

# Closed groups generated by generic measure preserving transformations

Sławomir Solecki

Cornell University

Supported by NSF grant DMS-1954069

December 2021

# Introduction

## Basics

**Polish space** = a separable completely metrizable space

**Polish group** = a topological group whose group topology is Polish

**Standard Borel space** = a set  $X$  equipped with the  $\sigma$ -algebra of sets Borel with respect to a Polish topology on  $X$

**Borel measure** = a measure defined on the  $\sigma$ -algebra of a standard Borel space

All atomless Borel probability measures are isomorphic to each other, so we can think of such a measure as Lebesgue measure  $\lambda$  on  $[0, 1]$ .

## The group of measure preserving transformations

$(X, \gamma)$  = a standard Borel space with an atomless Borel probability measure

**Aut** = the Polish group of all measure preserving transformations of  $(X, \gamma)$

Measure preserving transformations are identified if they coincide on a set of full measure.

Aut is taken with composition and is topologized so that

$$T_n \rightarrow T \text{ iff } \gamma(T_n(A) \Delta T(A)) \rightarrow 0, \text{ for each Borel } A \subseteq X.$$

## Genericity

$X$  a Polish space,  $P$  a property

A **generic**  $x \in X$  **has**  $P$  if  $\{x \in X \mid x \text{ does not have } P\}$  is meager.

## The subject matter of the talk

For a Polish group  $G$  and  $g \in G$ , let

$$\langle g \rangle_c = \text{closure}(\{g^n \mid n \in \mathbb{Z}\}).$$

We study **closed subgroups of  $\text{Aut}$  generated by generic elements of  $\text{Aut}$** , that is, groups of the form

$$\langle T \rangle_c,$$

for a generic measure preserving transformation  $T$ .

## Boolean actions

$G$  a Polish group

A **boolean action of  $G$  on  $(X, \gamma)$**  is a continuous homomorphism  $\zeta: G \rightarrow \text{Aut}$ .

The word action is justified by viewing  $G$  as acting on the boolean algebra of measure classes of measurable subsets of  $(X, \gamma)$  by

$$gB = \zeta(g)(B).$$

For example, the Polish group  $\langle T \rangle_c$ , for  $T \in \text{Aut}$ , has a natural boolean action being a subgroup of  $\text{Aut}$ .

# Two more groups



## The group of measurable functions

$\mathbb{T}$  = the group of all complex numbers of unit length taken with multiplication

$\lambda$  = Lebesgue measure on  $[0, 1]$

$L^0(\lambda, \mathbb{T})$  = the Polish group of all measurable functions from  $[0, 1]$  to  $\mathbb{T}$

$L^0(\lambda, \mathbb{T})$  is taken with pointwise multiplication and the topology of convergence in measure.

**Notation:** We write  $L^0$  for  $L^0(\lambda, \mathbb{T})$ .

$L^0(\lambda, \mathbb{R})$  = the Polish linear space of all measurable functions from  $[0, 1]$  to  $\mathbb{R}$

There is a continuous surjective homomorphism

$$L^0(\lambda, \mathbb{R}) \rightarrow L^0,$$

namely,

$$f \rightarrow \exp(if).$$

## The unitary group

$H$  = the separable, infinite dimensional, complex Hilbert space

$\mathcal{U}$  = the Polish group of unitary transformations of  $H$

$\mathcal{U}$  is taken with composition and the strong operator topology.

# The question and the theorem

Recall, for  $T \in \text{Aut}$ ,

$$\langle T \rangle_c = \text{closure}(\{T^n \mid n \in \mathbb{Z}\}).$$

**Glasner–Weiss:** Is it the case that for a generic  $T \in \text{Aut}$ ,  $\langle T \rangle_c$  is isomorphic to  $L^0$ ?

## Motivation for the question

### Qualifications

**Glasner:**  $L^0$  is monothetic.

### Analogy

**Melleray–Tsankov:**  $\langle U \rangle_c$  is isomorphic to  $L^0$  for a generic  $U \in \mathcal{U}$ .

## Structure

**Ageev:** For a generic  $T \in \text{Aut}$ , each finite abelian group embeds into  $\langle T \rangle_c$ .

**S.:** For a generic  $T \in \text{Aut}$ , there is a Polish linear space  $L_T$  and a continuous surjective homomorphism  $L_T \rightarrow \langle T \rangle_c$ .

## Dynamics

**Glasner–Weiss:** For a generic  $T \in \text{Aut}$ , the natural boolean action of  $\langle T \rangle_c$  on  $(X, \gamma)$  is whirly.

### Theorem (S., 2021)

*For a generic transformation  $T \in \text{Aut}$ ,  
the group  $\langle T \rangle_c$  is **not** isomorphic to  $L^0$ .*



## A rough outline of the proof

**Prove** the following two points.

1. If  $L^0 \cong \langle T \rangle_c < \text{Aut}$ , for a generic  $T \in \text{Aut}$ , then **some** ergodic boolean action of  $L^0$  has **spectral properties** similar to spectral properties of a generic  $T \in \text{Aut}$ .
2. **No** ergodic boolean actions of  $L^0$  has **spectral properties** similar to spectral properties of a generic  $T \in \text{Aut}$ .

# Spectral behavior

## Spectral behavior of a generic $T \in \text{Aut}$

$\nu(T)$  = maximal spectral type of  $T \in \text{Aut}$ .

Building on earlier work of Choksi–Nadkarni, Katok, and Stepin, del Junco–Lemańczyk proved the following theorem.

Theorem (del Junco–Lemańczyk, 1992)

*For a generic  $T \in \text{Aut}$  and  $l_1, \dots, l_p, l'_1, \dots, l'_{p'} \in \mathbb{N}$ , if  $(l_1, \dots, l_p)$  and  $(l'_1, \dots, l'_{p'})$  are not rearrangements, then*

$$\nu(T^{l_1}) * \dots * \nu(T^{l_p}) \perp \nu(T^{l'_1}) * \dots * \nu(T^{l'_{p'}}).$$

Call the condition above the **del Junco–Lemańczyk condition**.

## Spectral behavior of $L^0$

A unitary representation of  $L^0$  can be constructed as follows.

Given  $\phi \in L^0$ , let

$$L^2(\lambda) \ni f \rightarrow \phi \cdot f \in L^2(\lambda).$$

This is a unitary representation in  $\mathcal{U}(L^2(\lambda))$ .

## Spectral behavior of $L^0$

A unitary representation of  $L^0$  can be constructed as follows.

Given  $\phi \in L^0$ , let

$$L^2(\mu) \ni f \rightarrow \phi \cdot f \in L^2(\mu),$$

for  $\mu \preceq \lambda$ . This is a unitary representation in  $\mathcal{U}(L^2(\mu))$ .

## Spectral behavior of $L^0$

A unitary representation of  $L^0$  can be constructed as follows.

Given  $\phi \in L^0$ , let

$$L^2(\mu) \ni f \rightarrow \phi^k \cdot f \in L^2(\mu),$$

for  $\mu \preceq \lambda$  and  $k \in \mathbb{Z}$ . This is a unitary representation in  $\mathcal{U}(L^2(\mu))$ .

$$\mathbb{Z}^\times = \mathbb{Z} \setminus \{0\}$$

$\mathbb{N}[\mathbb{Z}^\times]$  consists of all finite functions  $x$  such that

$$\emptyset \neq \text{dom}(x) \subseteq \mathbb{Z}^\times \quad \text{and} \quad \text{rng}(x) \subseteq \mathbb{N}$$

For  $x \in \mathbb{N}[\mathbb{Z}^\times]$ , let

$$D(x) = \{(k, i) \mid k \in \text{dom}(x), i \leq x(k)\},$$

and

$$C_x = [0, 1]^{D(x)}.$$

For  $(k, i) \in D(x)$ , let

$$\pi_{k,i}: C_x \rightarrow [0, 1] = \text{projection onto coordinate } (k, i).$$

A permutation  $\delta$  of  $D(x)$  is **good** if, for each  $(k, i) \in D(x)$ ,  $\delta(k, i) = (k, j)$  for some  $j$ .



- A finite Borel measure  $\mu$  on  $C_x$  is **compatible with**  $x \in \mathbb{N}[\mathbb{Z}^\times]$  if
- the marginals of  $\mu$  given by  $\pi_{k,i}$ , for  $(k, i) \in D(x)$ , are absolutely continuous with respect to  $\lambda$ ;
  - $\mu$  is invariant under good permutations of  $D(x)$ ;
  - all diagonals of  $C_x$  have measure zero with respect to  $\mu$ .

$\mu$  compatible with  $x$

$\tilde{L}^2(\mu)$  = the closed subspace of  $L^2(\mu)$  consisting of all functions invariant under good permutations

For  $x \in \mathbb{N}[\mathbb{Z}^\times]$  and  $\phi \in L^0$ ,

$$R_x(\phi) = \prod_{(k,i) \in D(x)} (\phi \circ \pi_{k,i})^k.$$

If  $\mu$  is compatible with  $x$ , then

$$f \in \tilde{L}^2(\mu) \Rightarrow R_x(\phi) \cdot f \in \tilde{L}^2(\mu).$$

Fix  $\xi : L^0 \rightarrow \mathcal{U}$  a unitary representation without non-zero fixed points.

Theorem (S., 2014)

$\xi$  is determined by finite Borel measures  $(\mu_x)_{x \in \mathbb{N}[\mathbb{Z}^\times]}$  with  $\mu_x$  compatible with  $x$ .

$\xi$  is isomorphic to the  $\ell^2$ -sum over  $x \in \mathbb{N}[\mathbb{Z}^\times]$  of the representations

$$L^0 \times \tilde{L}^2(\mu_x) \ni (\phi, f) \rightarrow R_x(\phi) \cdot f \in \tilde{L}^2(\mu_x).$$

The sequence  $(\mu_x)_{x \in \mathbb{N}[\mathbb{Z}^\times]}$  is unique up to mutual absolute continuity of its entries.

**The above is true modulo multiplicity.**

## Theorem (S., 2014)

$\xi$  is determined by a sequence of finite Borel measures  $(\mu_x^j)_{x \in \mathbb{N}[\mathbb{Z}^\times], j \in \mathbb{N}}$  such that, for each  $j$ ,

$$\mu_x^j \text{ is compatible with } x, \text{ and } \mu_x^{j+1} \preceq \mu_x^j.$$

$\xi$  is isomorphic to the  $\ell^2$ -sum over  $x \in \mathbb{N}[\mathbb{Z}^\times]$  and  $j \in \mathbb{N}$  of the representations

$$L^0 \times \tilde{L}^2(\mu_x^j) \ni (\phi, f) \rightarrow R_x(\phi) \cdot f \in \tilde{L}^2(\mu_x^j).$$

The sequence  $(\mu_x^j)_{x \in \mathbb{N}[\mathbb{Z}^\times], j \in \mathbb{N}}$  is unique up to mutual absolute continuity of its entries.

## The del Junco–Lemańczyk condition for $L^0$

$\mathbb{N}[\mathbb{Z}^\times]$  comes equipped with coordinatewise addition

$$x \oplus y.$$

Given:  $\mu$  on  $C_x$  compatible with  $x$ , and  $\nu$  on  $C_y$  compatible with  $y$   
Since  $C_{x \oplus y} \sim C_x \times C_y$ , we can define the “symmetried” product

$$\mu \otimes \nu$$

on  $C_{x \oplus y}$  compatible with  $x \oplus y$ .

**Recall:** we have  $\xi : L^0 \rightarrow \mathcal{U}$ , a unitary representation without non-zero fixed points, with  $H$  separable.

## Theorem (Etedadialiabadi, 2016/20)

**Assume:** for a generic  $\phi \in L^0$  and  $l_1, \dots, l_p, l'_1, \dots, l'_{p'} \in \mathbb{N}$  such that  $(l_1, \dots, l_p)$  and  $(l'_1, \dots, l'_{p'})$  are not rearrangements, we have

$$\nu(\phi^{l_1}) * \dots * \nu(\phi^{l_p}) \perp \nu(\phi^{l'_1}) * \dots * \nu(\phi^{l'_{p'}}),$$

where  $\nu(\psi) = \text{maximal spectral type of } \xi(\psi)$ .

**Then:** for  $x_1, \dots, x_p \in \mathbb{N}[\mathbb{Z}^\times]$  with  $p > 1$ , we have

$$\mu_{x_1} \otimes \dots \otimes \mu_{x_p} \perp \mu_{x_1 \oplus \dots \oplus x_p}.$$



# Theorem on Koopman representations of $L^0$

Given a boolean action  $\zeta: G \rightarrow \text{Aut}$ , the **Koopman representation associated with  $\zeta$**  is given by

$$G \ni g \rightarrow U_g \in \mathcal{U}(L^2(\gamma)),$$

where, for  $f \in L^2(\gamma)$ ,

$$U_g(f) = f \circ (\zeta(g))^{-1}.$$

The proposition below gives a connection of Etedadialiabadi's theorem with the Glasner–Weiss question.

### Proposition (S., 2021)

*Assume that there is a non-meager set of  $T \in \text{Aut}$  such that  $\langle T \rangle_c$  is isomorphic to  $L^0$ .*

*There exists an ergodic boolean action of  $L^0$  on  $(X, \gamma)$ , whose Koopman representation is such that*

$$\mu_x \otimes \mu_x \perp \mu_{x \oplus x}, \text{ for all } x \in \mathbb{N}[\mathbb{Z}^\times].$$

## Theorem (S. 2021)

$\xi$  = the Koopman representation associated with an ergodic boolean action of  $L^0$ .

Then, for  $x_1, \dots, x_p \in \mathbb{N}[\mathbb{Z}^\times]$ , we have

$$\mu_{x_1} \otimes \cdots \otimes \mu_{x_p} \preceq \mu_{x_1 \oplus \cdots \oplus x_p}.$$

In particular, for ergodic **Koopman** representations of  $L^0$

$$\mu_x \otimes \mu_x \preceq \mu_{x \oplus x}, \text{ for all } x.$$

Contrast the above statement with

$$\mu_x \otimes \mu_x \perp \mu_{x \oplus x}, \text{ for all } x,$$

for the ergodic Koopman representation of  $L^0$  found in the proposition.

# Questions

Is there a Polish group  $G$  such that  $\langle T \rangle_c$  is isomorphic to  $G$ , for a generic  $T \in \text{Aut}$ ?

**Glasner–Weiss:** Is the group  $\langle T \rangle_c$  a Lévy group for a generic  $T \in \text{Aut}$ ?