

# On Hecke-Kiselman monoids

**Arkadiusz Męcel**

**University of Warsaw**  
a.mecel@mimuw.edu.pl

Algebra Seminar, 17.11.2016

## Definition

By a **simple digraph** we mean a directed graph  $\Theta = (V, E)$  without loops and multiple arrows, where  $V = \{1, \dots, n\}$  and

- if  $(i, j) \in E$  and  $(j, i) \in E$  we say that  $(i, j)$  is an **edge** between  $i$  and  $j$ , denoted as  $i - j$ ,
- if  $(i, j) \in E$  and  $(j, i) \notin E$  we say that  $(i, j)$  is an **arrow** from  $i$  to  $j$ , denoted as  $i \rightarrow j$ .

There is a bijection between the set  $\mathcal{M}_n$  of all simple digraphs  $(V, E)$ , where  $V = \{1, \dots, n\}$  and the set of all anti-reflexive binary relations on  $V$ .

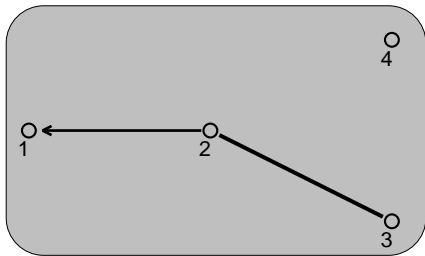
## Definition (Ganyushkin, Mazorchuk, 2011)

For any simple digraph  $\Theta \in \mathcal{M}_n$  the corresponding monoid  $\text{HK}_\Theta$  generated by idempotents  $a_i, i \in \{1, \dots, n\}$  is defined by the following relations, for any  $i \neq j$ :

- 1  $a_i a_j = a_j a_i$ , when there is no edge/arrow between  $i, j$  in  $\Theta$ ,
- 2  $a_i a_j a_i = a_j a_i a_j$ , when we have an edge  $i - j$  in  $\Theta$ ,
- 3  $a_i a_j a_i = a_j a_i a_j = a_i a_j$ , when we have an arrow  $i \rightarrow j$  in  $\Theta$ ,
- 4  $a_i a_j a_i = a_j a_i a_j = a_j a_i$ , when we have an arrow  $j \rightarrow i$  in  $\Theta$ .

# An example

If we take the following digraph  $\Theta \in \mathcal{M}_4$



then the corresponding monoid  $\text{HK}_\Theta$  is generated by idempotents  $a_1, a_2, a_3, a_4$  and by the following relations:

$$a_4 a_i = a_i a_4, \text{ for } i = 1, 2, 3, \quad a_1 a_3 = a_3 a_1,$$

$$a_1 a_2 a_1 = a_2 a_1 a_2 = a_2 a_1, \quad a_2 a_3 a_2 = a_3 a_2 a_3.$$

Open problems:

- For which  $\Theta$  is  $\text{HK}_\Theta$  finite?
- Is there a faithful representation of  $\text{HK}_\Theta$  in  $M_{n \times n}(\mathbb{N})$ ?
- Is  $\text{HK}_\Theta$  always a  $\mathcal{J}$ -trivial monoid?

# Motivations (1): 0-Hecke monoids

If we assume that  $\Theta \in \mathcal{M}_n$  has no oriented arrows then  $\text{HK}_\Theta$  is generated by  $n$  idempotents  $a_1, \dots, a_n$  and satisfies relations:

$$a_i a_j = a_j a_i, \quad \text{if there is no edge } i-j \text{ in } \Theta,$$

$$a_i a_j a_i = a_j a_i a_j, \quad \text{if there is an edge } i-j \text{ in } \Theta.$$

This is a special case of the so-called **Coxeter monoid**  $M(W)$  (also called a **0-Hecke monoid**) of a Coxeter group  $W$ . Every such monoid has  $n$  idempotent generators  $a_1, \dots, a_n$  and satisfies the following relations:

$$\underbrace{a_i a_j a_i \dots}_{m_{ij}} = \underbrace{a_j a_i a_j \dots}_{m_{ij}},$$

where  $M = (m_{ij}) \in M_{n \times n}(\mathbb{N}_+)$  is a Coxeter matrix of  $W$ .

# Motivations (1): 0-Hecke monoids

Let  $M = (m_{ij}) \in M_{n \times n}(\mathbb{N}_+ \cup \{\infty\})$  such that:

- $M$  is symmetric
- $m_{ij} = 1 \Leftrightarrow i = j$ .

The Coxeter group of  $M$  is defined as:

$$W = \langle s_1, \dots, s_n \mid (s_i s_j)^{m_{ij}} = 1, \text{ for } |m_{ij}| < \infty \rangle$$

Examples:

- the symmetric groups  $\Sigma_n$ ,
- symmetry groups for regular polytopes,
- Weyl groups.

# Motivations (1): 0-Hecke monoids

	the Coxeter group $W$	the Coxeter monoid $M(W)$
generators	$s_1, \dots, s_n$	$a_1, \dots, a_n$
relations	$s_i^2 = 1$	$a_i^2 = a_i$
for $m_{ij} > 1$ :	$\underbrace{s_i s_j s_i \dots}_{m_{ij}} = \underbrace{s_j s_i s_j \dots}_{m_{ij}}$	$\underbrace{a_i a_j a_i \dots}_{m_{ij}} = \underbrace{a_j a_i a_j \dots}_{m_{ij}}$

An important fact (use exchange property!):

$$|W| = |M(W)|.$$



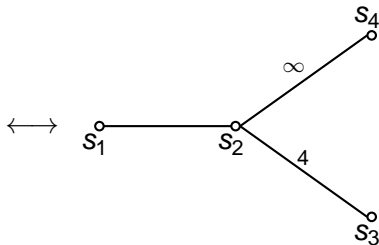
# Motivations (1): 0-Hecke monoids

To any Coxeter matrix  $M = (m_{ij}) \in M_{n \times n}(\mathbb{N}_+ \cup \{\infty\})$  we associate a graph  $(V, E)$ , where

- $V = \{1, 2, \dots, n\}$
- $\{i, j\} \in E \Leftrightarrow m_{ij} \geq 3$
- and edge has a label  $m_{ij}$  if  $m_{ij} > 3$ .

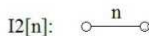
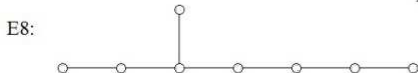
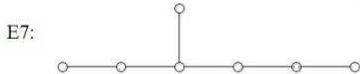
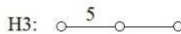
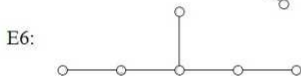
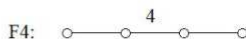
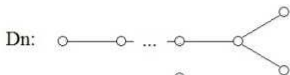
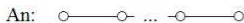
For example:

$$M = (m_{ij}) = \begin{bmatrix} 1 & 3 & 2 & 2 \\ 3 & 1 & 4 & \infty \\ 2 & 4 & 1 & 2 \\ 2 & \infty & 2 & 1 \end{bmatrix}$$



# Motivations (1): 0-Hecke monoids

The graphs of finite connected Coxeter groups:



## Corollary

If  $\Theta$  is a simple unoriented graph then the Hecke-Kiselman monoid  $\text{HK}_\Theta$  is finite if and only if  $\Theta$  is a disjoint union of Dynkin diagrams.

# Motivations (1): 0-Hecke algebras

## Definition

If  $W$  is a Coxeter group of  $n$  generators and  $K$  is a field then the Iwahori-Hecke algebra  $\mathcal{H}_q(W)$  is defined, for every  $q \in K$ , by generators  $S_1, \dots, S_n$  and relations:

$$S_i^2 = q + (q - 1)S_i, \quad \underbrace{S_i S_j S_i \dots}_{m_{ij}} = \underbrace{S_j S_i S_j \dots}_{m_{ij}}$$

- For  $q = 1$  this is just the group algebra  $K[W]$ ,
- for  $q = 0$  this is a semigroup algebra of the Coxeter monoid  $M(W)$  – the 0-Hecke algebra,
- the representation theory of  $\mathcal{H}_q(W)$  is quite well understood, for  $q \neq 0$ ,
- there are still a lot of questions about 0-Hecke algebras.

# Interlude: tropicalization and duality theorems

„Tropicalization” means: „replacing” a sum or an integral by a supremum. An example:

the  $l^p$ -norm:

$$\|\mathbf{x}\|_p = \left( \sum_{j=1}^n |\mathbf{x}_j|^p \right)^{1/p}, \quad \mathbf{x} \in \mathbb{R}^n, \quad 1 \leq p < +\infty$$

becomes the sup-norm:

$$\|\mathbf{x}\|_\infty = \left( \sup_{j=1, \dots, n} |\mathbf{x}_j|^p \right)^{1/p} = \sup_{j=1, \dots, n} |\mathbf{x}_j|. \quad \mathbf{x} \in \mathbb{R}^n$$

## Fenchel conjugate

Let  $X$  be a real normed space. Take any function  $f : X \rightarrow \overline{\mathbb{R}}$ . Then the Fenchel conjugate  $f^* : X^* \rightarrow \overline{\mathbb{R}}$  is defined as

$$f^*(y) = \sup_{x \in X} (y(x) - f(x)).$$

Examples and motivations:

- If  $X = \mathbb{R}^n$  then  $f^*(y) = \sup_{x \in \mathbb{R}^n} (y \circ x - f(x))$ , for  $y \in \mathbb{R}^n$ .
- Let  $f : \mathbb{R} \rightarrow \overline{\mathbb{R}}$  be convex and  $y(z) = f(z_0) + f'(z_0)(z - z_0)$  be the tangent to the graph of  $y$  in  $(z_0, f(z_0))$ . Then

$$f^*(y) = -y(0) = f'(z_0)z_0 - f(z_0).$$

- interpretations in economy, computer modelling, optimization theory...

# Interlude: tropicalization and duality theorems

## Theorem (Fenchel-Moreau (1949?))

Let  $X$  be a normed space and  $f : X \rightarrow \overline{\mathbb{R}}$ . Then we have an equality  $f^{**} = f$  if and only if one of the conditions is satisfied:

- $f$  is convex, lower semicontinuous and proper,
- $f \equiv +\infty$ ,
- $f \equiv -\infty$ .

Remarks:

- If  $f^{**} = f$  then  $f$  can be represented as a supremum of affine functions,
- If  $X = \mathbb{R}$  then you can think about the Fenchel transform as a "tropicalization" of a Laplace transform:

$$(\mathcal{L}g)(\zeta) = \int_0^{\infty} g(x) e^{-\zeta x} dx, \quad \zeta \in \mathbb{R}$$

## Motivations (2): the Kiselman monoids

Consider a monoid  $G(E)$  generated by all compositions of three closure operators  $c, l, m$  defined on functions from  $E \rightarrow \overline{\mathbb{R}}$ :

- the **convex hull** of  $f$ :

$$c(f)(x) = \inf \left\{ \sum_{i=1}^N \lambda_i f(x_i) \mid \lambda_i > 0, f(x_i) < +\infty, \sum_{i=1}^N \lambda_i x_i = x \right\},$$

- the **largest lower semicontinuous minorant** of  $f$ :

$$l(f)(x) = \liminf_{y \rightarrow x} f(y),$$

- the **„proper function checking” operator**:

$$m(f)(x) = \begin{cases} f(x), & \text{if } f(y) > -\infty, \text{ for all } y \in E, \\ -\infty, & \text{otherwise.} \end{cases}$$



## Motivations (2): the Kiselman monoids

### Theorem (Kiselman, 2002)

If  $E$  is a normed space of infinite dimension over  $\mathbb{R}$  then the monoid  $G(E)$  consists of 18 elements. It is generated by  $c, l, m$  and the following relations give a presentation of  $G(E)$ :

$$c^2 = c, \quad l^2 = l, \quad m^2 = m$$

$$clc = lcl = lc, \quad cmc = mcm = mc, \quad lml = mlm = ml.$$

Moreover, there exists a faithful representation of  $G(E)$  by  $3 \times 3$  matrices with non-negative integer coefficients. Namely, we can represent  $c, l, m$  by the following matrices  $C, L, M$ :

$$C = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}, \quad L = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad M = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

## Motivations (2): the Kiselman monoids

### Definition (Ganyushkin, Mazorchuk 2002)

By a Kiselman semigroup  $K_n$  we denote the monoid generated by  $n$  elements  $a_1, \dots, a_n$  with the following relations:

$$a_1^2 = a_1, \dots, a_n^2 = a_n$$

$$a_i a_j a_i = a_j a_i a_j = a_j a_i, \quad 1 \leq i < j \leq n.$$

### Theorem (Kudryavtseva, Mazorchuk, 2009)

The monoid  $K_n$ :

- is finite for all  $n$ ,
- has a faithful representation by  $n \times n$  matrices over  $\mathbb{N}$
- is  $\mathcal{J}$ -trivial, namely  $K_n a K_n = K_n b K_n \Rightarrow a = b$ .

# The finiteness of $K_n$ (1)

**Proof.** Let  $e$  be the unit element in  $K_n$ . For a finite alphabet  $\mathcal{A}$ , we denote by  $W(\mathcal{A})$  the set of all finite words over this alphabet, including the empty word. Let  $l : W(\mathcal{A}) \rightarrow \mathbb{N} \cup \{0\}$  be the length function.

First, observe that:

- (i) if  $i \in \{1, \dots, n\}$  and  $w \in W(\{a_1, \dots, a_{i-1}\})$ . Then we have  $a_i w a_i = a_i w$  in  $K_n$ ,
- (ii) if  $i \in \{1, \dots, n\}$  and  $w \in W(\{a_{i+1}, \dots, a_n\})$ . Then we have  $a_i w a_i = w a_i$  in  $K_n$ .

We (only) prove (i) by induction on  $l(w)$ . For  $l(w) = 0$  and  $l(w) = 1$  this is just the definition of  $K_n$ . Let  $l(w) > 1$  and write  $w = w' a_j$ , for some  $j < i$ . Then  $l(w') = l(w) - 1$ . Thus:

$$a_i w a_i = \mathbf{a_i w' a_j a_i} \stackrel{i}{=} \mathbf{a_i w' a_i a_j a_i} \stackrel{d}{=} a_i w' \mathbf{a_i a_j} \stackrel{i}{=} \mathbf{a_i w' a_j} = a_i w.$$

# The finiteness of $K_n$ (2)

**Proof (continued).** Second, observe that if  $\alpha \in K_n$ ,  $\alpha \neq e$  and if  $w \in W(\{a_1, \dots, a_n\})$  is a word of the shortest possible length such that  $\alpha = w$  in  $K_n$ , then:

- (iii) for  $i \leq \lfloor \frac{n}{2} \rfloor$  the letter  $a_i$  occurs in  $w$  at most  $2^{i-1}$  times,
- (iv) for  $i \geq \lceil \frac{n+1}{2} \rceil$  the letter  $a_i$  occurs in  $w$  at most  $2^{n-i}$  times.

We (only) prove (iii) by induction on  $i$ . If the letter  $a_1$  occurs in  $w$  more than once, the word  $w$  can be reduced (shortened) using (ii). Let  $1 < i \leq \lfloor \frac{n}{2} \rfloor$ . By the inductive hypothesis the total number of occurrences of  $a_1, \dots, a_{i-1}$  in  $w$  does not exceed  $2^{i-1} - 1$ . Hence we can write

$$w = w_1 b_1 w_2 b_2 w_3 \dots w_{2^{i-1}-1} b_{2^{i-1}-1} w_{2^{i-1}},$$

where  $b_j \in \{a_1, \dots, a_{i-1}\}$ , and  $w_j \in W(\{a_i, \dots, a_n\})$ . If  $a_i$  occurs in some  $w_j$  more than once, the word  $w_j$  can be reduced by (ii). Thus  $a_i$  may occur no more than  $2^{i-1}$  times in  $w$ .

# The finiteness of $K_n$ (3)

**Proof (continued).** From (iii) and (iv) it follows that the length of any reduced word  $w \in W(\{a_1, \dots, a_n\})$  is less than, or equal to:

$$L(n) = \begin{cases} \sum_{i=1}^k 2^{i-1} + \sum_{i=k+1}^n 2^{n-i} = 2^{k+1} - 2, & n = 2k \\ \sum_{i=1}^{k+1} 2^{i-1} + \sum_{i=k+2}^n 2^{n-i} = 3 \cdot 2^k - 2, & n = 2k + 1 \end{cases} .$$

Since  $K_n$  is generated by  $n$  elements and every element of  $K_n$ , different from the unit element  $e$ , can be written as a product of at most  $L(n)$  generators, we can see that:

$$|K_n| \leq 1 + n^{L(n)}.$$

Thus  $K_n$  is finite.

# Motivations (3): The $\mathcal{J}$ -trivial monoids

## Definition

The monoid  $M$  is  $\mathcal{J}$ -trivial if and only if for all  $a, b \in M$  we have:

$$MaM = MbM \Rightarrow a = b.$$

Connections:

- **finite automata theory** (Simon 72': a language is piecewise testable iff its syntactic monoid is  $\mathcal{J}$ -trivial),
- **theory of partially ordered monoids** (Straubing-Therien 85': every finite  $\mathcal{J}$ -trivial monoid is a quotient of a finite partially ordered monoid - satisfying the identity  $x \leq 1$ )
- **theory of matrix semigroups** (every  $\mathcal{J}$ -trivial monoid is a quotient of a monoid of unitriangular matrices),
- **representation theory of 0-Hecke algebras** (every 0-Hecke algebra is a semigroup algebra of a  $\mathcal{J}$ -trivial monoid).

# Motivations (3): The $\mathcal{J}$ -trivial monoids

## Definition

We say that the monoid  $M$  is partially ordered if there exists a partial order  $\leq$  on  $M$  such that:

- 1 is the maximum element,
- $\leq$  is compatible with multiplication on  $M$ , namely for all  $m_1, m'_1, m_2, m'_2$  in  $M$  we have:

$$m_1 \leq m'_1, m_2 \leq m'_2 \Rightarrow m_1 m_2 \leq m'_1 m'_2.$$

**Example.**  $M = \{1, x, y, z, 0\}$  with relations  $x^2 = x, y^2 = y, xz = zy = z$ , and all other products  $= 0$ . Then:

$$MxM = \{x, z, 0\}, MyM = \{y, z, 0\}, MzM = \{z, 0\}.$$

Thus  $M$  is  $\mathcal{J}$ -trivial. But no partial order  $\leq$  is compatible with multiplication in  $M$ . Otherwise we would have:

$$0 = z^2 \leq z = xzy \leq xy = 0 \Rightarrow z = 0.$$

## Motivations (3): The $\mathcal{J}$ -trivial monoids

**Fact.** A partially ordered finite monoid  $M$  is  $\mathcal{J}$ -trivial.

Proof. If  $xM = yM$ , then  $x = ya$  and  $y = xb$ , for some  $a, b \in M$ . Since  $a \leq 1$  this implies  $x = ya \leq y$  and  $y = xb \leq x$  so that  $x = y$ . Analogously  $Mx = My \Rightarrow x = y$ . But a finite monoid that is both  $\mathcal{R}$ -trivial and  $\mathcal{L}$ -trivial is  $\mathcal{J}$ -trivial.

**Corollary.** A finite Coxeter (0-Hecke) monoid  $M(W)$  is  $\mathcal{J}$ -trivial.

**An idea for the proof.** We define the so-called Bruhat order  $\leq_B$  on the Coxeter group  $W$ . Let  $w = s_{i_1} \dots s_{i_l}$  be a reduced expression for  $w \in W$ . Then  $u \leq_B w$  if and only if there exists a reduced expression  $u = s_{j_1} \dots s_{j_k}$ , where  $j_1 \dots j_k$  is a subword of  $i_1 \dots i_l$ . Then  $\leq_B$  is a partial order (classical fact) on both  $W$  and  $M(W)$ . Moreover  $\leq_B$  is compatible with multiplication on  $M(W)$  and 1 is the minimal element...



## Motivations (3): The $\mathcal{J}$ -trivial monoids

Another interesting example of a  $\mathcal{J}$ -trivial monoid.

### Monoid of order preserving regression (contraction) functions

Let  $(P, \leq_P)$  be a poset. The set  $\mathcal{OR}(P)$  of functions  $f : P \rightarrow P$  which are:

- order preserving: for all  $x, y \in P$

$$x \leq_P y \Rightarrow f(x) \leq f(y),$$

- regressive (alt. contractions): for all  $x \in P$  one has  $f(x) \leq x$ .

In fact all finite  $\mathcal{J}$ -trivial monoids „divide” one of these kind (it a theorem of J.E. Pin):

## Basic facts:

- Let  $\Theta, \Psi \in \mathcal{M}_n$  and assume that  $\Psi$  is obtained from  $\Theta$  by deleting some edges. Then mapping between vertices of  $\Theta, \Psi$  extends uniquely to an epimorphism  $HK_{\Theta} \rightarrow HK_{\Psi}$ .

Proof. For any two idempotents  $x, y$  of any semigroup from  $xy = yx$  it follows that:  $xyx = xxy = xy = xyy = yxy$ . Thus any relations satisfied by canonical generators of  $HK_{\Theta}$  are satisfied by the corresponding generators in  $HK_{\Psi}$ .

- Let  $m, n \in \mathbb{N}$  and  $\Theta \in \mathcal{M}_m, \Psi \in \mathcal{M}_n$ . Assume that  $f : \Theta \rightarrow \Psi$  is a full embedding of graphs. Then the mapping  $e_i \rightarrow e_{f(i)}$  induces a monomorphism  $\bar{f} : HK_{\Theta} \rightarrow HK_{\Psi}$ .

## Theorem (Mazorchuk, Ganyushkin)

Let  $m, n \in \mathbb{N}$  and  $\Theta \in \mathcal{M}_m, \Psi \in \mathcal{M}_n$ . Then the semigroups  $HK_{\Theta}$  and  $HK_{\Psi}$  are isomorphic if and only if the graphs  $\Theta$  and  $\Psi$  are isomorphic.

Basic facts on the finiteness problem for  $\text{HK}_\Theta$ .

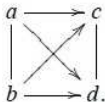
- Let  $\Theta, \Psi \in \mathcal{M}_n$ . Assume that  $\text{HK}_\Theta$  is finite and that  $\Psi$  is obtained from  $\Theta$  by either:
  - orienting an edge or,
  - removing an arrow or,
  - removing all arrows connected to a sink or source vertex.

Then  $\text{HK}_\Psi$  is finite.

- If  $\text{HK}_\Theta$  is finite then there are no oriented cycles in  $\Theta$ .
- Let  $\Theta, \Psi \in \mathcal{M}_n$ . Assume that  $\text{HK}_\Theta$  is finite and that  $\Psi$  is obtained from  $\Theta$  by removing every arrow. Then  $\Psi$  is a disjoint union of Dynkin diagrams. These are called the Coxeter componets of  $\Theta$ .

# Coxeter components and the finiteness problem

- **Fact.** Let  $C$  be a Dynkin diagram and  $\Theta$  – an oriented graph obtained from  $C$  by choosing an orientation of every edge. Then  $\text{HK}_\Theta$  is finite.
- **The general approach to the finiteness problem.** Take a simple digraph  $\Theta$  with  $n$  elements and try to understand the case when removing all arrows from  $\Theta$  leads to a small number of Coxeter components.
- **Theorem (Aragona, D'Andrea 2012).** Let  $\Theta \in \mathcal{M}_n$  be an acyclic simple digraph. If  $n = 3$  then  $\text{HK}_\Theta$  is finite. If  $n = 4$  then  $\text{HK}_\Theta$  is finite except for the case when  $\Theta$  is of form:



# An order on simple digraphs

Consider two digraphs  $\Theta$  and  $\Psi$  in  $\mathcal{M}_n$ . We say that  $\Theta \subseteq \Psi$  if and only if  $\Theta$  is a subgraph of  $\Psi$ . In the language of relations we have an inclusion  $\Theta \subseteq \Psi$ .

We also define two members of  $\mathcal{M}_n$ :

- $\Theta_C = \{(i+1, i) \mid 1, 2, \dots, n-1\}$
- $\Theta_K = \{(j, i) \mid 1 \leq i < j \leq n\}$ .

The Hecke-Kiselman monoids of these relations are:

- $\text{HK}_{\Theta_C}$  - the Catalan monoid  $C_n$   
(it is a monoid  $\mathcal{OR}(P)$  on a chain  $1 < \dots < n$ )
- $\text{HK}_{\Theta_K}$  - and the Kiselman monoid  $K_n$ .

Ashikhmin, Volkov, Zhang (2015)

Let  $n \geq 2$ . Then for every relation  $\Theta \in \mathcal{M}_n$  such that  $\Theta_C \subseteq \Theta \subseteq \Theta_K$ , the set of identities of the Hecke-Kiselman monoid  $\text{HK}_\Theta$  is the following:

$$\{w \equiv w' \Leftrightarrow w \sim_n w'\},$$

where  $w \sim_n w'$  means that the words  $w$  and  $w'$  have the same scattered subwords of length  $\leq n$ . In particular:

- for  $n = 2, 3$  the monoid  $\text{HK}_\Theta$  is finitely based,
- for  $n \geq 4$  the monoid  $\text{HK}_\Theta$  is nonfinitely based.

**Corollary:** The Hecke-Kiselman monoids  $\text{HK}_\Theta$  are  $\mathcal{J}$ -trivial for all  $\Theta \subseteq \Theta_K$ .

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