On uniformly continuous surjections between  $C_p$ -spaces over metrizable spaces

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New results in my talk are published in joint papers [1], [2], [3], [4].

[1] Ali Emre Eysen, Arkady Leiderman and Vesko Valov, On uniformly continuous surjections between  $C_p$ -spaces over metrizable spaces, 2024 (submitted for publication). https://arxiv.org/pdf/2408.01870 [2] Jerzy Kakol, Ondřej Kurka and Arkady Leiderman, Some classes of topological spaces extending the class of ∆-spaces, Proc. Amer. Math. Soc. 152 (2024), 883–899. **[3]** Jerzy Kakol and Arkady Leiderman, Basic properties of X for which the space  $C_p(X)$  is distinguished, Proc. Amer. Math. Soc., series B, 8 (2021), 267–280. [4] Jerzy Kakol and Arkady Leiderman, On linear continuous operators between distinguished spaces  $C_p(X)$ , Rev. R. Acad. Cienc. Exactas Fís. Nat. Ser. A Mat. RACSAM (2021) 115:199.

For a Tychonoff space X, by  $C(X)$  we denote the linear space of all continuous real-valued functions on  $X.$   $C^*(X)$  is a subspace of  $C(X)$  consisting of the bounded functions. We write  $\mathcal{C}_\rho(X)$  (resp.,  $\mathcal{C}^*_\rho(X))$  if  $\mathcal{C}(X)$  (resp.,  $\mathcal{C}^*(X))$  is endowed with the pointwise convergence topology. By  $D(X)$  we denote either  $C^*(X)$  or  $C(X)$ .

Following A. Arkhangel'skii, we say that a space Y is  $\ell$ -dominated (*u*-dominated) by a space X if there exists a linear (uniform, respectively) continuous operator onto  $T: C_p(X) \to C_p(Y)$ .

There are many topological properties which are invariant under defined above relations, and there are many which are not.

By dimension we mean the *covering dimension* dim.

 $\bullet$  Let X and Y be metrizable compact spaces. If free topological groups  $F(X)$  and  $F(Y)$  are isomorphic then dim  $X = \dim Y$  (M.I. Graev (1940-s)).

Let  $C_p(X)$  and  $C_p(Y)$  be linearly homeomorphic.

- Assuming that X and Y are metrizable compact spaces, D. Pavlovskii (1980) proved that dim  $X =$  dim Y.
- Assuming that  $X$  and  $Y$  are compact spaces, A. Arkhangel'skii (1980) proved that dim  $X = \dim Y$ .
- For any Tychonoff spaces X and Y, V. Pestov (1982) proved that dim  $X = \dim Y$
- Let X and Y be Tychonoff spaces. If  $C_p(X)$  and  $C_p(Y)$  are uniformly homeomorphic, then dim  $X = \dim Y$  (S. Gul'ko (1987, in English 1992)).

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A. Arhangel'skii in 1990 posed a problem for metrizable compacta X and Y, whether dim  $Y \leq$  dim X if there is continuous (and open) linear surjection from  $C_p(X)$  onto  $C_p(Y)$ , i.e. if Y is  $\ell$ -dominated by X.

These questions were answered negatively.

- $\bullet$  For every finite-dimensional metrizable compact space Y there exists a continuous linear surjection  $T : C_p([0, 1]) \to C_p(Y)$ (A.L., S. Morris, V. Pestov (1992, published in 1997)).
- For every natural  $n > 1$  there exist *n*-dimensional metrizable compact space Y and one-dimensional metrizable compact space X such that  $C_p(X)$  admits a continuous open linear surjection onto  $C_p(Y)$  (A.L., M. Levin, V. Pestov (1997)).
- Later, M. Levin (2011) showed that for every finite-dimensional metrizable compact space  $Y$  there exists a continuous open linear surjection  $T: C_p([0, 1]) \to C_p(Y)$ .

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- It is easy to show that if there exists a continuous linear surjection  $T: C_p([0,1]) \to C_p(Y)$ , then Y must be a strongly countable-dimensional metrizable compact space.
- If there exists a continuous linear surjection  $T: C_p([0,1]) \to C_p(Y)$ , then Y does not have to be finite-dimensional (P. Gartside, Z. Feng (2017)).
- The problem of a characterization of those strongly countable-dimensional metrizable compact spaces Y which admit a continuous linear surjection  $T: C_p([0, 1]) \to C_p(Y)$ , is still open.

So, the dimension can be increased by  $\ell$ -dominance. However, it turned out that zero-dimensional case is an exception.

- **•** If there is a linear continuous surjection  $T : C_p(X) \to C_p(Y)$ for compact metrizable spaces, then dim  $X = 0$  implies that dim  $Y = 0$  (A.L., M. Levin, V. Pestov (1997)).
- **•** If there is a linear continuous surjection  $T : C_p(X) \to C_p(Y)$ for compact spaces, then dim  $X = 0$  implies that dim  $Y = 0$ (A.L., K. Kawamura (2017)).

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The natural question arose whether the same statement is true without assumption of compactness of  $X$  and  $Y$ . Very recently, this difficult question was answered positively.

• Let X and Y be Tychonoff spaces. If there is a linear continuous surjection  $T: C_p(X) \to C_p(Y)$ , then dim  $X = 0$ implies that dim  $Y = 0$  (A. Eysen, V. Valov (2024)).

The following question was posed by R. Górak, M. Krupski and W. Marciszewski).

#### Open Problem

Let  $X$  be a compact metrizable strongly countable-dimensional [zero-dimensional] space. Suppose that Y is u-dominated by X. Is Y necessarily strongly countable-dimensional [zero-dimensional]?

- A map  $T: D_{p}(X) \to D_{p}(Y)$  is called uniformly continuous if for every neighborhood U of the zero function in  $D_p(Y)$  there is a neighborhood V of the zero function in  $D_p(X)$  such that  $f, g \in D_p(X)$  and  $f - g \in V$  implies  $T(f) - T(g) \in U$ .
- For every bounded function  $f \in C(X)$  by  $||f||$  we denote its supremum-norm. A map  $T: D(X) \to D(Y)$  is called c-good if for every bounded function  $g \in C(Y)$  there exists a bounded function  $f \in C(X)$  such that  $T(f) = g$  and  $||f|| \le c||g||$ .

## Theorem 1.1

(R. Górak, M. Krupski and W. Marciszewski (2019)) Let X be a compact metrizable space. Suppose that there is a uniformly continuous surjection  $T: C_p(X) \to C_p(Y)$  which is c-good for some  $c > 0$ . Then

(a) If X is zero-dimensional, then so is Y.

(b) If X is strongly countable-dimensional, then so is Y.

#### Theorem 1.2

(R. Górak, M. Krupski and W. Marciszewski (2019)) Every strongly countable-dimensional metrizable compact space  $K$  is u-dominated by the unit interval  $[0, 1]$ .

Theorem 1.1 was recently generalized for  $\sigma$ -compact metrizable spaces.

## Theorem 1.3

(A. Eysen, V. Valov (2024)) Let X and Y be  $\sigma$ -compact metrizable spaces. Suppose that there is a uniformly continuous surjection  $T: C_p(X) \to C_p(Y)$  which is c-good for some  $c > 0$ . Then (a) If X is zero-dimensional, then so is Y. (b) If X is strongly countable-dimensional, then so is Y.

We strengthen the last result in two directions: we prove this statement for all (not necessarily  $\sigma$ -compact) metrizable spaces, and assuming a weaker condition that  $T$  is a uniformly continuous inversely bounded surjection.

A map  $T: D(X) \to D(Y)$  is called *inversely bounded* if for every norm bounded sequence  $\{g_n\}\subset \mathcal{C}^*(Y)$  there is a norm bounded sequence  $\{f_n\} \subset C^*(X)$  with  $\mathcal{T}(f_n) = g_n$  for each  $n \in \mathbb{N}$ .

Evidently, every linear continuous map between  $D_p(X)$  and  $D_p(Y)$ is uniformly continuous and every c-good map is inversely bounded. Also, every linear continuous surjection  $\mathcal{T}: C^*_\rho(X) \to C^*_\rho(Y)$ , where X and Y are arbitrary Tychonoff spaces, is inversely bounded.

In fact we develop a general scheme for the proof as follows. For the brevity, we write  $X \in \mathcal{P}$  if X has the property  $\mathcal{P}$ .

We consider the properties  $P$  of metrizable spaces such that:

- (a) if  $X \in \mathcal{P}$  and  $F \subset X$  is closed, then  $F \in \mathcal{P}$ ;
- (b)  $\mathcal{P}$  is closed under finite products;
- $(c)$  if X is a countable union of closed subsets each having the property P, then  $X \in \mathcal{P}$ ;
- (d) if  $f: X \to Y$  is a closed continuous map with finite fibers and  $Y \in \mathcal{P}$ , then  $X \in \mathcal{P}$ .

From the classical results of dimension theory it follows that zero-dimensionality, countable-dimensionality and strongly countable-dimensionality satisfy conditions  $(a) - (d)$  above.

#### Theorem 2.1

Let  $X$  be a metrizable space and Y be a perfectly normal topological space. Suppose that  $T: D_p(X) \to D_p(Y)$  is a uniformly continuous inversely bounded surjection. For any topological property P satisfying conditions  $(a) - (d)$  above, if  $X \in \mathcal{P}$  then  $Y \in \mathcal{P}$ .

#### Corollary 2.2

Let X be a metrizable space and Y be a perfectly normal topological space. Suppose that  $T: D_p(X) \to D_p(Y)$  is a uniformly continuous inversely bounded surjection.

- (i) If X is either countable-dimensional or strongly countable-dimensional, then so is  $Y$ .
- $(i)$  If X is zero-dimensional, then so is Y.

Note that item (ii) was established in Theorem 1.3 for arbitrary Tychonoff spaces  $X, Y$  and c-good surjections  $T$ . However, we don't know whether every uniformly continuous inversely bounded map is c-good for some  $c > 0$ .

## A linear continuous version of Theorem 2.1 is also true.

## Theorem 2.3

Let  $X$  be a metrizable space and Y be a perfectly normal topological space. Suppose that  $T: D_{p}(X) \to D_{p}(Y)$  is a linear continuous surjection. For any topological property  $P$  satisfying conditions  $(a) - (d)$  above, if  $X \in \mathcal{P}$  then  $Y \in \mathcal{P}$ .

# **Definitions**

- $\circled{1}$  A topological space X is called *scattered* if every closed subset  $A \subseteq X$  has an isolated (in A) point.
- $\circled{2}$  If X is a countable union of closed scattered subspaces then X is called strongly  $\sigma$ -scattered.
- $\circled{3}$  A Tychonoff space X is called pseudocompact if every continuous function  $f : X \to \mathbb{R}$  is bounded.

## Open Problem

Let  $X$  and  $Y$  be Tychonoff spaces.

- (1) Suppose that  $T: D_p(X) \to D_p(Y)$  is a continuous linear surjection (continuous linear isomorphism). Is Y scattered provided  $X$  is scattered?
- (2) Suppose that  $T: D_{p}(X) \to D_{p}(Y)$  is a uniformly continuous inversely bounded surjection. Is  $Y$  scattered provided  $X$  is scattered?

## Quick result

Let  $X$  and  $Y$  be compact spaces. Suppose that  $T: C_p(X) \to C_p(Y)$  is a continuous linear surjection. If X is scattered then Y is also scattered.

#### Proof.

Consider T as an operator between Banach spaces. Then T remains continuous. Banach spaces  $C(X)$  is Asplund if and only if X is scattered. Then  $C(Y)$  also is Asplund, i.e. Y is scattered.

➃ A topological space X is said to be a ∆-space (∆1-space) if for every decreasing sequence  $\{D_n : n \in \omega\}$  of subsets of X (countable subsets of  $X$ , respectively) with empty intersection, there is a decreasing sequence  $\{V_n : n \in \omega\}$ consisting of open subsets of  $X$ , also with empty intersection, and such that  $D_n \subseteq V_n$  for every  $n \in \omega$ .

Equivalently, X is a  $\Delta$ -space ( $\Delta_1$ -space) iff every countable sequence of disjoint sets (distinct points) admits a point-finite open expansion.

**⑤** A  $\Delta$ -space  $X \subset \mathbb{R}$  is said to be a  $\Delta$ -set.

 $\Delta$ -sets  $X\subset\mathbb{R}$  are defined by Reed and van Douwen (1980).

 $\circled{6}$  A topological space X is said to be a Q-space if every subset of X is a  $G_{\delta}$ -set (equivalently, every subset of X is a  $F_{\sigma}$ -set).

Q-sets  $X \subset \mathbb{R}$  are defined by Hausdorff (1933).

 $\overline{O}$  X  $\subset \mathbb{R}$  is called a  $\lambda$ -set if each countable  $A \subset X$  is  $G_{\delta}$  in X.

 $\lambda$ -sets  $X \subset \mathbb{R}$  are defined by Kuratowski (1933).

- Q-set  $\Rightarrow$   $\Delta$ -set  $\Rightarrow$   $\lambda$ -set; similarly, Q-space  $\Rightarrow \Delta$ -space  $\Rightarrow \Delta_1$ -space.
- Existence of uncountable Q- and ∆-sets depends on additional axioms of ZFC; there are uncountable  $\lambda$ -sets in ZFC.

(A.L., J. K¸akol)

- $\bullet$  X is a  $\Delta$ -space  $\Leftrightarrow$   $C_p(X)$  is a distinguished locally convex space.
- A Corson compact space X is a  $\Delta$ -space  $\Leftrightarrow$  X is a scattered Eberlein compact space.
- [0,  $\omega_1$ ] is an example of a scattered compact but not  $\Delta$ -space.

# (A.L., J. Kąkol, O. Kurka)

- Let X be Cech-complete. Then X is a  $\Delta_1$ -space  $\Leftrightarrow$  X is scattered.
- Let X be pseudocompact. Then X is a  $\Delta_1$ -space  $\Leftrightarrow$  every countable subset of  $X$  is scattered.

- (A.L., J. Kakol) Let Y be  $\ell$ -dominated by X.
- (a) If X is  $\sigma$ -scattered ( $\sigma$ -discrete), then Y is  $\sigma$ -scattered  $(\sigma$ -discrete, respectively).
- (b) If X is a scattered Eberlein compact space, then Y also is a scattered Eberlein compact space.
- (c) If X is a  $\Delta$ -space, then Y also is a  $\Delta$ -space.
- (d) Let X and Y be normal spaces (for instance, let both be metrizable spaces or both be subsets of the real line  $\mathbb R$ ). If X is a  $Q$ -space, then  $Y$  also is a  $Q$ -space.
- (e) Let X and Y be metrizable spaces. If X is scattered, then Y also is scattered.

(A.L., V. Valov) (f) Let  $X$  and  $Y$  be metrizable spaces. Suppose that  $\mathcal{T}: C^*_\rho(X) \to C^*_\rho(Y)$  is a linear continuous surjection. If  $X$  is scattered, then Y also is scattered.

Theorem 3.1 (e), (f) strengthen the following result of J. Baars:

Let  $X$  and  $Y$  be metrizable spaces.

- (a) Suppose that  $C_p(X)$  and  $C_p(Y)$  are linearly homeomorphic. Then  $X$  is scattered if and only if  $Y$  is scattered.
- (b) Suppose that  $C_p^*(X)$  and  $C_p^*(Y)$  are linearly homeomorphic. Then  $X$  is scattered if and only if  $Y$  is scattered.

## Sketch of the proof of Theorem 3.1 (e)

Let Y be  $\ell$ -dominated by X. Let X and Y be metrizable spaces. If X is scattered, then Y also is scattered.

If X is metrizable and scattered, then X homeomorphically embeds into a scattered Eberlein compact space (T. Banakh & A.L.), hence X is a  $\Delta$ -space. So, by Theorem 3.1 (c) the space Y is also a ∆-space. From another hand, every metrizable and scattered space is completely metrizable. We have that a metrizable space Y is  $\ell$ -dominated by a completely mertizable space X, therefore Y is completely metrizable due to the known result of J. Baars, J. de Groot and J. Pelant. Finally, Y is a Čech-complete  $\Delta$ -space, and therefore Y is scattered.

- (A.L., J. Kakol, O. Kurka) Let Y be  $\ell$ -dominated by X.
- (a) If X is a  $\Delta_1$ -space, then Y also is a  $\Delta_1$ -space.
- (b) Let X and Y be metrizable spaces. If X is a  $\lambda$ -space, then Y also is a  $\lambda$ -space.
- $(c)$  If X is pseudocompact and every countable set in X is scattered, then Y has the same properties.
- (d) If X is a compact scattered space, then Y is a pseudocompact space such that its Stone–Cech compactification  $\beta Y$  is scattered.

#### Sketch of the proof of Theorem 3.2 (b)

Let Y be  $\ell$ -dominated by X. Let X and Y be metrizable spaces. If X is a  $\lambda$ -space, then Y also is a  $\lambda$ -space.

Metrizable space is a  $\Delta_1$ -space iff every countable subset of X is  $G_{\delta}$ . So, X is a  $\Delta_1$ -space, then applying Theorem 3.2 (a), Y is also a  $\Delta_1$ -space. Finally, every countable subset of Y is  $G_\delta$ , i.e. Y also is a  $\lambda$ -space.

# **Proposition**

Let  $X$  and  $Y$  be metrizable spaces. Suppose that  $T: D_p(X) \to D_p(Y)$  is a linear continuous surjection. If X is strongly  $\sigma$ -scattered, then so is Y.

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We don't know whether analogues of Theorem 3.1 (e), (f) above are valid under a weaker assumption:  $T: D_p(X) \to D_p(Y)$  is a uniformly continuous surjection.

Question: Let  $X$  and  $Y$  be (separable) metrizable spaces and let  $T: D_{p}(X) \to D_{p}(Y)$  be a uniformly continuous surjection. Is Y scattered provided  $X$  is scattered?

This is because the following major question posed by W.

Marciszewski and J. Pelant is open.

## Open Problem

Let X and Y be (separable) metrizable spaces and let  $T: D_p(X) \to D_p(Y)$  be a uniformly continuous surjection (uniform homeomorphism). Let  $X$  be completely metrizable. Is  $Y$ also completely metrizable?

Moreover, the next problem is also open:

# Open Problem

Let  $X$  and  $Y$  be (separable) metrizable spaces and let  $T: D_p(X) \to D_p(Y)$  be an inversely bounded uniformly continuous surjection. Let  $X$  be completely metrizable. Is  $Y$  also completely metrizable?

 $(A.L., V.$  Valov) Let X and Y be metrizable spaces. Suppose that  $T: D_{p}(X) \to D_{p}(Y)$  is an inversely bounded uniformly continuous surjection. If X is strongly  $\sigma$ -scattered, then Y also is strongly  $\sigma$ -scattered.

#### Proof.

Any product of finitely many scattered (resp., strongly  $\sigma$ -scattered) spaces is scattered (resp., strongly  $\sigma$ -scattered). Evidently, any closed subset of a strongly  $\sigma$ -scattered space is strongly  $\sigma$ -scattered. It is also true that the preimage of a strongly  $\sigma$ -scattered space under a continuous map with finite fibers is strongly  $\sigma$ -scattered. Hence, all properties (a)-(d) from Theorem 2.1 are satisfied and we complete the proof.

 $(A.L., V. Valov)$  Let X and Y be metrizable spaces. Suppose that  $T: D_p(X) \to D_p(Y)$  is an inversely bounded uniformly continuous surjection. If X is a  $\Delta_1$ -space then Y also is a  $\Delta_1$ -space.

#### Proof.

All properties (a)-(d) from Theorem 2.1 are satisfied.

(A.L., J. Kakol) Let  $\alpha$  be a fixed infinite countable ordinal. Then for a Tychonoff space Y the following are equivalent.

- (1) There exists a linear continuous surjection  $T: C_p([1,\alpha]) \to C_p(Y)$ .
- (2) Y is homeomorphic to [1,  $\beta$ ], where  $\beta$  is a countable ordinal such that either  $\beta < \alpha$ , or  $\alpha \leq \beta < \alpha^{\omega}$ .

## Sketch of the proof of Theorem 3.5

Assumption  $(1)$  implies that Y has to be a countable compact space, i.e Y is homeomorphic to  $[1, \beta]$ , where  $\beta$  is a countable ordinal. If  $\beta < \alpha$  there is nothing to prove. So, let us assume that  $\alpha \leq \beta$ . Applying the Closed Graph Theorem we consider T as a linear continuous operator from the Banach space  $C([1,\alpha])$  onto the Banach space  $C([1,\beta])$ . Recall that the Szlenk index of a Banach space  $E$ , denoted  $Sz(E)$ ,

is an ordinal number, which is invariant under linear isomorphisms. The key tool is the following precise result of Samuel.

#### Sketch of the proof of Theorem 3.5

**Fact A.** For any  $0 \leq \gamma \leq \omega_1$ 

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Sz(\mathcal{C}([1,\omega^{\omega^{\gamma}}])) = \omega^{\gamma+1}.
$$

We need also

**Fact B.** Let  $E_1$  and  $E_2$  be given Banach spaces with norm-separable duals. Assume that  $E_2$  is isomorphic to a subspace of a quotient space of  $E_1$ . Then  $Sz(E_2) \leq Sz(E_1)$ .

In order to finish the proof of  $(1) \Rightarrow (2)$  suppose the contrary:  $\beta \geq \alpha^\omega$ . Then by Fact A, Sz $(\mathcal{C}([1,\beta]))>\text{Sz}(\mathcal{C}([1,\alpha]))$  which contradicts Fact B.  $(2) \Rightarrow (1)$  is known.

# Thank you!

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