcal{U}$ such that $U \subseteq^* U_n$ for every $n \in \omega$;
- a *Q-point* if for any locally finite cellular entourage E on ω there exists a set $U \in \mathcal{U}$ such that $|U \cap E(x)| \leq 1$ for any $x \in \omega$;
- *Ramsey* if for any map $f : \omega \rightarrow \omega$ there exists $U \in \mathcal{U}$ such that $f \upharpoonright U$ is either constant or injective;
- a *weak P-point*, if for any sequence $(U_n)_{n \in \omega}$ of free ultrafilters that are not equal to \mathcal{U} there exists a set $U \in \mathcal{U} \setminus \bigcup_{n \in \omega} U_n$;
- an *OK_κ -point* for a cardinal κ if for any sequence of sets $\{U_n\}_{n \in \omega} \subseteq \mathcal{U}$ there exists a family $(V_\alpha)_{\alpha \in \kappa} \subseteq \mathcal{U}$ such that for any ordinals $\alpha_1 < \dots < \alpha_n$ in κ we have $\bigcap_{i=1}^n V_{\alpha_i} \subseteq^* U_n$;
- *rapid* if for any function $f \in \omega^\omega$ there exists a function $g \in \omega^\omega$ such that $f \leq g$ and $\{g(n) : n \in \omega\} \in \mathcal{U}$;
- *discrete* if for any injective function $\varphi : \omega \rightarrow \mathbb{R}$ there exists a set $U \in \mathcal{U}$ whose image $f(U)$ is a discrete subspace of \mathbb{R} ;
- *nowhere dense* if for any injective function $\varphi : \omega \rightarrow \mathbb{R}$ there exists a set $U \in \mathcal{U}$ whose image $f(U)$ is nowhere dense in \mathbb{R} .

Thin ultrafilter vs other types of ultrafilters



By a famous result of Kunen, OK_c -points exist in ZFC.

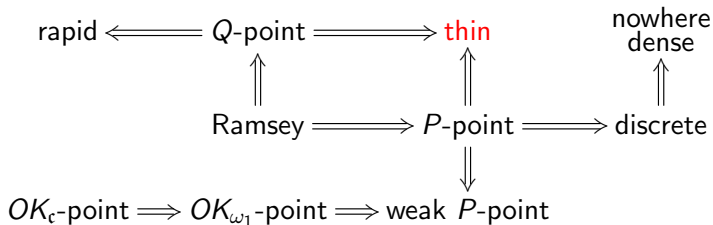
Shelah constructed a model without nowhere dense ultrafilters.

Miller constructed a model containing no rapid ultrafilters.

It is unknown if there is a model without P -points and Q -points.

So the problem of constructing a model containing no thin ultrafilters is more difficult than the (already difficult) problem of constructing a model without P -points and Q -points.

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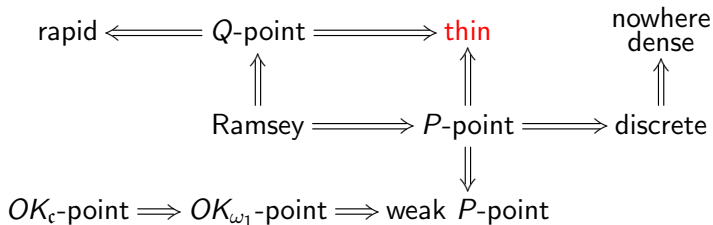
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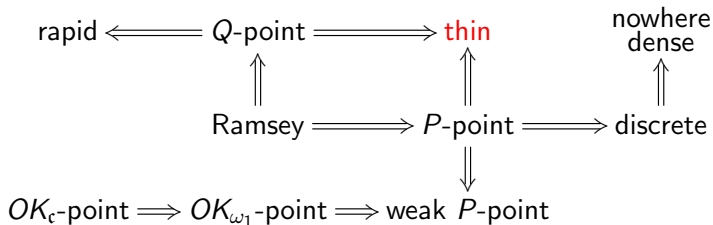
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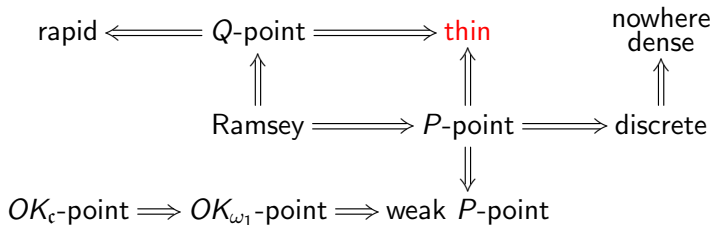
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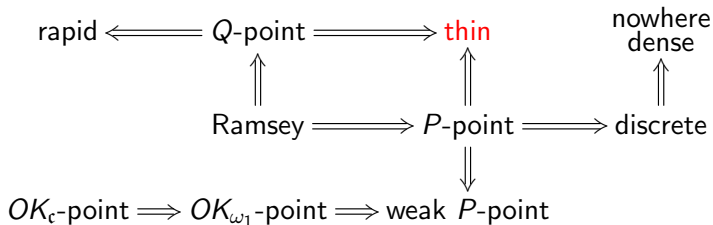
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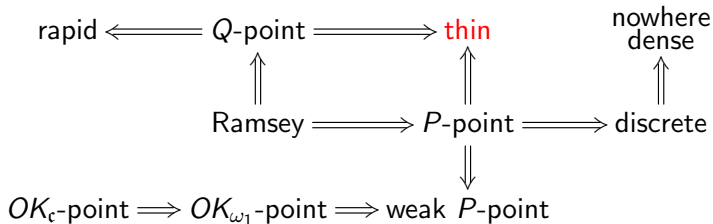
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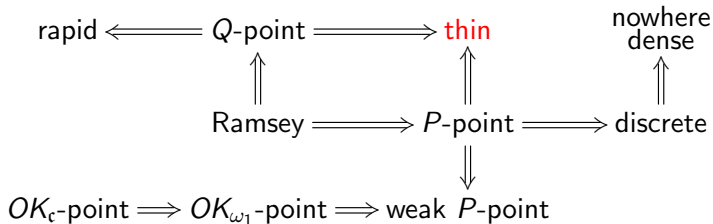
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Problem



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Thank You!